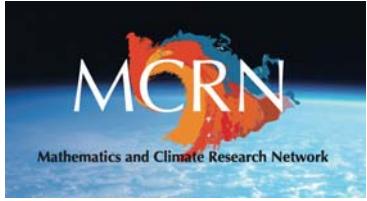


An Introduction to Energy Balance Models

Richard McGehee
School of Mathematics
University of Minnesota

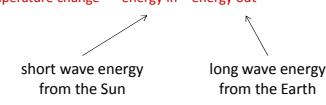
Mathematics of Climate Seminar
April 19, 2016



Energy Balance Models

Conservation of Energy

temperature change \sim energy in – energy out

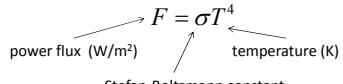


Everything else is detail.

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Energy Balance

Stefan-Boltzmann Law



power flux (W/m^2) temperature (K)

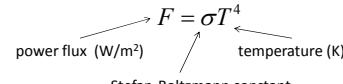
Stefan-Boltzmann constant
 $\sigma \approx 5.67 \times 10^{-8} \text{ W/m}^2 \text{ K}^4$

Reasonable approximation:
 Every body in the solar system radiates energy according to this law.

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Energy Balance

Stefan-Boltzmann Law



power flux (W/m^2) temperature (K)

Stefan-Boltzmann constant
 $\sigma \approx 5.67 \times 10^{-8} \text{ W/m}^2 \text{ K}^4$

Example

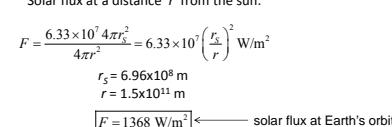
surface temperature of the Sun: 5780K
 power flux: $5.67 \times 10^{-8} \times (5780)^4 = 6.33 \times 10^7 \text{ W/m}^2$

total solar power output: $6.33 \times 10^7 \times 4\pi(r_s)^2$,
 where r_s = radius of the sun = $6.96 \times 10^8 \text{ m}$
 total solar output: $3.85 \times 10^{26} \text{ W}$

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Energy Balance

Insolation



Solar flux at a distance r from the sun:

$$F = \frac{6.33 \times 10^7 \cdot 4\pi r_s^2}{4\pi r^2} = 6.33 \times 10^7 \left(\frac{r_s}{r}\right)^2 \text{ W/m}^2$$

$$r_s = 6.96 \times 10^8 \text{ m}$$

$$r = 1.5 \times 10^{11} \text{ m}$$

$$F = 1368 \text{ W/m}^2$$

Power intercepted by the Earth: $F \times \pi r_E^2 \text{ W}$

Earth's surface area: $4\pi r_E^2 \text{ m}^2$

Average surface flux: $\frac{F \times \pi r_E^2}{4\pi r_E^2} = \frac{F}{4} = 342 \text{ W/m}^2$

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Energy Balance

Insolation

Global Average Insolation
 (Incoming solar radiation)

intercepted flux: $F = 1368 \text{ W/m}^2$
 Earth cross-section: $4\pi r_E^2$
 surface area: $4\pi r_E^2$
 average flux: $1368/4 = 342 \text{ W/m}^2 = Q$

Simple Model

Assume that Earth is a perfectly thermally conducting black body.

$$Q = \sigma T^4$$

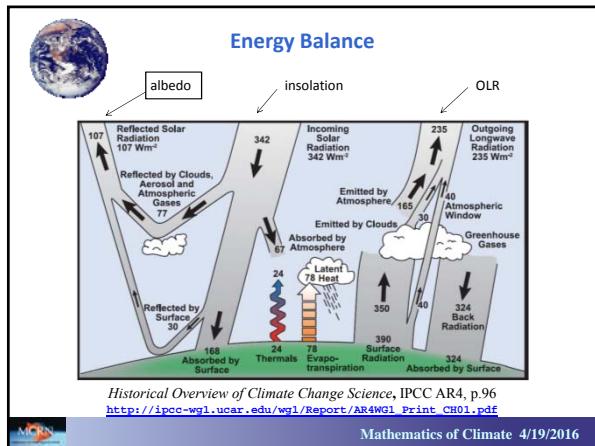
$$T = (Q/\sigma)^{1/4} = (342/(5.67 \times 10^{-8}))^{1/4}$$

$$= 279 \text{ K} = 6^\circ \text{C} = 43^\circ \text{ F}$$

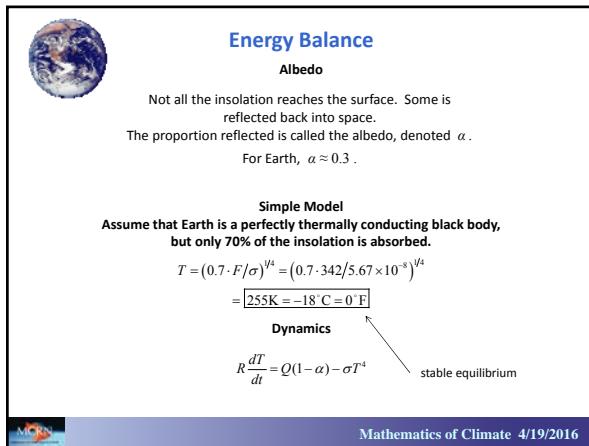
Dynamics

heat capacity $\rightarrow R \frac{dT}{dt} = Q - \sigma T^4$ stable equilibrium

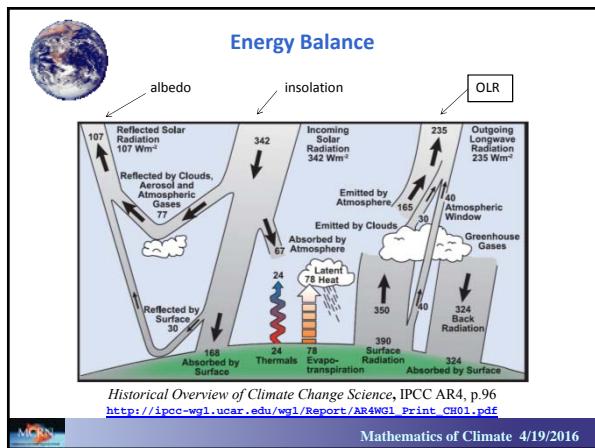
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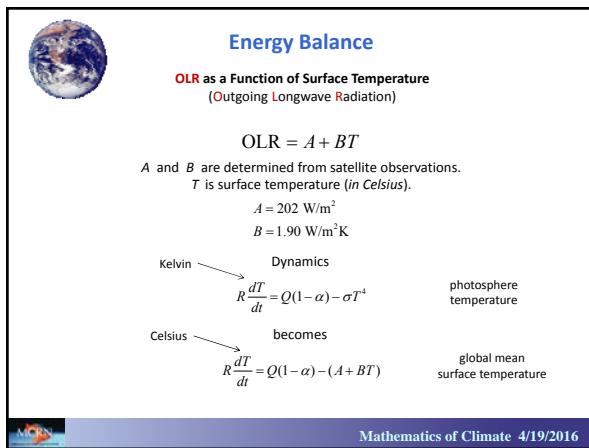
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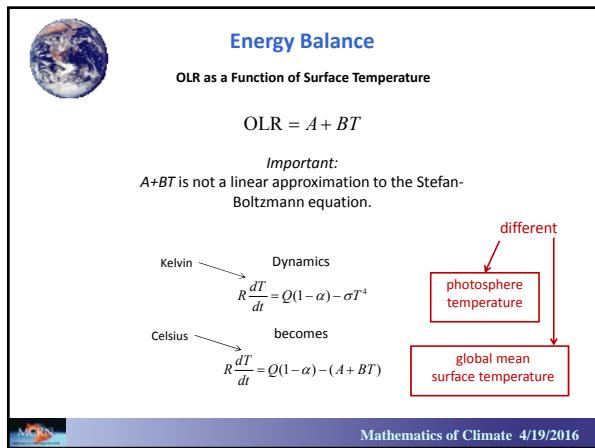
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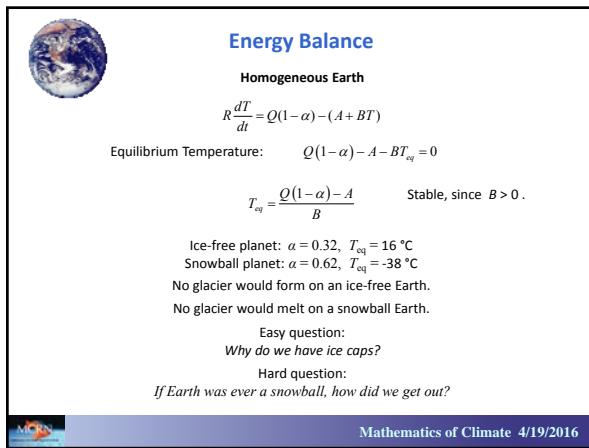
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Energy Balance

Latitude Dependence

Make T depend on $y = \sin(\text{latitude})$

$$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y,t))$$

insolation distribution

Q = global annual average insolation = 342 W/m^2

$s(y)$ = distribution across latitudes $\left(\int_0^1 s(y) dy = 1 \right)$

One can show that

$$s(y) = \frac{2}{\pi^2} \int_0^{2\pi} \sqrt{1 - (\sqrt{1-y^2} \sin \theta \cos \theta - y \cos \beta)^2} d\theta$$

β = obliquity = 23.5°

Chylek and Coakley's quadratic approximation:

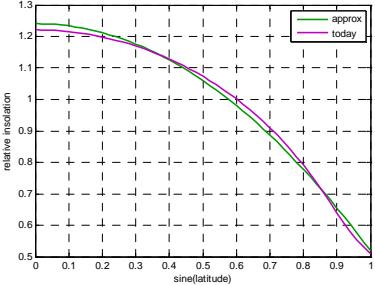
$$s(y) \approx 1 - 0.241(3y^2 - 1)$$

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Energy Balance

Insolation Distribution



green = quadratic approximation (Chylek & Coakley)

fuchsia = formula using obliquity of 23.5°

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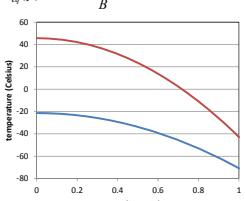
Energy Balance

Latitude Dependence

$$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y,t))$$

Note that y is just a parameter.

Equilibrium Temperature Profile

$$T_{eq}(y) = \frac{Qs(y)(1-\alpha) - A}{B}$$


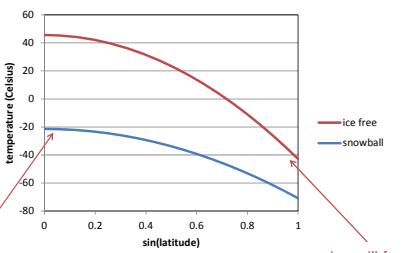
$\alpha = 0.32$: ice free
 $\alpha = 0.62$: snowball

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Energy Balance

Latitude Dependence



temperature (Celsius)

0 0.2 0.4 0.6 0.8 1

ice free

ice won't melt (no exit from snowball)

ice will form (icecap)

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Energy Balance

Dynamical Models - Summary

	Model	Equilibrium
Perfectly Thermally Conducting Black Body	$R \frac{dT}{dt} = Q - \sigma T^4$	$T = (Q/\sigma)^{1/4}$
Plus Albedo	$R \frac{dT}{dt} = Q(1-\alpha) - \sigma T^4$	$T = ((1-\alpha)Q/\sigma)^{1/4}$
Switch to Surface Temperature	$R \frac{dT}{dt} = Q(1-\alpha) - (A + BT)$	$T = ((1-\alpha)Q - A)/B$
Dependence on Latitude	$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y,t))$	$T(y) = ((1-\alpha)Qs(y) - A)/B$

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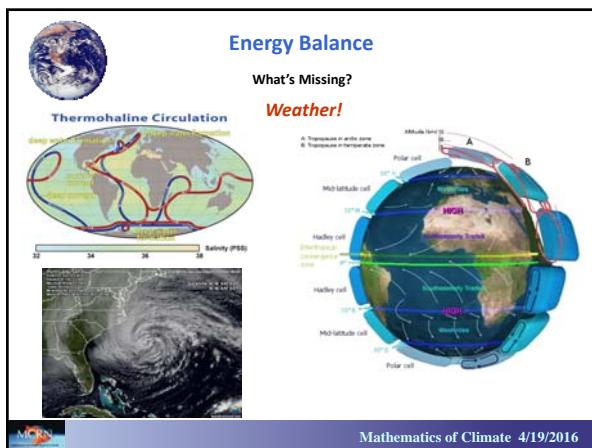
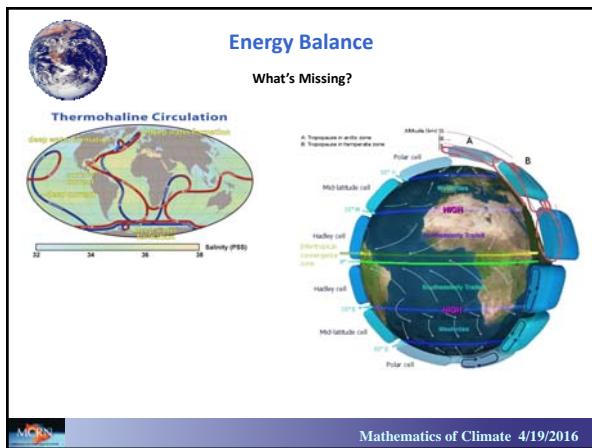
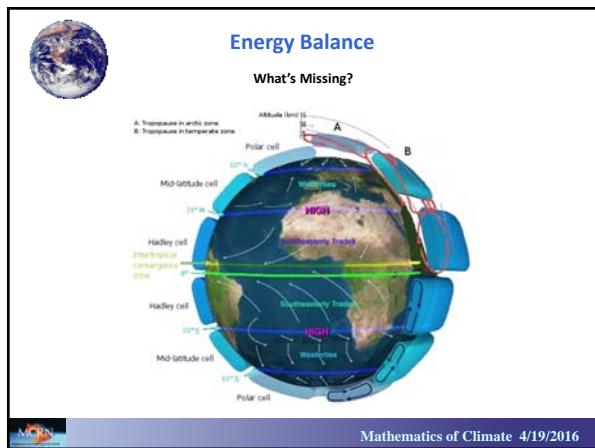
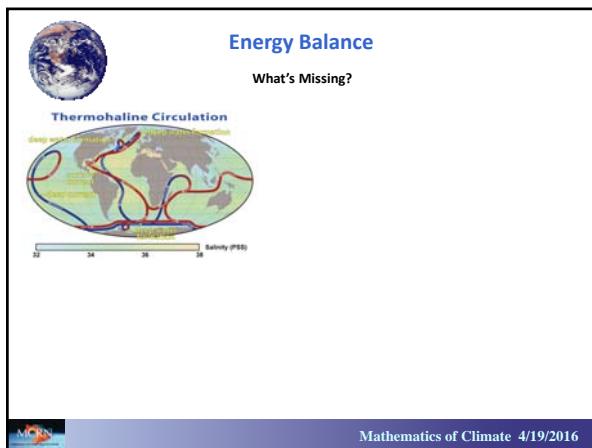
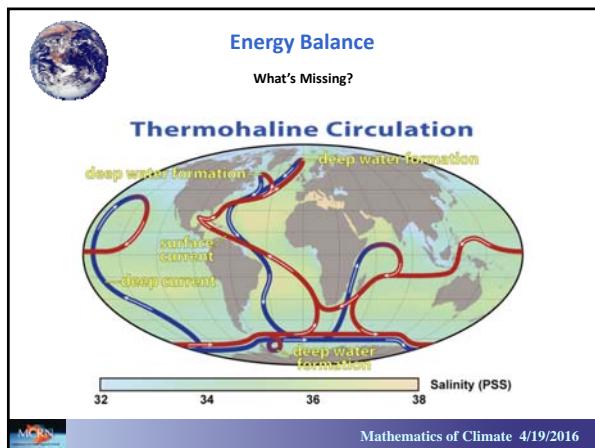
Energy Balance

Dynamical Models

$$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y,t))$$

What's Missing?

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Energy Balance

Budyko's Model

$$R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha) - (A + BT) + C(\bar{T} - T)$$

global mean temperature $\bar{T}(t) = \int_0^1 T(y, t) dt$ Weather

Second Law of Thermodynamics:
Energy travels from hot places to cold places.



Budyko's equation as a dynamical system:
 T lives in a function space (temperature as a function of latitude).

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Budyko's Model

Why y ?

$$R \frac{\partial T(y, t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y, t)) + C(\bar{T}(t) - T(y, t))$$

global mean temperature: $\bar{T}(t) = \int_0^1 T(y, t) dt$

Why do we use $y = \sin(\text{latitude})$ instead of just latitude?

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Budyko's Model

Why y ?

$$R \frac{\partial T(y, t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y, t)) + C(\bar{T}(t) - T(y, t))$$

global mean temperature $\bar{T}(t) = \int_0^1 T(y, t) dt$

Why do we use $y = \sin(\text{latitude})$ instead of just latitude?

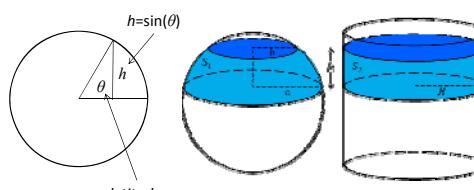
Because y is directly proportional to surface area.

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Budyko's Model

Why $y = \sin(\text{latitude})$?

Archimedes



<http://mathworld.wolfram.com/ArchimedesHat-BoxTheorem.html>

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Budyko's Model

Why $y = \sin(\text{latitude})$?

surface area of a unit sphere

$$\int_{-\pi/2}^{\pi/2} 2\pi \cos \theta d\theta = 2\pi \sin \theta \Big|_{-\pi/2}^{\pi/2} = 4\pi$$

average over the sphere of a function of latitude $f(\theta)$

$$\frac{1}{4\pi} \int_{-\pi/2}^{\pi/2} f(\theta) 2\pi \cos \theta d\theta = \frac{1}{2} \int_{-\pi/2}^{\pi/2} f(\theta) \cos \theta d\theta$$

 (substitute $y = \sin(\theta)$) $= \frac{1}{2} \int_{-1}^1 f(\arcsin y) dy$

average over the sphere of a function $T(y)$

$$\bar{T} = \frac{1}{2} \int_{-1}^1 T(y) dy$$

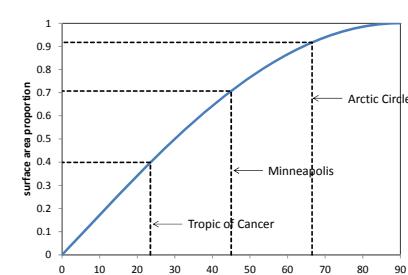
$$\bar{T} = \int_0^1 T(y) dy$$

if T is symmetric across the equator:

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Budyko's Model

Why $y = \sin(\text{latitude})$?



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Budyko's Model

Budyko's Equation:

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y)) - (A + BT) + C(\bar{T} - T)$$

Symmetry assumption: $0 \leq y = \sin(\text{latitude}) \leq 1$

Chylek and Coakley's quadratic approximation:

$$s(y) \approx 1 - 0.241(3y^2 - 1)$$

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Energy Balance

Budyko's Equilibrium

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y)) - (A + BT) + C(\bar{T} - T)$$

albedo depends on latitude

equilibrium solution: $T = T^*$

$$Qs(y)(1 - \alpha(y)) - (A + BT^*(y)) + C(\bar{T}^* - T^*(y)) = 0$$

Integrate:

$$\int_0^1 (Qs(y)(1 - \alpha(y)) - (A + BT^*(y)) + C(\bar{T}^* - T^*(y))) dy = 0$$

$$Q \int_0^1 s(y) dy - Q \int_0^1 s(y) \alpha(y) dy - A \int_0^1 dy - B \int_0^1 T^*(y) dy + C \left(\int_0^1 \bar{T}^* dy - \int_0^1 T^*(y) dy \right) = 0$$

$$Q(1 - \bar{\alpha}) - (A + B\bar{T}^*) = 0$$

equilibrium global mean temperature

$$\bar{T}^* = \frac{1}{B}(Q(1 - \bar{\alpha}) - A)$$

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Energy Balance

Budyko's Equilibrium

$$Qs(y)(1 - \alpha(y)) - (A + BT^*(y)) + C(\bar{T}^* - T^*(y)) = 0$$

Global mean temperature at equilibrium:

$$\bar{T}^* = \frac{1}{B}(Q(1 - \bar{\alpha}) - A) \quad (\bar{\alpha} = \int_0^1 \alpha(y) s(y) dy)$$

$$Qs(y)(1 - \alpha(y)) - A + C\bar{T}^* = BT^*(y) + CT^*(y) = (B + C)T^*(y)$$

$$T^*(y) = \frac{1}{B + C}(Qs(y)(1 - \alpha(y)) - A + C\bar{T}^*)$$

Equilibrium temperature profile:

$$T^*(y) = \frac{1}{B + C}(Qs(y)(1 - \alpha(y)) - A + C\bar{T}^*)$$

where $\bar{T}^* = \frac{1}{B}(Q(1 - \bar{\alpha}) - A)$ and $\bar{\alpha} = \int_0^1 \alpha(y) s(y) dy$

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Energy Balance

Budyko's Equilibrium

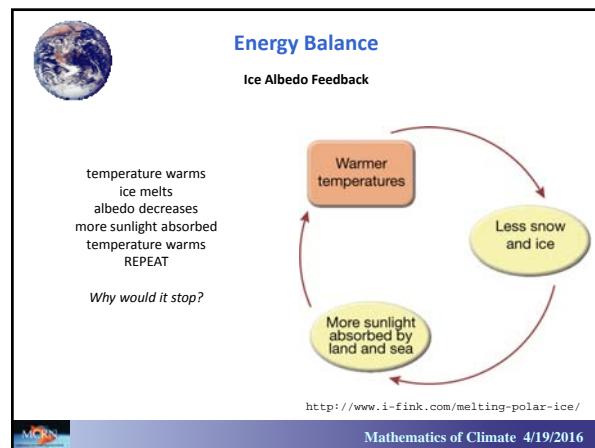
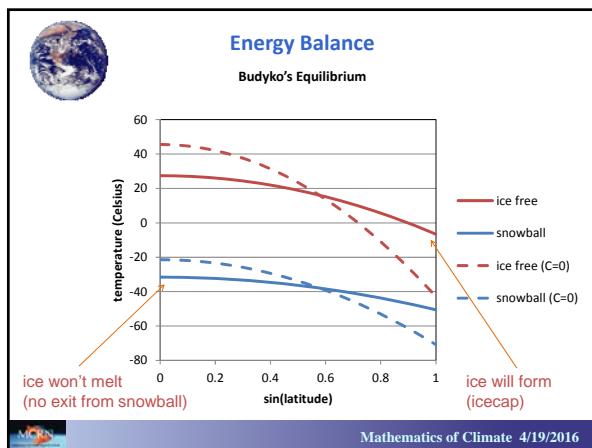
$$Qs(y)(1 - \alpha(y)) - (A + BT^*(y)) + C(\bar{T}^* - T^*(y)) = 0$$

Equilibrium temperature profile: $T^*(y) = \frac{1}{B + C}(Qs(y)(1 - \alpha(y)) - A + C\bar{T}^*)$

$C = 3.04$
 $\alpha(y) = 0.32$: ice free
 $\alpha(y) = 0.62$: snowball (constant albedo)

temperature (Celsius)

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Energy Balance

Ice Albedo Feedback

M. I. Budyko, "The effect of solar radiation variations on the climate of the Earth," Tellus XXI, 611-619 , 1969.

temperature warms
ice melts
albedo decreases
more sunlight absorbed
temperature warms
REPEAT

Why would it stop?



http://www.inenco.org/index_principals.html



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Energy Balance

Ice Albedo Feedback

Why would it stop?

Budyko's Equation

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y)) - (A + BT) + C(\bar{T} - T)$$

surface temperature $\sin(\text{latitude})$ $\bar{T} = \int_0^1 T(y) dy$
 heat capacity insolation albedo OLR heat transport



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Energy Balance

Ice Albedo Feedback



$\alpha(y, \eta) = \begin{cases} \alpha_1 & y < \eta \\ \alpha_2 & y > \eta \end{cases}$



<http://galacticconnection.com/wp-content/uploads/2015/04/ice.jpg>



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Energy Balance

Ice Albedo Feedback

What if the albedo is not constant?

Ice Line Assumption: There is a single ice line at $y=\eta$ between the equator and the pole. The albedo is α_1 below the ice line and α_2 above it.

$\alpha(y, \eta) = \begin{cases} \alpha_1 & y < \eta \\ \alpha_2 & y > \eta \end{cases}$ and

Equilibrium condition:

$$Qs(y)(1 - \alpha(y, \eta)) - (A + BT_\eta^*(y)) + C(\bar{T}^* - T_\eta^*(y)) = 0$$

Equilibrium solution:

$$T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + CT_\eta^*)$$

where $\bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$ $(\bar{\alpha}(\eta) = \int_0^1 \alpha(y, \eta)s(y)dy)$



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Energy Balance

Ice Albedo Feedback

equilibrium temperature profile:

$$T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + CT_\eta^*), \text{ where } \bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$$

albedo: $\alpha(y, \eta) = \begin{cases} \alpha_1 & y < \eta \\ \alpha_2 & y > \eta \end{cases}$

global albedo: $\bar{\alpha}(\eta) = \int_0^\eta \alpha(y, \eta)s(y)dy = \int_0^\eta \alpha_1 s(y)dy + \int_\eta^1 \alpha_2 s(y)dy$

let: $S(\eta) = \int_0^\eta s(y)dy, \quad 1 - S(\eta) = \int_\eta^1 s(y)dy, \quad \text{since } 1 = \int_0^1 s(y)dy$

then: $\bar{\alpha}(\eta) = \alpha_1 S(\eta) + \alpha_2 (1 - S(\eta)) = \alpha_2 - (\alpha_2 - \alpha_1)S(\eta) = 0.62 - 0.3S(\eta)$

$$S(\eta) = \int_0^\eta s(y)dy = \int_0^\eta \underbrace{(1 - 0.241(3y^2 - 1))}_{\text{Chylek \& Coakley}} dy = \eta - 0.241(\eta^3 - \eta)$$



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Energy Balance

Ice Albedo Feedback

equilibrium temperature profile:

$$T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + CT_\eta^*), \text{ where } \bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$$

albedo: $\alpha(y, \eta) = \begin{cases} \alpha_1 & y < \eta \\ \alpha_2 & y > \eta \end{cases}$

global albedo: $\bar{\alpha}(\eta) = \int_0^\eta \alpha(y, \eta)s(y)dy = \int_0^\eta \alpha_1 s(y)dy + \int_\eta^1 \alpha_2 s(y)dy$

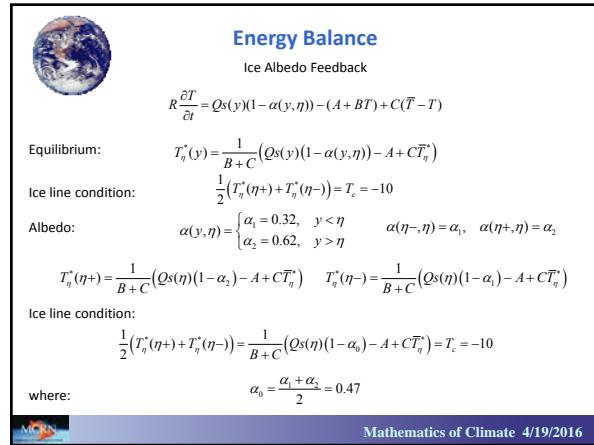
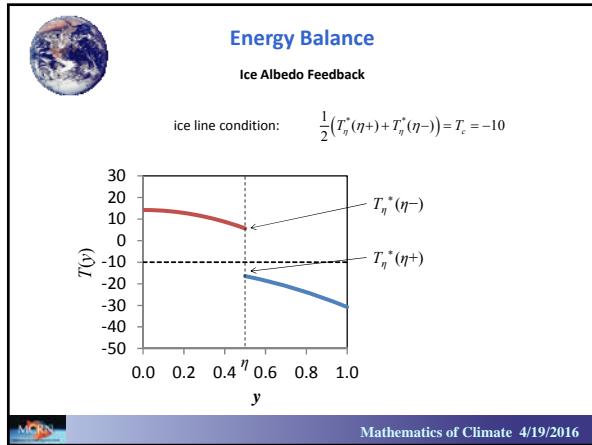
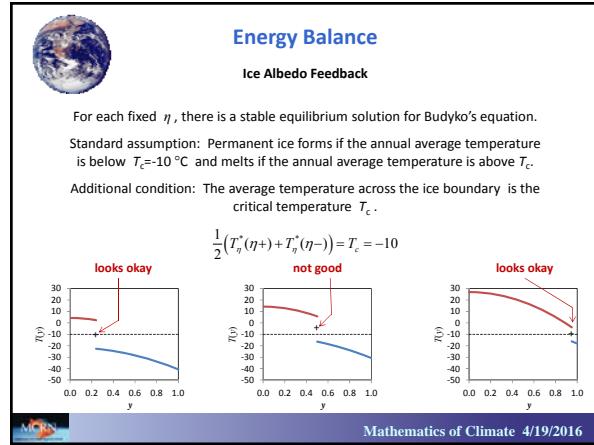
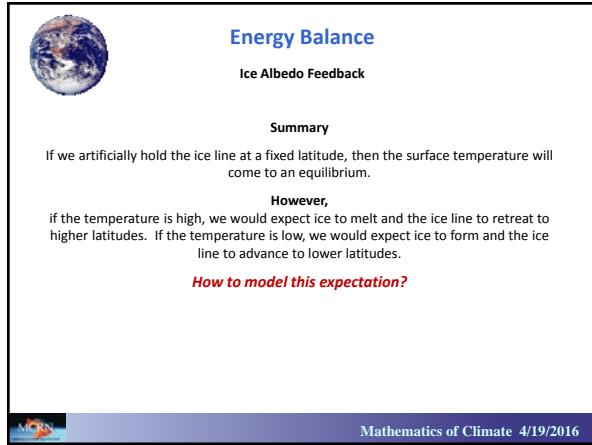
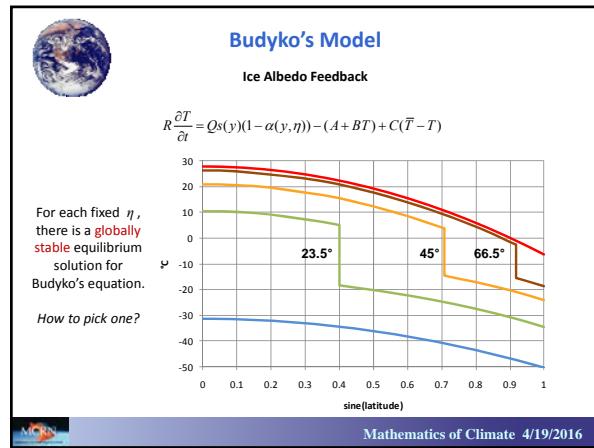
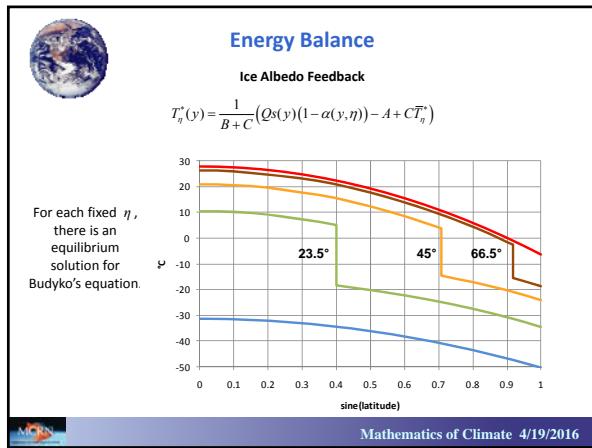
let: $S(\eta) = \int_0^\eta s(y)dy, \quad 1 - S(\eta) = \int_\eta^1 s(y)dy, \quad \text{since } 1 = \int_0^1 s(y)dy$

then: $\bar{\alpha}(\eta) = \alpha_1 S(\eta) + \alpha_2 (1 - S(\eta)) = \alpha_2 - (\alpha_2 - \alpha_1)S(\eta) = 0.62 - 0.3S(\eta)$

$$S(\eta) = \int_0^\eta s(y)dy = \int_0^\eta \underbrace{(1 - 0.241(3y^2 - 1))}_{\text{Chylek \& Coakley}} dy = \eta - 0.241(\eta^3 - \eta)$$



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Energy Balance

Ice Albedo Feedback

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$$

Ice line condition: $\frac{1}{B+C}(Qs(\eta)(1 - \alpha_0) - A + C\bar{T}_\eta^*) = T_c = -10$

Rewrite: $h(\eta) = \frac{1}{B+C}(Qs(\eta)(1 - \alpha_0) - A + C\bar{T}_\eta^*) - T_c = 0$

Recall equilibrium GMT: $\bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$

Recall average albedo: $\bar{\alpha}(\eta) = \int_0^\eta \alpha(y, \eta) s(y) dy = \alpha_2 - (\alpha_2 - \alpha_1)S(\eta) = 0.62 - 0.3S(\eta)$

where: $S(\eta) = \int_0^\eta s(y) dy = \eta - 0.241(\eta^3 - \eta)$

$$h(\eta) = \frac{Q}{B+C} \left(s(\eta)(1 - \alpha_0) + \frac{C}{B} (1 - \alpha_2 + (\alpha_2 - \alpha_1)S(\eta)) \right) - \frac{A}{B} - T_c = 0$$



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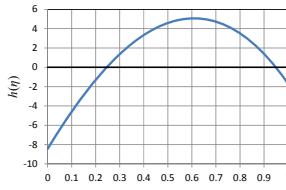
Energy Balance

Ice Albedo Feedback

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$$

The additional condition: $\frac{1}{2}(\bar{T}_\eta^*(\eta+) + \bar{T}_\eta^*(\eta-)) = T_c = -10$

can be written:
$$h(\eta) = \frac{Q}{B+C} \left(s(\eta)(1 - \alpha_0) + \frac{C}{B} (1 - \alpha_2 + (\alpha_2 - \alpha_1)S(\eta)) \right) - \frac{A}{B} - T_c = 0$$



Two equilibria (zeros of h) satisfy the additional condition.



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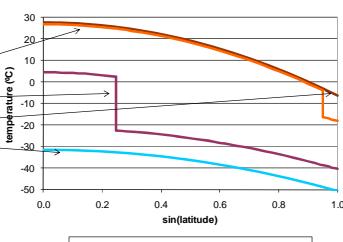
Energy Balance

Ice Albedo Feedback

Equilibrium temperature profiles $T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + C\bar{T}_\eta^*)$

Interesting Solutions:

- small cap
- large cap
- ice free
- snowball



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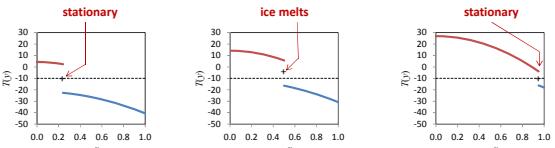
Energy Balance

Dynamics of the Ice Line

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$$

Idea:

If the average temperature across the ice line is above the critical temperature, some ice will melt, moving the ice line toward the pole. If it is below the critical temperature, the ice will advance toward the equator.



Widiasih's equation:
$$\frac{d\eta}{dt} = \varepsilon(T(\eta) - T_c)$$



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Budyko's Model

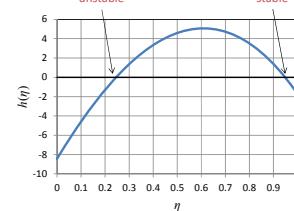
Dynamics of the Ice Line

$$\frac{d\eta}{dt} = \varepsilon(T(\eta) - T_c)$$

State space: $[0,1] \times X$

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$$

Widiasih's Theorem: For sufficiently small ε , the system has an attracting invariant curve given by the graph of a function $\Phi_\varepsilon : [0,1] \rightarrow X$. On this curve, the dynamics are approximated by the equation $\frac{d\eta}{dt} = \varepsilon h(\eta)$



Esther R. Widiasih, Dynamics of the Budyko Energy Balance Model, SIAM J. Appl. Dyn. Syst., 12(4), 2068–2092 (2013).



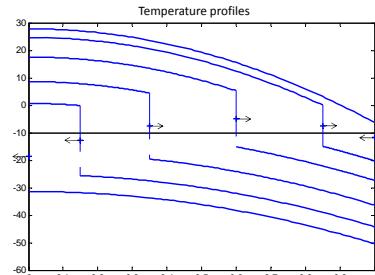
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Energy Balance

Budyko-Widiasih Model

Temperature profiles



$$\frac{d\eta}{dt} = \varepsilon h(\eta)$$



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