

Reed-Solomon Codes (§17.1, 17.2, 17.3)

These are not hard to write down as cyclic codes, once we have primitive roots in \mathbb{F}_q .

THEOREM (Reed-Solomon codes 1960)

Let β in \mathbb{F}_q be a primitive root, and pick $t \leq q-1$. Then the cyclic code $\mathcal{C} \subset (\mathbb{F}_q)^n$ with blocklength $n = q-1$ having generator polynomial

$$g(x) = (x - \beta)(x - \beta^2) \cdots (x - \beta^{t-2})(x - \beta^{t-1}) \in \mathbb{F}_q[x]$$

is an $\left[\underset{q-1}{n}, \underset{q-t}{k}, \underset{t}{d} \right]$ \mathbb{F}_q -linear code.

Furthermore, $\tilde{g}(x) = \text{GCD}(g(x), x^{q-1} - 1) = g(x)$

$$h(x) = \frac{x^{q-1} - 1}{g(x)} = (x - \beta^t)(x - \beta^{t+1}) \cdots (x - \beta^{q-2})(x - \beta^{q-1})$$

$$\text{rate}(\mathcal{C}) = \frac{q-t}{q-1} = 1 - \frac{t-1}{q-1}$$

and \mathcal{C} is **MDS**, i.e. tight for **Singleton's bound**:

$$q-t = k = n - (d-1) = q-1 - (t-1)$$

EXAMPLE Suppose we want \mathcal{C} to correct up to 4 errors. We need $t = d(\mathcal{C}) = 2 \cdot 4 + 1 = 9$, so want to pick q in \mathbb{F}_q with $t = 9 \leq q - 1$.

E.g. $q = 11$ works, and is smallest (but could be others such as $q = 13$ or $16 = 2^4$ or $27 = 3^3$, etc.)

Look for a primitive β in \mathbb{F}_{11} ($= \mathbb{Z}/11$):

e.g. let's test $\beta = 2$

Since $q - 1 = 10 = 2 \cdot 5$, need to check $\beta^{10/5} = 2^{10/5} = 2^2 = 4 \neq 1$
and $\beta^{10/2} = 2^{10/2} = 2^5 = 32 \neq 1$

So we can pick $t = 9$, $t - 1 = 8$

$$g(x) = (x-2)(x-2^2)(x-2^3) \dots (x-2^8)$$

$$= 9 + 5x + 8x^2 + 3x^3 + 4x^4 + 6x^5 + 10x^6 + 7x^7 + x^8$$

$$h(x) = (x-2^9)(x-2^{10})$$

$$= 6 + 4x + x^2$$

$$\mathcal{C} = \text{Rowspace}(G) \text{ for } G = \begin{bmatrix} 1 & x & x^2 & x^3 & & & & & & & x^9 \\ 9 & 5 & 8 & 3 & 4 & 6 & 10 & 7 & 1 & 0 & \\ 0 & 9 & 5 & 8 & 3 & 4 & 6 & 10 & 7 & 1 & \\ & & & \ddots & & & & & & & \end{bmatrix}$$

$$\mathcal{C}^\perp = \text{Rowspace}(H) \text{ for } H = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 4 & 6 \\ 6 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 4 \\ 4 & 6 & \dots & & & & & & & & \ddots \end{bmatrix}$$

and \mathcal{C} is $\begin{bmatrix} n & k & d \\ 10 & 2 & 9 \\ \parallel & \parallel & \parallel \\ q-1 & q-t & t \end{bmatrix}$ with rate $\frac{1}{q}(\mathcal{C}) = 1 - \frac{t-1}{q-1} = 1 - \frac{8}{10} = 1 - \frac{4}{5} = \frac{1}{5}$

EXAMPLE



According to Wikipedia, QR codes use

Reed-Solomon codes with $q=2^8=256$

working in $\mathbb{F}_{256} = \mathbb{F}_2[x]/(\underbrace{x^8 + x^4 + x^3 + x^2 + 1}_{f(x)})$

where $\alpha = \bar{x}$ is a primitive root,

that is, $f(x)$ is a primitive irreducible polynomial in $\mathbb{F}_2[x]$.

So they would all have blocklength $n = q - 1 = 255$.

However, they vary the choice of t so as to get different levels of error correction.

For example, it mentions as examples two that are

$[\overset{q-1}{255}, \overset{q-t}{249}, \overset{t}{7}]$ correcting up to 3 errors

$[\overset{q-1}{255}, \overset{q-t}{233}, \overset{t}{23}]$ correcting up to 11 errors

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blocklength $n=q-1$ having generator polynomial

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$$k = n - (d-1)$$

proof of Reed-Solomon Theorem:

Most of the assertions come from our discussion
of cyclic codes, once we realize that

$$g(x) = (x-\beta)(x-\beta^2) \cdots (x-\beta^{t-2})(x-\beta^{t-1})$$

divides $x^{q-1}-1 = \underbrace{(x-\beta)(x-\beta^2) \cdots (x-\beta^{t-1})}_{g(x)} \cdot \underbrace{(x-\beta^t)(x-\beta^{t+1}) \cdots (x-\beta^{q-2})(x-\beta^{q-1})}_{h(x)}$

What is not at all clear is why $d(\mathcal{C}) = t$.

To see this we use another piece of cyclic code theory,

called **variant check matrices** - see §17.2

PROPOSITION: When $\mathcal{C} \subset (\mathbb{F}_q)^n$ is cyclic with generator polynomial $g(x) \in \mathbb{F}_q[x]$ having **distinct roots** so $g(x) = (x-\beta_1)(x-\beta_2)\dots(x-\beta_m)$ with $\beta_i \neq \beta_j \forall i \neq j$, then $\mathcal{C}^\perp = \text{RowSpace}(H')$ for the **variant check matrix**

$$H' = \begin{matrix} m \\ \left[\begin{array}{cccc} 1 & \beta_1^1 & \beta_1^2 & \dots & \beta_1^{n-1} \\ 1 & \beta_2^1 & \beta_2^2 & \dots & \beta_2^{n-1} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 1 & \beta_m^1 & \beta_m^2 & \dots & \beta_m^{n-1} \end{array} \right] \end{matrix}$$

n

proof of PROP:

$c = [c_0 \ c_1 \ \dots \ c_{n-1}]$ dots to zero with all rows of H'

$$\Leftrightarrow c_0 + c_1 \beta_i^1 + c_2 \beta_i^2 + \dots + c_{n-1} \beta_i^{n-1} = 0 \text{ for } i=1,2,\dots,m$$

$$\Leftrightarrow c(x) := c_0 + c_1 x + c_2 x^2 + \dots + c_{n-1} x^{n-1} \text{ has } c(\beta_i) = 0 \text{ for } i=1,2,\dots,m$$

$$\Leftrightarrow (x-\beta_i) \text{ divides } c(x) \text{ in } \mathbb{F}_q[x] \text{ for } i=1,2,\dots,m$$

$$\Leftrightarrow g(x) = \prod_{i=1}^m (x-\beta_i) \text{ divides } c(x) \text{ in } \mathbb{F}_q[x]$$

$$\Leftrightarrow \overline{c(x)} \text{ is a multiple } \overline{f(x)} \cdot \overline{g(x)} \text{ of } \overline{g(x)} \text{ in } \mathbb{F}_q[x]/(x^n-1)$$

$$\Leftrightarrow c \text{ is a sum of } \overline{g(x)}, x\overline{g(x)}, \dots, x^{n-1}\overline{g(x)} \text{ in } \mathbb{F}_q[x]/(x^n-1)$$

$$\Leftrightarrow c \in \mathcal{C}$$

Hence $\mathcal{C}^\perp = \text{RowSpace}(H')$ \square

need $\beta_i \neq \beta_j$ here

How does this help us?

For $g(x) = (x - \beta)(x - \beta^2) \dots (x - \beta^{t-1})$ as in Reed-Solomon,

$$H^r = \begin{bmatrix} 1 & \beta & \beta^2 & \beta^3 & \dots & \beta^{n-1} \\ 1 & \beta^2 & (\beta^2)^2 & (\beta^2)^3 & \dots & (\beta^2)^{n-1} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \beta^{t-1} & (\beta^{t-1})^2 & (\beta^{t-1})^3 & \dots & (\beta^{t-1})^{n-1} \end{bmatrix}$$

$$(\beta^i)^j = (\beta^j)^i$$

$$= \begin{bmatrix} 1 & \beta & \beta^2 & \beta^3 & \dots & \beta^{n-1} \\ 1 & \beta^2 & (\beta^2)^2 & (\beta^3)^2 & \dots & (\beta^{n-1})^2 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \beta^{t-1} & (\beta^2)^{t-1} & (\beta^3)^{t-1} & \dots & (\beta^{n-1})^{t-1} \end{bmatrix}$$

any choice of $t-1$ columns from here gives linear independent columns, because it will be a Vandermonde matrix:

$$V := \begin{bmatrix} \alpha_1 & \alpha_2 & \dots & \alpha_{t-1} \\ \alpha_1^2 & \alpha_2^2 & \dots & \alpha_{t-1}^2 \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{t-1} & \alpha_2^{t-1} & \dots & \alpha_{t-1}^{t-1} \end{bmatrix}$$

with $\alpha_1, \alpha_2, \dots, \alpha_{t-1}$ distinct powers of β , hence $\alpha_i \neq \alpha_j$ $\forall i \neq j$.

THEOREM (see Appendix A.5 for one standard proof)

$$\text{If } \alpha_i \neq \alpha_j \text{ for } i \neq j, \det \begin{bmatrix} \alpha_1 & \alpha_2 & \dots & \alpha_{t-1} \\ \alpha_1^2 & \alpha_2^2 & \dots & \alpha_{t-1}^2 \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{t-1} & \alpha_2^{t-1} & \dots & \alpha_{t-1}^{t-1} \end{bmatrix} = \underbrace{\alpha_1 \alpha_2 \dots \alpha_{t-1}}_{\neq 0} \prod_{1 \leq i < j \leq t-1} \underbrace{(\alpha_j - \alpha_i)}_{\neq 0}$$

proof: here's another standard proof, by *induction* on t using row operations, *which don't change the determinant*. We'll illustrate the inductive step for

$t-1=4$.

First note $\det \begin{bmatrix} \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 \\ \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 \\ \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 \\ \alpha_1^4 & \alpha_2^4 & \alpha_3^4 & \alpha_4^4 \end{bmatrix}$

factoring α_i out of each entry in column i

$$= \alpha_1 \alpha_2 \alpha_3 \alpha_4 \det \begin{bmatrix} 1 & 1 & 1 & 1 \\ \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 \\ \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 \\ \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 \end{bmatrix}$$

Want this $\det(U_4) = \prod_{1 \leq i < j \leq 4} (\alpha_j - \alpha_i)$

Subtract α_i (row i) from row $i+1$ for $i=1,2,3$ to give

$$\det(U_4) = \det \begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & \alpha_2 - \alpha_1 & \alpha_3 - \alpha_1 & \alpha_4 - \alpha_1 \\ 0 & \alpha_2^2 - \alpha_1^2 & \alpha_3^2 - \alpha_1^2 & \alpha_4^2 - \alpha_1^2 \\ 0 & \alpha_2^3 - \alpha_1^3 & \alpha_3^3 - \alpha_1^3 & \alpha_4^3 - \alpha_1^3 \end{bmatrix}$$

$$\det(U_4) = \det \begin{bmatrix} \alpha_2 - \alpha_1 & \alpha_3 - \alpha_1 & \alpha_4 - \alpha_1 \\ (\alpha_2 - \alpha_1)\alpha_2 & (\alpha_3 - \alpha_1)\alpha_3 & (\alpha_4 - \alpha_1)\alpha_4 \\ (\alpha_2 - \alpha_1)\alpha_2^2 & (\alpha_3 - \alpha_1)\alpha_3^2 & (\alpha_4 - \alpha_1)\alpha_4^2 \end{bmatrix}$$

factor out
 $\alpha_j - \alpha_1$
 \downarrow
 from column $j-1$

$$= (\alpha_2 - \alpha_1)(\alpha_3 - \alpha_1)(\alpha_4 - \alpha_1) \det \begin{bmatrix} 1 & 1 & 1 \\ \alpha_2 & \alpha_3 & \alpha_4 \\ \alpha_2^2 & \alpha_3^2 & \alpha_4^2 \end{bmatrix}$$

$$= \prod_{2 \leq i < j \leq 4} (\alpha_j - \alpha_i)$$

by induction, since this looks like U_3

$$= \prod_{1 \leq i < j \leq 4} (\alpha_j - \alpha_i) \quad \square$$

Once we know the variant check matrix H' generating \mathcal{C}^\perp has all $t-1$ subsets of columns independent, we know $d(\mathcal{C}) \geq t$. But then the Singleton bound forces $k \leq n - (d(\mathcal{C}) - 1)$

$$\cancel{t} - t \leq \cancel{n} - (d(\mathcal{C}) - 1)$$

$$\Rightarrow d(\mathcal{C}) \leq t. \text{ So } d(\mathcal{C}) = t \quad \square$$