

**Math 5251 Error-correcting codes and finite fields**  
**Spring 2006, Vic Reiner**  
**Midterm exam 1- Due Wednesday February 22, in class**

**Instructions:** This is an open book, open library, open notes, open web, take-home exam, but you are *not* allowed to collaborate. The instructor is the only human source you are allowed to consult.

1. Let  $\Omega$  be the sample space of all sequences of 4 flips of a coin which is *unfair*, having probabilities  $P(\text{heads}) = \frac{2}{3}$ ,  $P(\text{tails}) = \frac{1}{3}$ . Let  $X$  be the random variable on  $\Omega$  whose value is the number of heads which appear among the 4 flips. Let  $Y$  be the random variable whose value is number of heads appearing among the first 2 flips.

- (a) (5 points) Compute the entropy  $H(X)$  of the random variable  $X$ .
- (b) (10 points) Compute the conditional entropy  $H(X|Y)$ .

2. Let  $W$  be a memoryless source that emits three words  $\{A, B, C\}$  with probabilities  $P(A) = \frac{5}{8}$ ,  $P(B) = \frac{1}{4}$ ,  $P(C) = \frac{1}{8}$ . Consider the *second extension*  $W^{(2)}$  of this source.

- (a) (5 points) Compute the entropy  $H(W^{(2)})$  for this second extension.
- (b) (10 points) Compute a binary Huffman code  $\mathcal{H}$  for this second extension  $W^{(2)}$ .
- (c) (5 points) Compute the average codeword length for  $\mathcal{H}$ .

3. A *comma code* of size  $t$  for a source uses the codewords

$$\mathcal{C} = \{0, 10, 110, 1110, 11110, \dots, \underbrace{11 \dots 10}_{t-1 \text{ letters}}, \underbrace{11 \dots 11}_{t-1 \text{ letters}}\}$$

and assigns these words to the source words in decreasing order of their probability. The name comes from thinking of 0 as a comma.

- (a) (5 points) Prove that every comma code is uniquely decipherable.
- (b) (10 points) Assuming all  $t$  source words have equal probability, compute the average length of a comma code of size  $t$ . Your answer should be a simple function of  $t$  that involves no summations, only multiplications and divisions.

4. (a) (10 points) Let  $W$  be a memoryless source emitting two words  $\{0, 1\}$  with probabilities  $p, 1 - p$  for some  $p$  in  $[0, 1]$ . Use calculus to show that the entropy  $H(W) = H(p)$  is maximized as a function of  $p$  when  $p = \frac{1}{2}$ . What is the maximum value of  $H(p)$ ?

(b) (10 points) Let  $n$  be a real number greater than 1. Use calculus to find the value of  $p$  that maximizes the function  $f(p) := -p \log_n(p) = p \log_n(\frac{1}{p})$  for  $p$  in  $[0, 1]$ . What is the maximum value of  $f(p)$ ?

5. Consider sending a code that contains all binary words of length  $n$  through a binary symmetric channel with error probability  $p$ ,

- first *without* any added parity check bit, and
- then *with* an added parity check bit, making all the words have length  $n + 1$  and an even number of ones.

(a) (5 points) Compute the probability of an undetected error (that is, *any* error at all) in the first situation, without any parity check bit, as a function of  $p$  and  $n$ . Your final answer should involve no summations.

(b) (10 points) Explain carefully why the probability of an undetected error after adding the parity check bit is exactly

$$\sum_{k=1}^{\frac{n+1}{2}} \binom{n+1}{2k} p^{2k} (1-p)^{n+1-2k}.$$

6. (15 points) Prove that any binary Huffman code  $\mathcal{H}$  with codewords of lengths  $(\ell_1, \dots, \ell_t)$  will always attain *equality* in McMillan's inequality, that is, it will satisfy

$$\sum_{i=1}^t \frac{1}{2^{\ell_i}} = 1.$$

(Possible hints:

- (a) This really has little to do with the probabilities of the source.  
 (b) Proof by induction on  $t$ ?)