

Recall that a Euclidean domain is a domain with Euclidean algorithm. (4)

That is,  $\exists$  norm function  $N$  on domain  $\mathcal{O} \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$

s.t.

(i)  $N(b) \leq N(ab) \quad \forall a, b \in \mathcal{O} \setminus \{0\}$

(ii) ~~For~~  $a = qb + r$  for some  $q, r \in \mathcal{O}$ , ~~then~~ with  $N(r) < N(b)$  or  $r = 0$ .

Using  $N(\alpha) := \alpha \cdot \bar{\alpha}$  on  $\mathbb{Z}[i]$ , then (i) is clear from multiplicativity and fact that  $N(\alpha) = 0 \Rightarrow \alpha = 0$ .

(iii) follows b/c  $\mathbb{Z}[i]$  is square lattice in  $\mathbb{C}$ .

We must show  $\exists q \in \mathbb{Z}[i]$  s.t.  $|\frac{a}{b} - q| < 1$  (since  $N(\alpha) = |\alpha|^2$ )

But  $\frac{a}{b} \in \mathbb{C}$  is always at most  $\frac{\sqrt{2}}{2}$  from lattice point (i.e.  $< 1$ )

Finally recall that Euclidean domains are UFDs. (converse is false)

This is immediate from the existence of norm function.

[~~Proof~~ Given ideal  $\mathcal{a}$ , pick elt.  $a \in \mathcal{a}$  of minimal norm. This must be generator. Else  $\exists b$  with  $b = qa + r$  with  $0 < N(r) < N(a)$  contradicting the minimality of  $a$ . so  $\mathcal{O}$  is a P.I.D.

But P.I.D.s are U.F.D.s:

show that P.I.D.s satisfy (A) divisor chain condition (no infinite sequence of proper divisibility of elts)

$\Rightarrow$  factorization exists (B) every irreducible (no proper factors) is prime ( $p|ab \Rightarrow p|a$  or  $p|b$ )

$\Rightarrow$  factorization unique

(A) follows b/c given  $(a_1) \subsetneq (a_2) \subsetneq \dots$  then  $\bigcup_i (a_i)$  is ideal  $(d)$  so  $d \in (a_n)$  for some  $n$   
 so  $(a_m) \subset (a_n) \subset (d) \subset (a_m) \quad \forall m \geq n$ . chain stabilizes!

If  $p$  irreducible and  $p|ab$  but  $p \nmid a$ , show  $p|b$ .

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$p$  irreducible means  $\nexists$  ideal  $I$  s.t.  $0 \subsetneq I \subsetneq (p)$ .

Now  $p \nmid a$  means  $a \notin (p)$  so  $(p, a) \neq (p) \Rightarrow (p, a) = (1)$ .

then we can find  $u, v \in \mathcal{O}$  s.t.  $up + va = 1$ .

$\Rightarrow upb + vab = b$  but since  $p|ab$ ,  $p$  must divide  $b$ . //

So putting it all together,  $p \equiv 1 \pmod{4}$   $\Leftrightarrow p = a^2 + b^2$  for some  $a, b \in \mathbb{Z}$   
or  
 $p = 2$

and key step was understanding that  $p \equiv 1 \pmod{4}$ , then  $p$  not prime in  $\mathbb{Z}[i]$ .

Let's collect what we've learned about  $\mathbb{Z}[i]$  so far:

$d \in \mathbb{Z}[i]$  is unit  $\Leftrightarrow N(d) = 1$  i.e.  $d = a + bi$   
with one of  $a$  or  $b$   
s.t.  $a^2$  or  $b^2 = 1$   
other = 0.

Quickly check that units are

$$\{ \pm 1, \pm i \}$$

What are primes? Note: report everything up to units.

Won't always require such a specific characterization...

Theorem : The primes  $\pi$  of  $\mathbb{Z}[i]$  are :

(1)  $\pi = 1+i$

(2)  $\pi = a+bi$  with  $a^2+b^2 = p$ ,  $p \equiv 1 \pmod{4}$ ,  $\nexists a > |b| > 0$ .

(3)  $\pi = p$ , rational prime  $\equiv 3 \pmod{4}$ .

pf : First show all these are indeed primes of  $\mathbb{Z}[i]$ . Later show this exhausts all primes.

Recall that for any elt.  $\pi \in \mathbb{Z}[i]$ , if  $\pi = \alpha \cdot \beta$

then  $N(\pi) = N(\alpha) \cdot N(\beta)$ . In cases (1) + (2),

$N(\pi) = p$  so  $\alpha$  or  $\beta$  must be unit, i.e.  $\pi$  prime.

In case (3)  $p^2 = N(\alpha) \cdot N(\beta)$  so  $p = N(\alpha) = N(\beta) = a^2+b^2$  if  $\alpha = a+bi$

Now to show all primes  $\pi \in \mathbb{Z}[i]$  are in the above list :

$\nexists$  if  $p \equiv 3 \pmod{4}$  so can't have  $\pi = p = \alpha \cdot \beta$  in this case

$N(\pi) = p_1 \dots p_r$  from unique fact. in  $\mathbb{Z}$   
 $p_i$  primes, not nec. distinct.

"  
 $\pi \cdot \bar{\pi}$  so  $\pi$  divides some  $p_i$ , call it  $p$ .  $\Rightarrow N(\pi) \mid N(p) \parallel p^2$

i.e.  $N(\pi) = p$  or  $p^2$ . Just use earlier analysis.

If  $N(\pi) = p$  and  $\pi = a+bi$  then  $p = a^2+b^2$  so in case 1 or 2.

If  $N(\pi) = p^2$  then  $p/\pi$  is Gaussian integer with norm 1.

and  $p \equiv 3 \pmod{4}$  in this case since if  $p=2$  or  $p \equiv 1 \pmod{4}$

then  $p = a^2+b^2$  for some  $a, b \in \mathbb{Z}$  by Fermat's thm.  
 $= (a+bi)(a-bi) \Rightarrow p$  not prime  $\nexists$ .

The theorem makes clear how primes  $p \in \mathbb{Z}$  decompose in  $\mathbb{Z}[i]$ . (7)

if  $p \equiv 1 \pmod{4}$  then  $p = \underbrace{(a+bi)}_{\pi} \underbrace{(a-bi)}_{\bar{\pi}}$  "p splits" into two conjugate prime factors

if  $p \equiv 3 \pmod{4}$  then  $p$  remains prime ("inert")

if  $p = 2$ , then  $p = (1+i)(1-i) = \underbrace{-i}_{\text{a unit}} (1+i)^2$

so equal to the square of a prime (up to unit)  $p$  "ramifies"

(infinitely many primes split, inert, finitely many primes ramify)

How to begin studying the problem in general?

Define analogue of Gaussian integers (subring of  $\mathbb{Q}(i)$ ) for any number field. Naive guess: pick basis of  $\mathbb{Q}(i)/\mathbb{Q}$  and

Consider instead  $\mathbb{Z}$ -linear combinations.

Better (basis-free) definition:

View  $\mathbb{Z}[i]$  as  $\left\{ \alpha \in \mathbb{Q}(i) \mid \alpha \text{ is root of } \begin{matrix} \text{monic} \\ \text{poly.} \end{matrix} \text{ with coeffs. in } \mathbb{Z} \right\}$

[ In this example, it is of form  $(x^2 + ax + b = 0)$   $a, b \in \mathbb{Z}$  ]

check:  $\alpha = c + di$ ,  $c, d \in \mathbb{Q}$

then  $\alpha$  is root of  $x^2 + ax + b$  with  $a = -2c$ ,  $b = c^2 + d^2$

if  $c, d \in \mathbb{Z}$  then  $a, b \in \mathbb{Z}$ .

if  $a, b \in \mathbb{Z}$  then a priori, just  $2c, 2d \in \mathbb{Z}$ . But  $(2c)^2 + (2d)^2 = 4b \equiv 0 \pmod{4}$

since squares are always  $\equiv 0, 1 \pmod{4}$ , must have  $(2c)^2 \equiv (2d)^2 \equiv 0 \pmod{4}$   
 $\Rightarrow c, d \in \mathbb{Z}$  //

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Make this same definition over arbitrary # field. Then differs in general from  $\mathbb{Z}$ -basis, of course, but gives satisfactory theory.

Note: not even immediately clear that these elts form subring.

Check this next -- using a bit of linear algebra. (i.e. need alternate characterization of integrality, rather than producing poly. for which  $a \cdot b$  is root)

In what follows, work in arbitrary ring (comm., with unit)

Row-Column Expansion: (Prop. 2.3 in Neukirch)

$A = (a_{ij})$  be  $r \times r$  matrix with entries  $a_{ij}$  in arb. ring.

$A^* = (a_{ij}^*)$  "adjoint matrix" with  $a_{ji}^* = (-1)^{i+j} \det(A^{(i,j)})$

take transpose!

matrix with  $i$ th row,  $j$ th column deleted

Then  $AA^* = A^*A = \det(A) \cdot I_r$

(Cor:  $A \cdot x = 0 \Rightarrow \det(A) \cdot x = 0$ )  
 for any vector  $x = (x_1, \dots, x_r)$

Now we can prove: if  $A \subseteq B$  is an extension of rings then ~~the~~  $b_1, \dots, b_n$  integral over  $A$  (satisfy monic poly. with coeffs in  $A$ )

$\Leftrightarrow A[b_1, \dots, b_n]$  is a finitely generated  $A$ -module. (Prop. 2.2 in Neukirch)

Cor: if  $b_1, \dots, b_n \in B$  are integral over  $A$ , so is any elt in  $A[b_1, \dots, b_n]$ .

pf of cor: If  $b \in A[b_1, \dots, b_n]$ , then  $A[b_1, \dots, b_n] = A[b, b_1, \dots, b_n]$  is a fin. gen.  $A$ -module. //

Proof of Proposition 2.2: Let  $b \in B$  be integral over  $A$  and

$f: A[x]$  monic polynomial with  $f(b) = 0$ . Show  $A[b]$  is finitely generated.

If  $\deg(f) = n$ , then any  $g \in A[x]$  written as

$$g(x) = q(x) \cdot f(x) + r(x) \text{ with } \deg(r) < n.$$

Then  $g(b) = r(b) = a_0 + a_1 b + \dots + a_{n-1} b^{n-1}$  (poly of  $\deg < n$  coeffs in  $A$ )

i.e. any polynomial in  $b$  expressible in terms of  $b, b^2, \dots, b^{n-1}$ .

the case  $(b_1, \dots, b_n)$  integral over  $A \Rightarrow A[b_1, \dots, b_n]$  f.gen.)

now follows by induction.

For converse, let  $w_1, \dots, w_r$  be generators for  $A[b_1, \dots, b_n]/A$

Then for any  $b \in A[b_1, \dots, b_n]$ ,

$$b w_i = \sum_{j=1}^n a_{ij} w_j \quad a_{ij} \in A \quad (*)$$

using row-column expansion prop:

Let  $M =$  matrix  $b \cdot I_n - (a_{ij})$

Then ~~det(M) = 0~~

$$M \cdot \begin{pmatrix} w_1 \\ \vdots \\ w_n \end{pmatrix} = 0 \text{ by construction so}$$

$$\det(b \cdot I_n - (a_{ij})) \cdot w_i = 0 \quad \forall i.$$

Since  $w_i$ 's generators, then  $1 = c_1 w_1 + \dots + c_r w_r$

$$\Rightarrow \det(b \cdot I_n - (a_{ij})) = 0$$

so  $b$  is a root of the monic poly.

$$\det(x \cdot I_n - (a_{ij})).$$