

(90) Posets (Stanley Ch.3, Ardila §4)

DEFIN: Recall a poset (P, \leq) is a binary relation $x \leq y$ on a set P

which is reflexive
antisymmetric
transitive

$$x \leq x$$

$$x \leq y, y \leq x \Rightarrow x = y$$

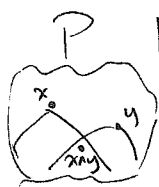
$$x \leq y, y \leq z \Rightarrow x \leq z$$

It is graded if every ~~interval~~ ^{chain $x_0 < x_1 < \dots < x_l$ is} ~~finite~~ finite

and all maximal chains have same length l ;

it is ranked if it has a bottom element $\hat{0}$ (minimum) and every $x \in P$

has all maximal chains in $[\hat{0}, x] = \{y \in P : \hat{0} \leq y \leq x\}$ of same length $l =: \text{rank}_P(x)$. Its rank gen. fun is $F(P, x) := \sum_{p \in P} x^{\text{rank}(p)}$



It is a meet semilattice if every $x, y \in P$ have some element $x \wedge y$ in P , called their meet, which is a greatest lower bound for x, y .

any $z \leq x, y$ has $z \leq x \wedge y \leq x, y$.

Note:

$$\begin{cases} (x \wedge y) \wedge z = (x \wedge y) \wedge z \\ x \wedge y = y \wedge x \\ x \wedge x = x \\ x \wedge y = x \Leftrightarrow x \leq y \end{cases}$$

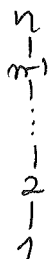
It is a join semilattice if $\forall x, y \in P \exists$ a join $x \vee y$ in P , a least

upper bound: any $z \geq x, y$ has $z \geq x \vee y \geq x, y$.

It is a lattice if it is both a meet- and join semi-lattice. Note:
 $x \wedge (x \vee y) = x$
 $x \vee (x \wedge y) = x$

EXAMPLES:

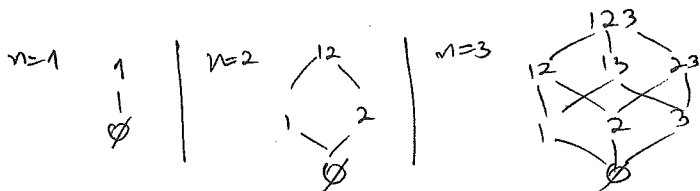
① Finite chains $\underline{n} :=$



are graded lattices

$$F(\underline{n}, x) = [n]_x = 1 + x + x^2 + \dots + x^{n-1}$$

② Boolean algebras $B_n = 2^{[n]}$ are graded lattices, with $S \wedge T = S \cap T$
 $S \vee T = S \cup T$



$$\text{rank}(S) = |S|$$

$$F(B_n, x) = \sum_{k=0}^n \binom{n}{k} x^k$$

③ PROP: A finite meet semilattice (P, \leq) always has a $\hat{0}$ (=minimum elt.) and if it also has a $\hat{1}$ (=maximum elt.), then it is a lattice.

proof: Check that $((x_1 \wedge x_2) \wedge x_3) \dots \wedge x_l$ is a greatest lower bound for any finite subset $\{x_1, \dots, x_l\}$ in a meet semilattice.

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Hence if $P = \{p_1, \dots, p_\ell\}$ is a finite ~~met~~ semilattice, then

$\hat{0} = p_1 \wedge \dots \wedge p_\ell$ exists in P .

Also, if P has a $\hat{1}$, then given $x, y \in P$ the set $\{x_i, \dots, x_\ell\}$ of all upper bounds for x, y (i.e. $x_i \geq x, y$) is non-empty (as $\hat{1}$ is mit), and one can check that $x_1 \wedge \dots \wedge x_\ell = x \vee y$ \blacksquare

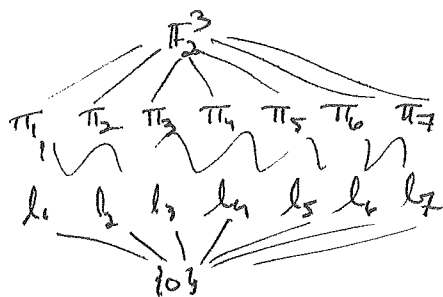
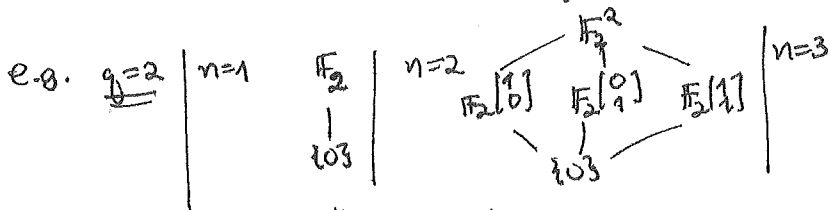
④ ~~vector space~~ $B_n(q) = L_n(q) = L(\mathbb{F}_q^n) := \{ \text{all } \mathbb{F}_q\text{-linear subspaces } V \subseteq \mathbb{F}_q^n \}$
= vector space lattices

ordered by \subseteq are graded lattices

with $V \wedge W := V \cap W$

$V \vee W := V + W = \{v+w : v \in V, w \in W\}$

$\text{rank}(V) = \dim_{\mathbb{F}_q}(V)$



$$F(B_n(q), x) = \sum_{k=0}^n \binom{n}{k}_q x^k$$

⑤ $\Pi_n = \{ \text{set partitions of } [n] \}$

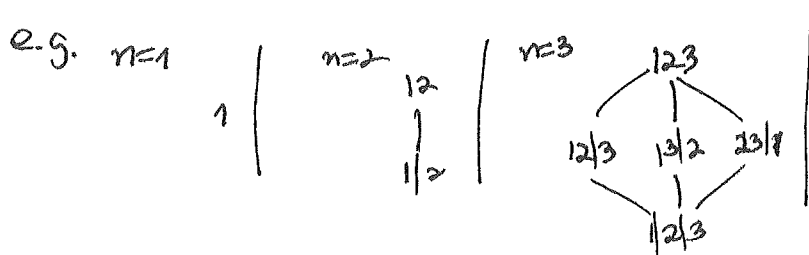
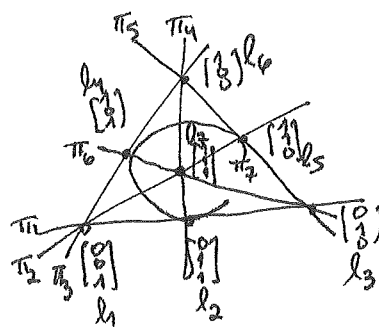
ordered by refinement

are graded lattices

with $\pi_1 \wedge \pi_2 = \text{common refinement of } \pi_1, \pi_2$

$\pi_1 \vee \pi_2 = \text{transitive closure of } \pi_1, \pi_2 \text{'s blocks}$

$\text{rank}(\pi) = n - \#\text{blocks}(\pi)$



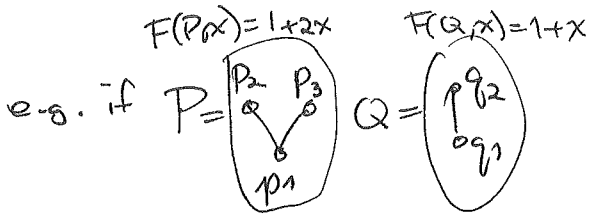
$$F(\Pi_n, x) = \sum_{k=1}^n S(n, n-k) x^{n-k}$$

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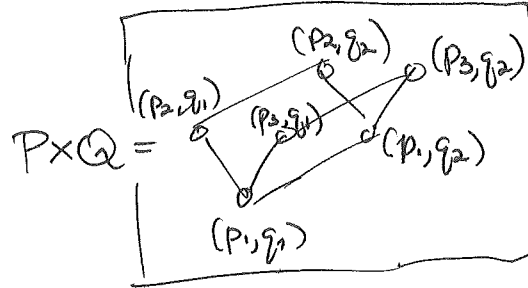
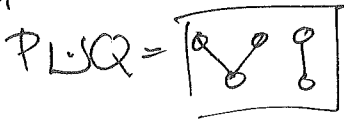
⊙ Given P, Q posets, $P \sqcup Q =$ disjoint union having $p \in P, q \in Q$ incomparable ($p \not\leq q, q \not\leq p$)

$P \times Q =$ ~~disjoint union~~ Cartesian product with componentwise order:

$$(p_1, q_1) \leq (p_2, q_2) \iff \begin{cases} p_1 \leq p_2 \\ \text{and} \\ q_1 \leq q_2 \end{cases}$$



then



$$F(P \times Q, x) = 1 + 3x + 2x^2 = (1 + 2x)(1 + x)$$

P, Q lattices
~~ranked~~
ranked

\Rightarrow ~~ranked~~ $P \times Q$ lattice, ranked

$$F(P \times Q, x) = F(P, x) F(Q, x)$$

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⊙ DEFN: An (order) ideal $I \subseteq P$ a poset is a subset closed under going downward in P , i.e. $p \in I$ and $p' \leq p \Rightarrow p' \in I$

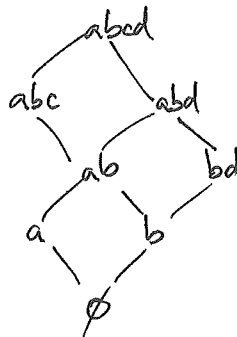
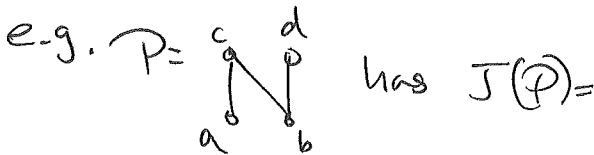


$J(P) :=$ {the lattice of all order ideals $I \subseteq P$ } has $I_1 \cap I_2 = I_1 \cap I_2$
ordered via \subseteq $I_1 \cup I_2 = I_1 \cup I_2$

which makes it a distributive lattice, i.e. $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$

$$x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$$

(because \cap, \cup satisfy these properties)



$$F(J(P), x) = \sum_{\text{ideals } I \subseteq P} x^{|I|}$$

When P is finite, $J(P)$ is ranked

with $\text{rank}(I) = |I|$.

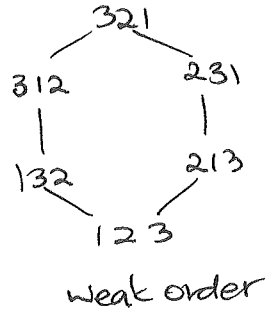
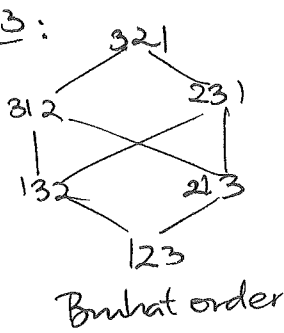
EXERCISE: ^{Show} $J(P \sqcup Q) \cong J(P) \times J(Q)$

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⑧ Two posets on S_n that can be defined via transitive closure:

(strong) Burhat order: trans closure of $x < y$ when $x(i,j) = y$ for some $i < j \in n$
 (weak) order: — " ————— $x(i,i+1) = y$ and $inv(x) < inv(y)$
 for some $1 \leq i \leq n-1$ and $inv(x) < inv(y)$

e.g. $n=3$:

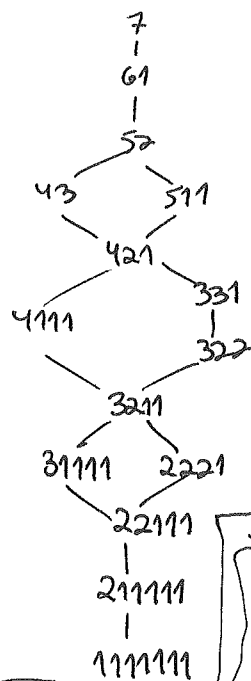
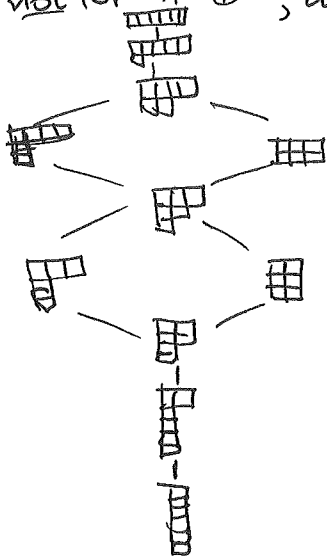


- Both are ranked, with $rank(w) = inv(w)$, so have $F(P, g) = \sum_{w \in S_n} g^{inv(w)} = (n!)_g$
- Weak order is a lattice (not obvious); Burhat order is not.

⑨ Dominance order on $\{\text{partitions } \lambda \vdash n\}$:

$$\mu \triangleleft \lambda \text{ if } \begin{matrix} \mu_1 \leq \lambda_1 \\ \mu_1 + \mu_2 \leq \lambda_1 + \lambda_2 \\ \vdots \\ \mu_1 + \dots + \mu_k \leq \lambda_1 + \lambda_2 + \dots + \lambda_k \end{matrix}$$

It turns out to be a total or linear order for $n=1,2,3,4,5$ but not for $n=6$, and not even ranked for $n=7$



EXERCISE: It is always self-dual, i.e. $P \cong P^{opp} = P^*$ via $\lambda \mapsto \lambda^t$

EXERCISE: It is a lattice, in which if $\lambda \wedge \mu = \rho$, then $\lambda \vee \mu = \nu$

$$\rho_1 + \dots + \rho_k = \min(\lambda_1 + \dots + \lambda_k, \mu_1 + \dots + \mu_k)$$

$$\nu_1 + \dots + \nu_k = \max(\lambda_1 + \dots + \lambda_k, \mu_1 + \dots + \mu_k)$$

(94) Distributive lattices (Stanley §3.4)

DEF'N - PROP: In a lattice L ,

$$(a) \quad x \wedge (y \vee z) \geq (x \wedge y) \vee (x \wedge z) \quad \forall x, y, z \in L$$

$$(b) \quad x \vee (y \wedge z) \leq (x \vee y) \wedge (x \vee z) \quad \forall x, y, z \in L$$

and equality in (a) ^{holds} $\forall x, y, z \in L$

\Leftrightarrow equality in (b) holds $\forall x, y, z \in L$

in which case L is called distributive.

EXAMPLES:

① For a poset P , $J(P) = \{\text{order ideals } I \subseteq P\}$
is a distributive lattice

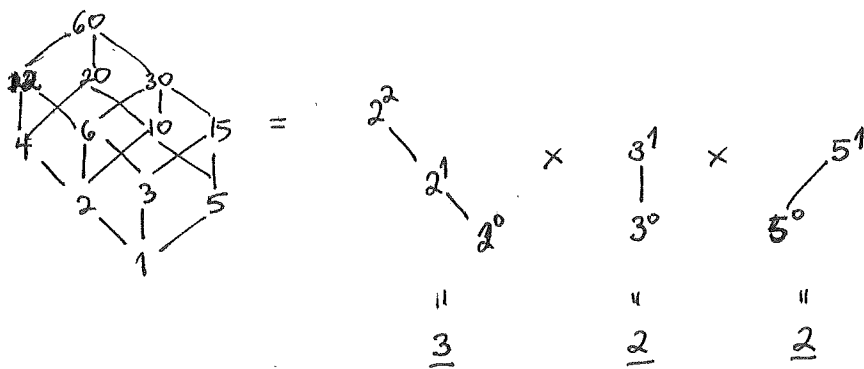
② L_1, L_2 dist. $\Rightarrow L_1 \times L_2$ distributive, and same for $L_1 \times \dots \times L_k$

③ The divisor poset $D_n = \{\text{all divisors of } n\}$ for $n = 1, 2, \dots$
is distributive, since if $n = p_1^{a_1} p_2^{a_2} \dots p_k^{a_k}$ for distinct primes p_i :

then $D_n \cong \underline{a_1+1} \times \underline{a_2+1} \times \dots \times \underline{a_k+1}$, and each chain $\underline{a_i+1}$ is distributive

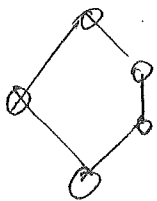
e.g. $n=60 = 2^2 \cdot 3^1 \cdot 5^1$

has $D_{60} \cong \underline{3} \times \underline{2} \times \underline{2}$

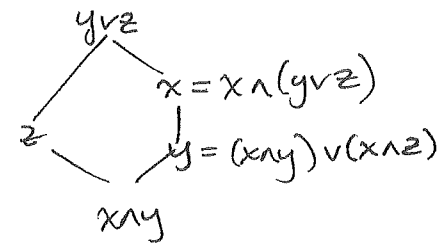


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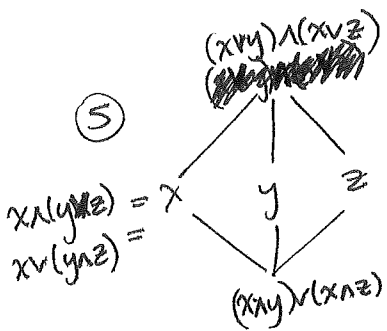
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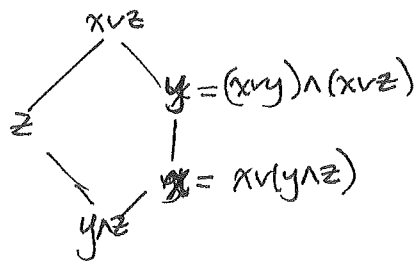
is not distributive:



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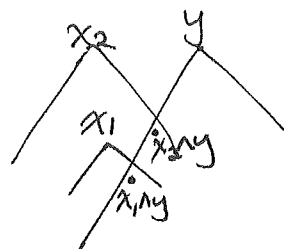


is not distributive:



proof of DEF'N-PROP:

Note that $x_1 \leq x_2$ in $L \Rightarrow x_1 \wedge y \leq x_2 \wedge y$



so $x \wedge (y \vee z) \geq x \wedge y, \} \Rightarrow x \wedge (y \vee z) \geq (x \wedge y) \vee (x \wedge z)$
proving (a).

One proves (b) dually, i.e. switching \leq for \geq and \vee for \wedge

Now assuming (a) ~~holds~~ holds with equality $\forall x, y, z \in L$

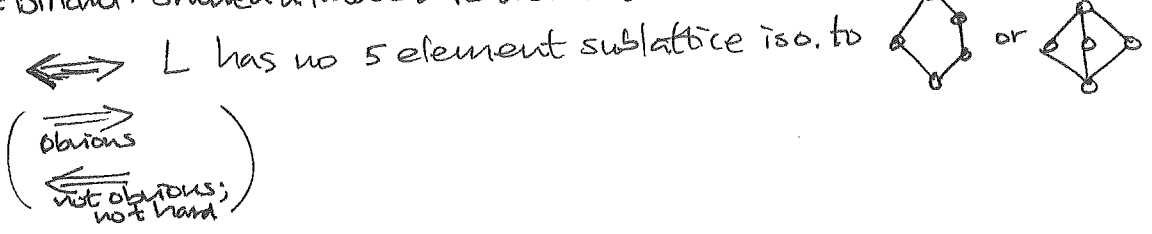
i.e. $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$

then to prove (b) holds with equality: ~~it suffices to prove~~

~~the opposite inequality, i.e. that $x \vee (y \wedge z) \geq (x \vee y) \wedge (x \vee z)$~~

$$\begin{aligned}
 \text{Now } (x \vee y) \wedge (x \vee z) &\stackrel{(a)}{=} ((x \vee y) \wedge x) \vee ((x \vee y) \wedge z) \\
 &\stackrel{(a)}{=} x \vee ((x \wedge z) \vee (y \wedge z)) \\
 &= x \vee (y \wedge z) \quad \text{since } x \geq x \wedge z
 \end{aligned}$$

RMJC: ¹⁹⁴⁸ Garrett Birkhoff showed a lattice L is distributive



More importantly, he showed the following

THM (Birkhoff's Fundamental Theorem of Finite Distributive Lattices)

Every finite distributive lattice L is isomorphic to $J(P)$

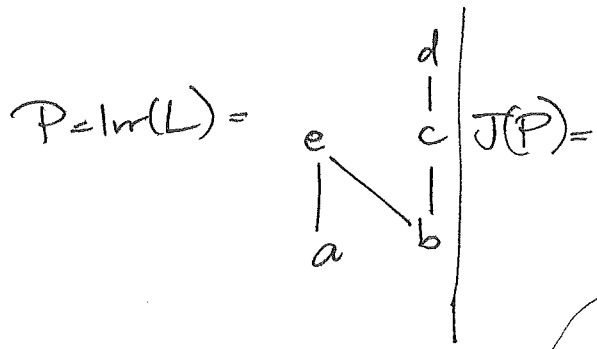
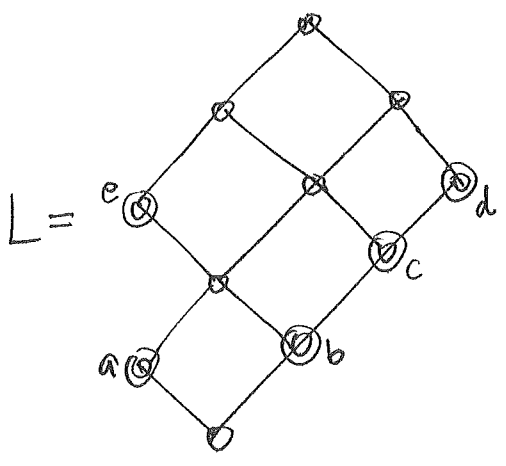
for a poset P defined uniquely up to isomorphism,

namely $P \cong \text{Irr}(L) := \{\text{the join-irreducible } p \in L\}$

with the induced partial order as a subset of L

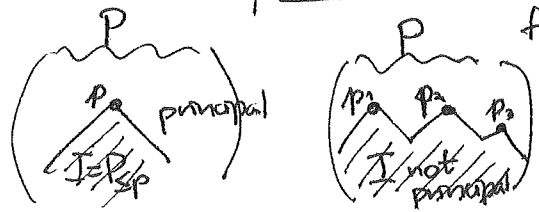
$p = x_1 \vee \dots \vee x_k$ for some $\{x_i \in L\}$
 $\implies p = x_i$ for some i .

EXAMPLE:



is distributive, with elements of $P = \text{Irr}(L)$ labeled

Note that the join-irreducibles in $J(P)$ = principal order ideals $I = P_{\leq p} = \{q \in P : q \leq p\}$ for some $p \in P$



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proof of Birkhoff's Thm:Given L finite and distributive, define maps

$$L \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} J(P) \quad \text{where } P = \text{Irr}(L)$$

$$x \xrightarrow{f} f(x) := \{p \in \text{Irr}(L) : p \leq x\}$$

$$g(I) := p_1 \vee \dots \vee p_r \xleftarrow{g} I = \{p_1, \dots, p_r\}$$

It's not hard to see both f, g are order-preserving i.e. $x \leq y \Rightarrow f(x) \leq f(y)$
 $I \subseteq I' \Rightarrow g(I) \leq g(I')$.
 We claim that in any finite lattice (not nec. distributive)

$$\text{one has } g(f(x)) = \bigvee_{\substack{p \in \text{Irr}(L): \\ p \leq x}} p = x:$$

Certainly $\bigvee_{\substack{p \in \text{Irr}(L): \\ p \leq x}} p \leq x$ since each $p \leq x$, but also one can

write $x = p_1 \vee p_2 \vee \dots \vee p_r$ with each p_i join-irreducible
 using downward induction on x in L (either $x \in \text{Irr}(L)$ or write
 $x = x_1 \vee x_2$ where $x_1 \not\leq x$, $x_2 \not\leq x$;
 repeat).

$$\text{Hence } x = \bigvee_{\substack{p \in \text{Irr}(L): \\ p \leq x}} p = g(f(x)).$$

$$\text{On the other hand, } f(g(\underline{I})) = \{g \in \text{Irr}(L) : g \leq p_1 \vee \dots \vee p_r\} \supseteq \underline{I}$$

$$\text{but } g \leq p_1 \vee \dots \vee p_r \Rightarrow g = g \wedge (p_1 \vee \dots \vee p_r)$$

$$\xrightarrow{\text{distributivity}} (g \wedge p_1) \vee \dots \vee (g \wedge p_r)$$

$$\xrightarrow{g \in \text{Irr}(L)} \Rightarrow g = g \wedge p_i \text{ for some } i$$

$$\Rightarrow g \leq p_i \in I$$

$$\xrightarrow{\substack{I \text{ is an} \\ \text{order ideal}}} \Rightarrow g \in I$$

$$\text{Hence } f(g(I)) = I. \quad \blacksquare$$

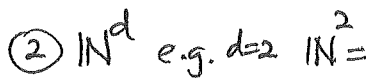
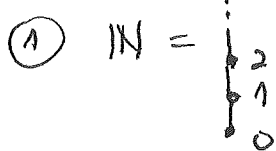
(98)

RMK: Certain ∞ distributive lattices are important...

DEF'N: A finitary distributive lattice is a dist. lattice with a $\hat{0}$ which is locally finite ~~infinite~~

↑ all intervals $(x, y) := \{z \in L : x \leq z \leq y\}$ are finite

EXAMPLES:

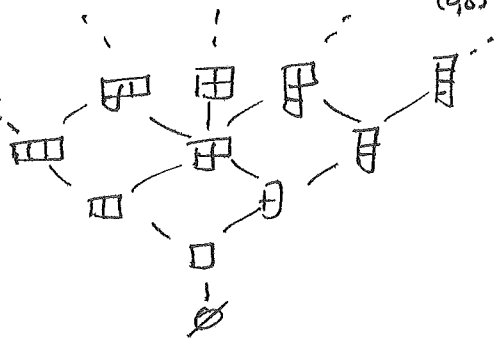


③ $\mathbb{Y} =$ Young's lattice on partitions

$\mu \wedge \lambda = \mu \cap \lambda$



$\mu \vee \lambda = \mu \cup \lambda$



One can easily show this generalization of Birkhoff's Thm:

THM: Every finitary dist. lattice L is isomorphic to

$J_f(P) := \{\text{all finite order ideals } I \subseteq P\}$

for some poset P having all principal order ideals $P_{\leq p}$ finite, defined uniquely up to iso., namely $P \cong \text{Irr}(L)$.

EXAMPLES:

① $\mathbb{N} =$ $= J_f(\text{vertical chain})$

② $\mathbb{N}^d \cong J_f(\underbrace{\text{vertical chain} \sqcup \dots \sqcup \text{vertical chain}}_{d \text{ copies}})$

③ $\mathbb{Y} = J_f(\mathbb{N}^2 \text{ grid})$

(99) Möbius inversion (Stanley §3.6, 3.7)

Let's re-interpret inclusion-exclusion as being about the poset $P = \mathcal{B}_n = 2^{[n]}$ and functions $f = f_{\subseteq} : P \rightarrow R$ where R is something

where we ~~were~~ were given a new function

$$g = f_{\supseteq} : P \rightarrow R \text{ such that } g(S) = \sum_{T \subseteq S} f(T)$$

$$\text{i.e. } g(y) = \sum_{x \in P} f(x, y) f(x)$$

$$\text{where } f(x, y) = \begin{cases} 1 & \text{if } x \subseteq y \text{ in } P \\ 0 & \text{else} \end{cases}$$

and we could invert to get f via

$$f_{\subseteq}(S) = \sum_{T \subseteq S} (-1)^{|S-T|} f_{\supseteq}(T)$$

$$\text{i.e. } f(y) = \sum_{x \in P} \mu(x, y) g(x) \text{ where } \mu(x, y) = \begin{cases} (-1)^{|y-x|} & \text{if } x \subseteq y \text{ in } P \\ 0 & \text{else} \end{cases}$$

This works for other locally finite posets P , once we figure out where $\{(\cdot, \cdot), \mu(\cdot, \cdot)\}$ should live...

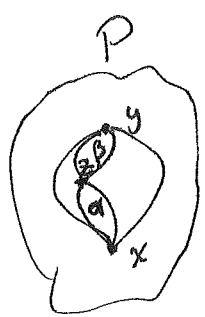
DEFIN: The incidence algebra $I(P, R)$ of a loc. fin. poset P (over a comm. ring R) is the ~~set~~ ^{ring} of all functions $\alpha: \text{Int}(P) \rightarrow R$

"
intervals $[x, y]$ in P "

with pointwise addition: $(\alpha + \beta)(x, y) = \alpha(x, y) + \beta(x, y)$

and convolution product: $(\alpha * \beta)(x, y) = \sum_{z \in [x, y]} \alpha(x, z) \beta(z, y)$
a finite sum!

and 2-sided identity element: $\delta(x, y) = \begin{cases} 1 & \text{if } x=y \\ 0 & \text{if } x \neq y \end{cases} = \text{Kronecker delta}$



(100) We'll want to know that the zeta function $f(x,y) = \begin{cases} 1 & \text{if } x \leq y \\ 0 & \text{else} \end{cases}$

is always invertible in $I(P, R)$:

PROP: $\alpha \in I(P, R)$ has a (β -sided) inverse $\Leftrightarrow \alpha(x,x) \in R^\times \forall x \in P$

proof: ~~_____~~ $\alpha * \beta = \delta$

$$\Leftrightarrow (\alpha * \beta)(x,y) = \delta(x,y) = \begin{cases} 1 & \text{if } x=y \\ 0 & \text{if } x \neq y \end{cases} \quad \forall x,y \in P$$

$$\sum_{z \in [x,y]} \alpha(x,z) \beta(z,y)$$

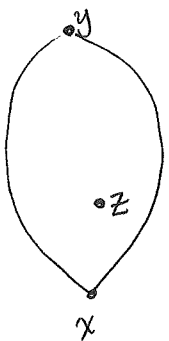
which forces $\alpha(x,x) \beta(x,x) = 1$, so $\left\{ \begin{array}{l} \alpha(x,x) \in R^\times \\ \beta(x,x) = \alpha(x,x)^{-1} \end{array} \right\} \forall x \in P$

and then when $\alpha(x,x) \in R^\times$, the values for $\beta^{(x,y)}$ are uniquely determined by induction on $\#[x,y]$ via

$$\alpha(x,x) \beta(x,y) + \sum_{z \in [x,y]} \alpha(x,z) \beta(z,y) = 0$$

$$\Rightarrow \beta(x,y) = -\alpha(x,x)^{-1} \sum_{z \in [x,y]} \alpha(x,z) \beta(z,y)$$

$\underbrace{\hspace{10em}}_{\#[z,y] < \#[x,y]}$



Note $\alpha(x,x) \in R^\times$ will also give a left-inverse $\beta'(\cdot, \cdot)$

defined by $\beta'(x,y) = -\alpha(y,y)^{-1} \sum_{z \in [x,y]} \beta'(x,z) \alpha(z,y)$

recursively

but then associativity of $*$ forces $\beta' = \beta'(\alpha\beta) = (\beta'\alpha)\beta = \beta$ \blacksquare

COR: $f(\cdot, \cdot)$ has an inverse, called the Möbius function $\mu = f^{-1}$

defined recursively by $\boxed{\mu(x,x) = 1 \quad \forall x \in P}$

and either $\mu(x,y) = -\sum_{z \in [x,y]} \mu(z,y) \quad \forall x < y$

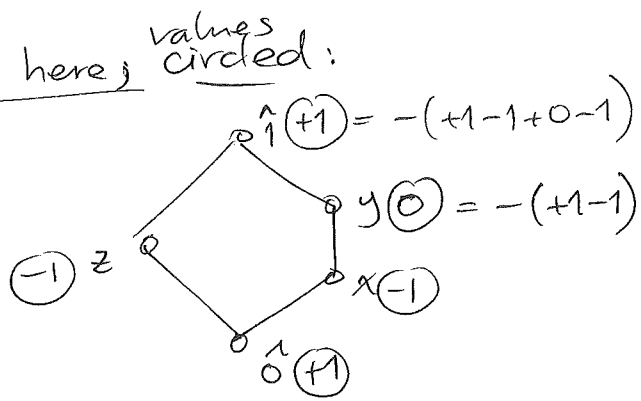
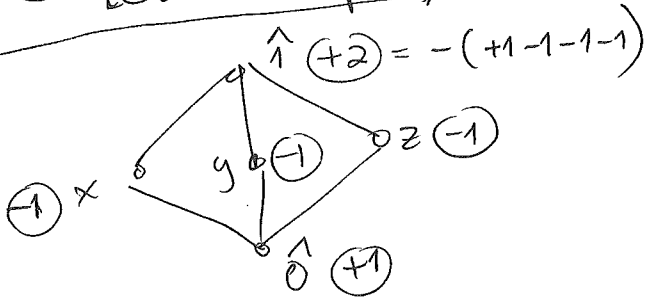
$z \in [x,y]$ i.e. $x < z \leq y$

or $\boxed{\mu(x,y) = -\sum_{z \in [x,y]} \mu(x,z) \quad \forall x < y}$

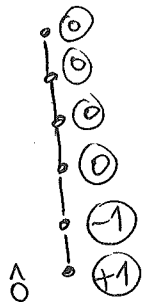
$z \in [x,y]$ i.e. $x \leq z < y$

(101) EXAMPLES of $\mu(\cdot, \cdot)$

① Let's compute $\mu(\hat{0}, p) \forall p$ here; values circled:



② In a finite chain, $\mu(x, y) = \begin{cases} +1 & \text{if } x=y \\ -1 & \text{if } x < y \\ 0 & \text{else} \end{cases}$

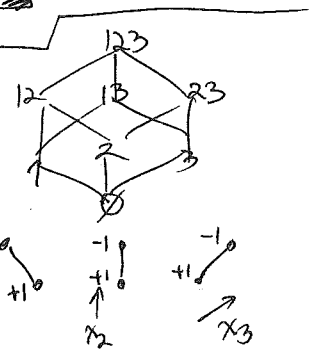
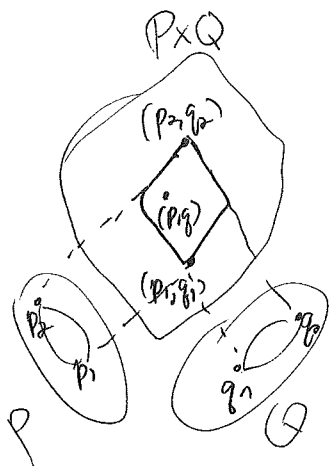


③ PROP: In a product $P \times Q$ $\mu_{P \times Q}((p_1, q_1), (p_2, q_2)) = \mu_P(p_1, p_2) \mu_Q(q_1, q_2)$

proof: The function ~~μ~~ $\alpha(\cdot, \cdot) \in I(P \times Q, \mathbb{Z})$ defined by the RHS satisfies the correct initial condition

and recurrence: $\alpha((p, q), (p, q)) = \underbrace{\mu_P(p, p)}_{+1} \underbrace{\mu_Q(q, q)}_{+1} = +1 \checkmark$

$$\sum_{(p, q) \in [(p_1, q_1), (p_2, q_2)]} \mu_P(p_1, p) \mu_Q(q_1, q) = \underbrace{\left(\sum_{p \in [p_1, p_2]} \mu_P(p_1, p) \right)}_0 \cdot \underbrace{\left(\sum_{q \in [q_1, q_2]} \mu_Q(q_1, q) \right)}_0 = 0 \checkmark$$



④ COR: In $B_n = 2^{[n]} \cong \mathbb{2}^n = \mathbb{2} \times \mathbb{2} \times \dots \times \mathbb{2}$,

$$\mu(\mathbb{1}, \mathbb{1}) = (-1)^{|\mathbb{1} - \mathbb{1}|}$$

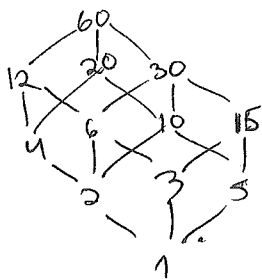
for TES

(102) (5) The number-theoretic Möbius function $\mu(m) = \begin{cases} (-1)^k & \text{if } m = p_1 \cdots p_k \\ \text{is squarefree} \\ \text{with } k \text{ prime} \\ \text{factors} \\ 0 & \text{if } m \text{ is not} \\ & \text{squarefree} \end{cases}$

is really computing $\mu_{D_n}(d_1, d_2) = \mu\left(\frac{d_2}{d_1}\right)$

for $d_1 | d_2$ in the divisor poset $D_n \cong \underbrace{(a_1+1)} \times \cdots \times \underbrace{(a_k+1)}$
when $n = p_1^{a_1} \cdots p_k^{a_k}$

e.g. $n = 60 = 2^2 \cdot 3^1 \cdot 5^1$



$$\mu(3, 12) = \mu\left(\frac{12}{3}\right) = \mu(4) = \mu(2^2) = 0$$

↑
not squarefree

Similarly,

$$\mu(3, 60) = \mu\left(\frac{60}{3}\right) = \mu(20) = \mu(2^2 \cdot 5) = 0$$

$$\text{But } \mu(2, 60) = \mu\left(\frac{60}{2}\right) = \mu(30) = \mu(2^1 \cdot 3^1 \cdot 5^1) = (-1)^3$$

Now let's state and use...

THM (Möbius inversion formula)

If a poset P has all $P_{\leq p}$ finite, and $f, g: P \rightarrow \underbrace{\mathbb{R}}_{\text{a comm. ring}}$

are related by $g(y) = \sum_{\substack{x \in P: \\ x \leq y}} f(x)$

$$\text{then } f(y) = \sum_{\substack{x \in P: \\ x \leq y}} \mu(x, y) g(x)$$

(and dually, if all $P_{\geq p}$ are finite, with $g(y) = \sum_{x: x \geq y} f(x)$

$$\text{then } f(y) = \sum_{x: x \geq y} \mu(y, x) g(x)$$

(103)

proof: The free R -module $R^P := \{\text{functions } f: P \rightarrow R\}$
 (with pointwise addition and scaling by els of R)

is actually a (right) $I(P, R)$ -module, meaning that $\alpha \in I(P, R)$

act on such f via $(f \cdot \alpha)(y) = \sum_{x \in P} f(x) \alpha(x, y)$

and $(f \cdot \alpha) \cdot \beta = f \cdot (\alpha * \beta)$ since $((f \cdot \alpha) \cdot \beta)(y) = \sum_{x \in P} (f \cdot \alpha)(x) \beta(x, y)$

$$= \sum_{x \in P} \sum_{x' \in P} f(x') \alpha(x', x) \beta(x, y)$$

$$= \sum_{x' \in P} f(x') \underbrace{\sum_{x \in P} \alpha(x', x) \beta(x, y)}_{(\alpha * \beta)(x', y)}$$

$$= (f \cdot (\alpha * \beta))(y)$$

Then $g(y) = \sum_{\substack{x \in P \\ x \leq y}} f(x) = \sum_{x \in P} f(x) f(x, y)$

~~act on right by~~

i.e. $g = f \cdot f$

$\} \text{ act on right by } f^{-1} = \mu$

$$g \cdot \mu = f$$

i.e. $\sum_{x \in P} g(x) \mu(x, y) = f(y)$

$$\sum_{\substack{x \in P \\ x \leq y}} \mu(x, y) g(x) \quad \blacksquare$$

COR 1: Inclusion-Exclusion, for $P = B_n$.

(104)

12/1/2015 COR 2 (Number-theoretic Möbius Inversion)

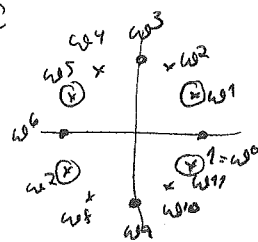
If $f, g: \mathbb{P}_n \rightarrow \mathbb{R}$ are related by $g(n) = \sum_{d|n} f(d)$

then $f(n) = \sum_{d|n} \mu(\frac{n}{d}) g(d)$
 $d|n = \mu(d, n)$ in divisor poset.

EXAMPLES

① Euler's phi-function $\varphi(n) = |(\mathbb{Z}/n\mathbb{Z})^\times|$
 $= |\{m \in \mathbb{Z}/n\mathbb{Z} : \gcd(m, n) = 1\}|$
 $= \# \text{ prim. } n^{\text{th}} \text{ roots of } 1 \text{ in } \mathbb{C}$

e.g. $\varphi(12) = 4 = |\{1, 5, 7, 11\}|$



It satisfies $f(n) = n = |\mathbb{Z}/n\mathbb{Z}| = \sum_{d|n} \varphi(d)$
 $= \# \{ n^{\text{th}} \text{ roots of } 1 \text{ in } \mathbb{C} \text{ (not nec. prim.)} \}$
 $d: \# \text{ prim. } d^{\text{th}} \text{ roots in } \mathbb{C}$

e.g. $\{0, 1, \dots, 12\} = \{0\} \sqcup \{6\} \sqcup \{4, 8\} \sqcup \{3, 9\} \sqcup \{2, 10\} \sqcup \{1, 5, 7, 11\}$
 $d=1 \quad d=2 \quad d=3 \quad d=4 \quad d=6 \quad d=12$

Hence by Möbius inversion, $g(n) = \sum_{d|n} \mu(\frac{n}{d}) f(d)$

If $n = p_1^{a_1} \dots p_k^{a_k}$

$= \sum_{d|n} \mu(\frac{n}{d}) d$

$= \sum_{S \subseteq \{1, 2, \dots, k\}} \mu(\prod_{i \in S} p_i) \cdot \frac{n}{\prod_{i \in S} p_i}$

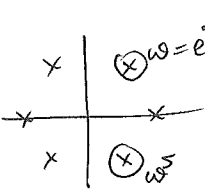
$= \sum_{S \subseteq \{1, 2, \dots, k\}} (-1)^{|S|} \frac{n}{\prod_{i \in S} p_i}$

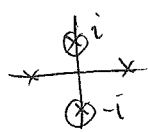
$= n \prod_{i=1}^k (1 - \frac{1}{p_i}) = \prod_{i=1}^k (p_i^{a_i} - p_i^{a_i-1})$

② EXERCISE: Show that $f(n) := \sum_{\substack{\text{primitive} \\ n\text{th roots of} \\ \text{unity } \zeta \in \mathbb{C}}} \zeta = \mu(n)$ (number-theoretic Möbius function)

by checking that $\sum_{d|n} f(d) = \begin{cases} 1 & \text{if } n=1 \\ 0 & \text{if } n \geq 2 \end{cases}$

(and why does this suffice?)

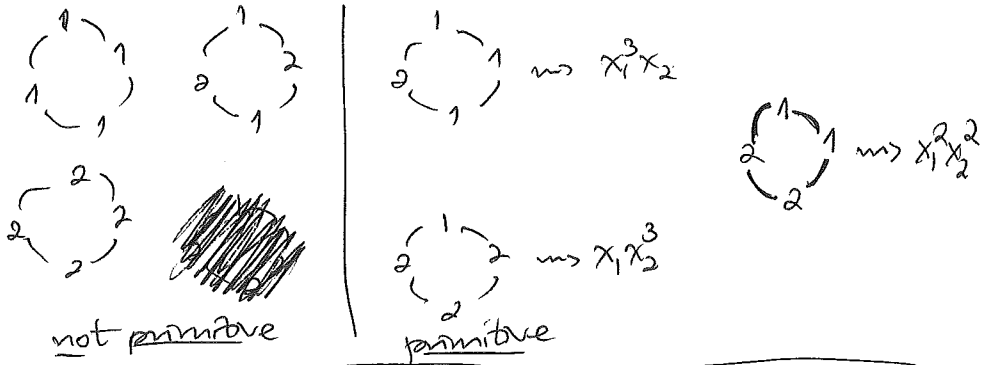
e.g. $n=6$  $f(6) = \omega + \omega^5 = +1 = \mu(6)$
 $2 \cdot 3$

$n=4$  $f(4) = i + (-i) = 0 = \mu(4)$
 $2 \cdot 2$

③ ~~DEF~~ N: A necklace with g colors is a word $(w_1, \dots, w_n) \in \{1, 2, \dots, g\}^n$ of size n

considered up to cyclic rotation, and is primitive if its equiv. class has size n

e.g. $n=4$, $g=2$



not primitive | primitive

PROP: $\sum_{\substack{\text{prim. necklaces } [w] \\ \text{of size } n \\ \text{with } g \text{ colors}}} \sum_{i=1}^n x_{w_i} = \frac{1}{n} \sum_{d|n} \mu(d) \underbrace{\left(\sum_{j=1}^g x_j^d \right)^{n/d}}_{\text{power sum symmetric function } P_d(x_1, x_2, \dots, x_g)}$

and $\#\{\text{of such necklaces}\} = \frac{1}{n} \sum_{d|n} \mu(d) g^{n/d}$

e.g. $n=4$, $g=2$

$x_1^3 x_2 + x_1 x_2^3 + x_1^2 x_2^2 = \frac{1}{4} \sum_{d|4} \mu(d) (x_1^d + x_2^d)^{4/d} = \frac{1}{4} \left((x_1 + x_2)^4 - (x_1^2 + x_2^2)^2 + 0 \cdot (x_1^4 + x_2^4) \right)$

$= \frac{1}{4} (x_1^4 + 4x_1^3 x_2 + 6x_1^2 x_2^2 + 4x_1 x_2^3 + x_2^4 - (x_1^4 + 2x_1^2 x_2^2 + x_2^4))$

$= \frac{1}{4} (4x_1^3 x_2 + 4x_1 x_2^3 + 4x_1^2 x_2^2)$

$= x_1^3 x_2 + x_1 x_2^3 + x_1^2 x_2^2 \checkmark$

(106)

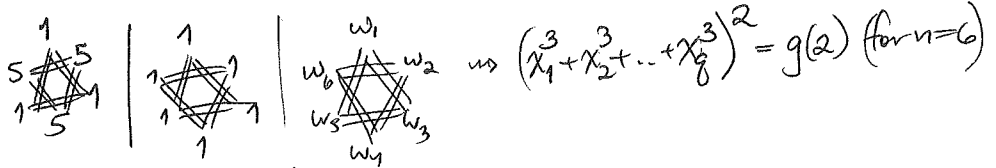
proof: Fixing n , if we ~~define~~ define for $d|n$

$$f(d) := \sum_{\substack{w \in \{1, \dots, n\}^m \\ |w| \text{ has size } d}} \chi_w$$

$$g(d) := \sum_{\substack{w \in \{1, \dots, n\}^m \\ |w| \text{ has size } \leq d}} \chi_w = \sum_{e|d} f(e)$$

then we want $\frac{1}{n} f(n)$, and we have $g(d) = \left(\chi_1^{n/d} + \chi_2^{n/d} + \dots + \chi_{n/d}^{n/d} \right)^d = p_{n/d}^d$

e.g. $n=6$
 $d=2$



Hence $\frac{1}{n} f(n) \stackrel{\text{Möbius inv.}}{=} \frac{1}{n} \sum_{d|n} \mu\left(\frac{n}{d}\right) g(d) = \frac{1}{n} \sum_{d|n} \mu\left(\frac{n}{d}\right) p_{n/d}^d = \frac{1}{n} \sum_{d|n} \mu(d) p_d^{n/d}$

④ P. Hall's application (1936) — Given a finite group G , how to compute $f(G) := \# \{ \text{subsets } A \subseteq G \text{ generating } G, \text{ i.e. } \langle A \rangle = G \}$?

For a subgroup $H \leq G$, easy to compute

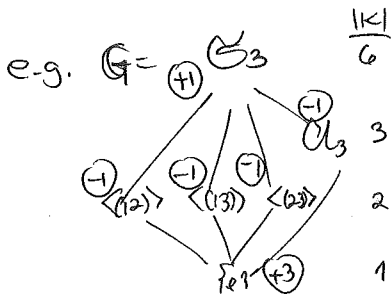
$$g(H) := \# \{ \text{subsets } A \subseteq G \text{ generating some } K \leq H \} \\ = \# \{ \text{subsets } A \subseteq H \} = 2^{|H|}$$

But $f(H) = \sum_{K: K \leq H} f(K)$
↑
in the lattice of subgroups $\mathcal{L}(G)$
 $H_1 \cap H_2 = H_1 \cap H_2$
 $H_1 \cup H_2 = \langle H_1, H_2 \rangle$

$$\text{so } f(H) = \sum_{K: K \leq H} \mu(K, H) g(K) \\ = \sum_{K: K \leq H} \mu(K, H) 2^{|K|}$$

$$\text{i.e. } f(G) = \sum_{K: K \leq G} \mu(K, G) 2^{|K|}$$

$\mu(K, G)$
circled



$$\text{so } f(G_3) = \sum_{K: K \leq G_3} \mu(K, G_3) 2^{|K|} = 2^6 - (2^2 + 2^2 + 2^2 + 2^3) + 3 \cdot 2^1 \\ = 64 - 20 + 6 \\ = 50$$

(107)

More Möbius functions (§ 3.9, 3.8 Stanley)

Let's develop Weisner's formula & cross-cut formula for $\mu(\cdot, \cdot)$ in a lattice, before computing μ in $\Pi_n, \mathcal{L}_n(q), J(P)$.

An algebraic tool is helpful:

DEF'N: For a lattice L , its Möbius algebra $A(L, k)$ over a field k is k^L with a k -basis $\{f_x\}_{x \in L}$ that multiplies by this rule: $f_x f_y = f_{x \wedge y}$ (= semigroup alg. k for \wedge on L)

PROP: For a finite lattice L , There is a ring isomorphism ~~XXXXXXXXXX~~

$$A(L, k) \xrightarrow{\varphi} k^{|L|} := \underbrace{\{k \times \dots \times k\}}_{|L| \text{ times}} \text{ with } k\text{-basis } \{e_x\}_{x \in L}$$

multiplying as orthogonal idempotents:
 $e_x^2 = e_x$
 $e_x e_y = 0$ if $x \neq y$

$$f_y \longmapsto \sum_{x: x \leq y} e_x$$

which has $\varphi^{-1}(e_y) = \sum_{x: x \leq y} \mu(x, y) f_x := \delta_y$, so $f_y = \sum_{x: x \leq y} \delta_x$

Hence $\{\delta_y\}_{y \in L}$ are ~~an~~ a k -basis of orthog. idempotents in $A(L, k)$
 $\delta_x^2 = \delta_x$
 $\delta_x \delta_y = 0$ if $x \neq y$.

proof: φ is a k -vector space iso. since its matrix is unitriangular

$$\varphi = e_x \begin{bmatrix} 1 & & \\ & \ddots & \\ & & * \\ 0 & & & 1 \end{bmatrix} \text{ for any linear ordering of } L \text{ that extends } \leq.$$

$$\text{Also } \varphi(f_y f_z) = \varphi(f_{y \wedge z}) = \sum_{x: x \leq y \wedge z} e_x$$

$$\varphi(f_y) \varphi(f_z) = \left(\sum_{x: x \leq y} e_x \right) \left(\sum_{w: w \leq z} e_w \right) = \sum_{\substack{(x, w): \\ x \leq y \\ w \leq z}} e_x e_w = \sum_{x: x \leq y, z} e_x = \sum_{x: x \leq y \wedge z} e_x$$

The fact that $\varphi^{-1}(e_y) = \sum_{x: x \leq y} \mu(x, y) f_x$ comes from

$$\sum_{x: x \leq y} \varphi^{-1}(e_x) = f_y \text{ via Möbius inversion } \blacksquare$$

(108)

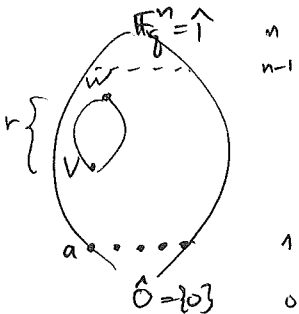
COR 1: (Weisner's Thm) If $a \leq \hat{1}$ in a finite lattice L ,
 then $\sum_{x: a \wedge x = \hat{0}} \mu(x, \hat{1}) = 0$. Dually if $a \neq \hat{0}$, then
 $\sum_{x: a \vee x = \hat{1}} \mu(\hat{0}, x) = 0$.

proof: Compute in 2 ways

$$\begin{aligned}
 \left(\sum_{b: b \leq a} \delta_b \right) \delta_{\hat{1}} &= f a \delta_{\hat{1}} = f a \left(\sum_{x: x \leq \hat{1}} \mu(x, \hat{1}) f_x \right) \\
 &= \sum_{x \in L} \mu(x, \hat{1}) f_{a \wedge x} \\
 &\stackrel{\text{extract coeff. of } f_a}{=} \sum_{\substack{x \in L: \\ a \wedge x = \hat{0}}} \mu(x, \hat{1}) \\
 &\stackrel{\text{since } b \leq a \Rightarrow b \neq \hat{1}}{=} 0
 \end{aligned}$$

EXAMPLES:

① PROP: In $L_n(q)$, $\mu(\hat{0}, \hat{1}) = (-1)^n q^{\binom{n}{2}}$

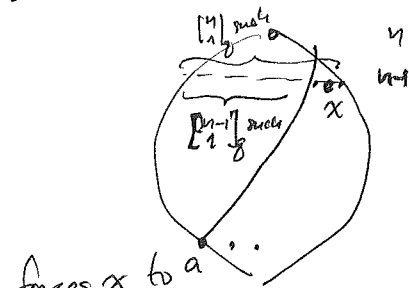


and hence $\mu(V, W) = (-1)^r q^{\binom{r}{2}}$ if $\dim(W/V) = r$

proof: Pick a line a , and then

$$0 = \sum_{x: a \vee x = \hat{1}} \mu(\hat{0}, x)$$

$$\mu(\hat{0}, \hat{1}) = - \sum_{\substack{x \leq \hat{1}: \\ a \vee x = \hat{1}}} \mu(\hat{0}, x)$$



forces x to a have dim. $n-1$
 since $\dim \frac{x+a}{x \vee a} = \dim(x) + \dim(a) - \dim(x \vee a) \leq \dim(x) + 1 - \dim(x \vee a)$

$$\begin{aligned}
 &= - \left(\begin{bmatrix} n \\ 1 \end{bmatrix}_q - \begin{bmatrix} n-1 \\ 1 \end{bmatrix}_q \right) \cdot \mu_{L_n(q)}(\hat{0}, \hat{1}) \\
 &= - (1+q+\dots+q^{n-1}) - (1+q+\dots+q^{n-2}) \cdot \mu_{L_n(q)}(\hat{0}, \hat{1}) \\
 &= - q^{n-1} \mu_{L_n(q)}(\hat{0}, \hat{1}) = (-1)^n q^{\binom{n-1}{2} + \binom{n-2}{2} + \dots + 2 + 1 + 0} \\
 &\stackrel{\text{iterate}}{=} (-1)^n q^{\binom{n}{2}}
 \end{aligned}$$

(109)

② This argument generalizes...

DEFIN: A ~~finite~~^{ranked} lattice L is (upper-) semin modular if $r(x \vee y) + r(x \wedge y) \leq r(x) + r(y) \quad \forall x, y \in L$

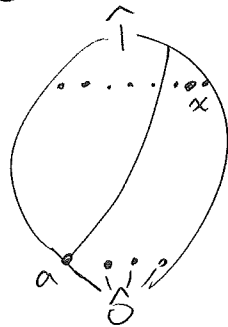
e.g. distributive (finite) lattices

\mathbb{Z}_n
 Π_n (EXERCISE)

PROP: L finite and upper-semin modular $\Rightarrow \mu(\cdot, \cdot)$ alternates in sign
i.e. $(-1)^{r(y)-r(x)} \mu(x, y) \geq 0$

proof: WLOG $x = \hat{0}, y = \hat{1}$ and pick any atom $a \rightarrow \hat{0}$

to apply Weisner, giving $0 = \sum_{x \in L: x \vee a = \hat{1}} \mu(\hat{0}, x)$



$$\mu(\hat{0}, \hat{1}) = - \sum_{\substack{x \in L: \\ x \vee a = \hat{1} \\ x \neq \hat{1}}} \mu(\hat{0}, x)$$

has sign $(-1)^{r(\hat{1})-1}$ by induction
 \Rightarrow forces x to be of rank $r(\hat{1})-1$

since $r(x \vee a) \leq r(x) + r(a) - r(a \wedge x) \leq r(x) + 1$

$$\Rightarrow (-1)^{r(\hat{1})} \mu(\hat{0}, \hat{1}) \geq 0 \quad \blacksquare$$

③ We could ^{similarly} use Weisner, but instead let's prove via Möbius inversion

PROP: In Π_n , set partition lattice

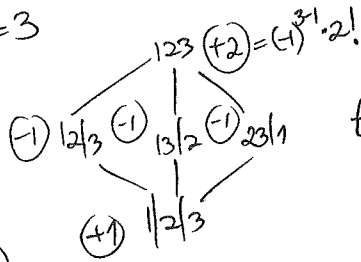
$$\sum_{\pi \in \Pi_n} \mu(\hat{0}, \pi) t^{\#\text{blocks}(\pi)} = t(t-1)(t-2)\dots(t-(n-1)) = \sum_{k=1}^n s(n, k) t^k$$

\Downarrow coeff. of t^{n-1}

$$\mu(\hat{0}, \hat{1}) = (-1)^{n-1} (n-1)!$$

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e.g. $n=3$



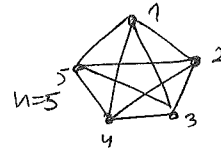
$$t^3 - 3t^2 + 2t = t(t-1)(t-2)$$

$\mu(\hat{0}, \pi)$
circled

(110)

proof: It suffices to prove it for $t \in \{1, 2, 3, \dots\}$

by computing two ways $X(K_n, t) = \#\{\text{proper vertex } t\text{-colorings of } K_n\}$



$$= t(t-1)(t-2)\dots(t-(n-1))$$

↑ ↑ ↑
color 1 then color 2 etc.

$$= \#\{\text{vertex } t\text{-colorings of } K_n \mid \text{whose associated color partition } \pi(c) = \hat{0}\}$$

If $f(\pi) = \#\{\text{vertex } t\text{-colorings } c \text{ of } K_n \mid \text{having } \pi(c) = \pi\}$

$$\text{then } g(\pi) = \#\left\{ \frac{t^{\#\text{blocks}(\pi)}}{\pi(c) \geq \pi} \right\} = \sum_{\tau: \tau \geq \pi} f(\tau)$$
$$= t^{\#\text{blocks}(\pi)}$$

Hence $f(\pi) = \sum_{\sigma: \sigma \geq \pi} \mu(\pi, \sigma) g(\sigma)$

$$\text{so } X(K_n, t) = f(\hat{0}) = \sum_{\sigma: \sigma \geq \hat{0}} \mu(\hat{0}, \sigma) t^{\#\text{blocks}(\sigma)} \quad \blacksquare$$

RMK: This determines $\mu(\pi, \sigma)$ for all $\pi, \sigma \in \Pi_n$ as follows:

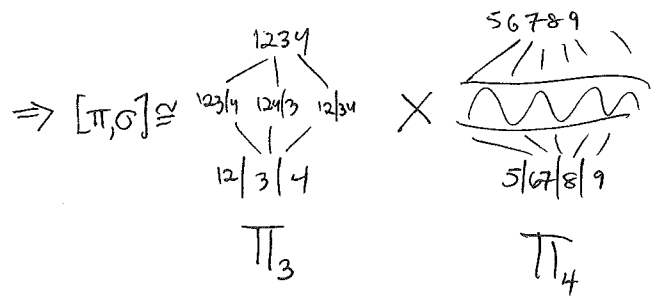
If σ has blocks S_1, \dots, S_ℓ , and π refines these into n_1, \dots, n_ℓ blocks respectively,

$$\text{then } [\pi, \sigma]_{\Pi_n} \cong \Pi_{n_1} \times \Pi_{n_1} \times \dots \times \Pi_{n_\ell}$$

$$\text{so } \mu_{\Pi_n}(\pi, \sigma) = (-1)^{n_1-1} (n_1-1)! \dots (-1)^{n_\ell-1} (n_\ell-1)!$$

e.g. $\sigma = 1234 \parallel 56789$

$\pi = 12 \mid 3 \mid 4 \parallel 5 \mid 67 \mid 8 \mid 9$
 $n_1=3 \quad n_2=4$



(11)

To deal with μ in $J(P)$ let's use ...

Reza's Crosscut Thm:

In a finite lattice L , with coatoms $\{x_1, \dots, x_\ell\}$
 (=elts. $x < \hat{1}$)

$$\mu(\delta, \hat{1}) = \sum_{S \subset \{x_1, \dots, x_\ell\}: \wedge S = \delta} (-1)^{|S|}$$

In particular, $\mu(\delta, \hat{1}) \neq 0$ if δ is not a meet of coatoms or if $\hat{1}$ is not a join of atoms

proof: In $A(L, k)$, compute 2 ways

e.g. $L =$

S	$(-1)^{ S }$
$\{1, 3\}$	+1
$\{2, 3\}$	+1
$\{1, 2, 3\}$	-1
<hr/>	
	+1
$\mu(\delta, \hat{1})$	✓

$\mu(\delta, \hat{1})$ checked

$$\begin{aligned} &= \prod_{i=1}^{\ell} (f_{\hat{1}} - f_{x_i}) = \prod_{i=1}^{\ell} \left(\sum_{y \neq x_i} \delta_y \right) \\ &= \sum_{(y_1, \dots, y_\ell): y_i \neq x_i} \delta_{y_1} \delta_{y_2} \dots \delta_{y_\ell} \\ &= \sum_{y \neq x_i \forall i=1, \dots, \ell} \delta_y = \delta_{\hat{1}} \end{aligned}$$

↑ every $y \neq \hat{1}$ lies below some coatom x_i

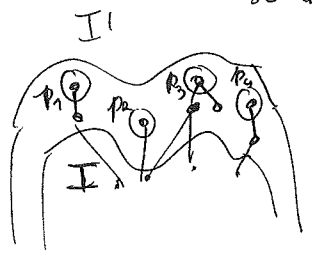
THM \square

COR: In a finite distributive lattice $L = J(P)$,

$$\mu(I, I') = \begin{cases} (-1)^{|I' - I|} & \text{if } I' - I \text{ is an antichain in } P \\ 0 & \text{else} \end{cases}$$

proof: Check that the coatoms x_1, \dots, x_ℓ are $I' - \{p_i\}$ for maximal elts of $I' - I$ so their meet $x_1 \wedge \dots \wedge x_\ell = I' - \{p_1, \dots, p_\ell\} = I \iff$ every elt. of $I' - I$ is maximal

i.e. $I' - I$ is an antichain \square



EXAMPLE: In Young's lattice \mathcal{Y} , $\mu(\lambda, \rho) = \begin{cases} (-1)^{|\rho/\lambda|} & \text{if } \rho/\lambda \text{ has no 2 cells in a row or column} \\ 0 & \text{else} \end{cases}$

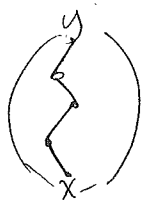
e.g. $\mu(\begin{smallmatrix} \square \\ \square \end{smallmatrix}, \begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}) = 0$
 $\mu(\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}, \begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}) = (-1)^3$

(112) 12/16/2015

Möbius functions have a connection to topology via...

PROP (P. Hall's Thm)

$$\mu(x,y) = \sum_{\text{chains } x=x_0 < x_1 < \dots < x_\ell = y} (-1)^\ell$$



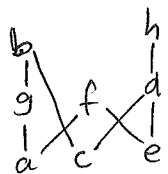
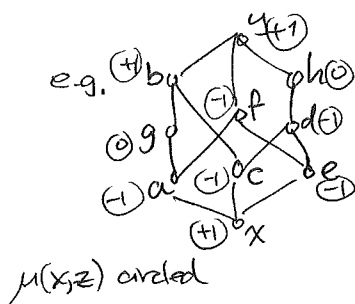
proof: Call the RHS $\mu'(x,y)$, and let's check

$$\sum_{z: x \leq z \leq y} \mu'(x,y) \stackrel{?}{=} \begin{cases} 1 & \text{if } x=y \text{ (EASY)} \\ 0 & \text{if } x < y \end{cases}$$

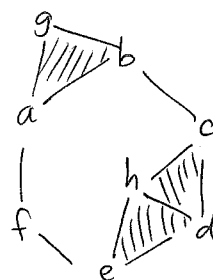
$\sum_{(z, x_0 < x_1 < \dots < x_\ell)} (-1)^\ell = 0$ via a sign-reversing involution that adds/removes y from the end of the chain as x_ℓ , depending on whether $x_\ell = z$ or not. \square

Re-interpreting this, $x_1 < x_2 < \dots < x_{\ell-1}$ is a chain in the open interval $(x,y) := \{z \in P : x < z < y\}$, which has its order complex $\Delta(x,y)$...

DEFIN: The order complex of a poset Q is the (abstract) simplicial complex $\Delta Q \subset 2^Q$ on vertex set Q with faces/simpllices $F = \text{chains in } Q$ (and $\dim F := |F| - 1$)



$\Delta(x,y) =$



$= \{\emptyset, a, b, c, d, e, f, g, ab, bc, cd, \dots, ag, bg, ch, dh, cd, abg, deh, cdh\}$

Then P. Hall's Thm says this:

PROP: $\mu(x,y) = \sum_{\text{faces } F \text{ of } \Delta(x,y)} (-1)^{\dim F} =: \tilde{\chi}(\Delta(x,y))$ (reduced Euler characteristic)

Euler-Poincaré Thm \Downarrow $\sum_{i=-1}^{\infty} \dim_k \tilde{H}_i(\Delta(x,y), k)$ (reduced homology groups)

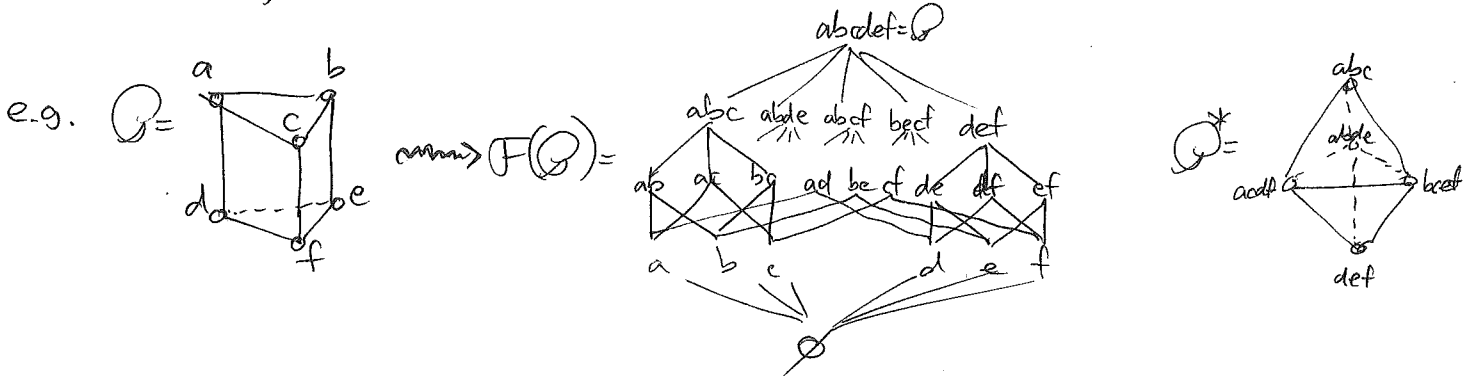
(113) e.g. above $\mu(x,y) = \tilde{\chi}\left(\begin{array}{c} \text{cube} \\ \text{with } x, y \end{array}\right) = \tilde{\chi}\left(\begin{array}{c} \text{circle} \\ \text{with } x, y \end{array}\right) = (-1)^1$ since $\tilde{H}_i(S^1, \mathbb{K}) = \begin{cases} 0 & i=-1 \\ 0 & i=0 \\ \mathbb{K} & i=1 \\ 0 & i \geq 2 \end{cases}$

homotopy invariance of \tilde{H}_i & $\tilde{\chi}$

This helps compute $\mu(x,y)$ sometimes when the topology of $\Delta(x,y)$ is known...
convex hull of finitely many pts in \mathbb{R}^n

THM: For a convex polytope \mathcal{Q} with poset of faces $\mathcal{F}(\mathcal{Q})$

$$\mu(F, G) = (-1)^{\dim G - \dim F}$$



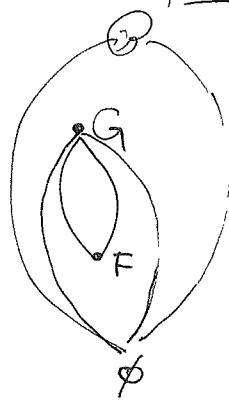
proof sketch: WLOG $G = \mathcal{Q}$ since each face G is itself a convex polytope.

WLOG $F = \emptyset$, since $\mathcal{F}(\mathcal{Q})^{\text{opp}} = \mathcal{F}(\mathcal{Q}^*)$

so $\mu(F, G) = \mu(G^*, F^*)$

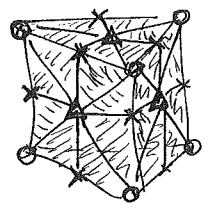
$\mu(F, \mathcal{Q}) = \mu(\emptyset, F^*)$

(polar) dual polytope in $(\mathbb{R}^n)^* \cong \mathbb{R}^n$
 $= \{v \in \mathbb{R}^n : \langle v, p \rangle \leq 1 \forall p \in \mathcal{Q}\}$



Then for $\mu(\emptyset, \mathcal{Q}) = \tilde{\chi}(\Delta(\emptyset, \mathcal{Q}))$

isomorphic to the barycentric subdivision of the boundary of \mathcal{Q}



$$= \tilde{\chi}(S^{\dim(\mathcal{Q})-1})$$

$$= (-1)^{\dim \mathcal{Q} - 1}$$

$$= (-1)^{\dim \mathcal{Q} - \dim \emptyset} \text{ since } \dim \emptyset = -1 \quad \square$$

(114)

DEFIN: A poset P which is ranked and has $\mu(x,y) = (-1)^{r(y)-r(x)} \forall x,y \in P$ is called Eulerian

EXAMPLES

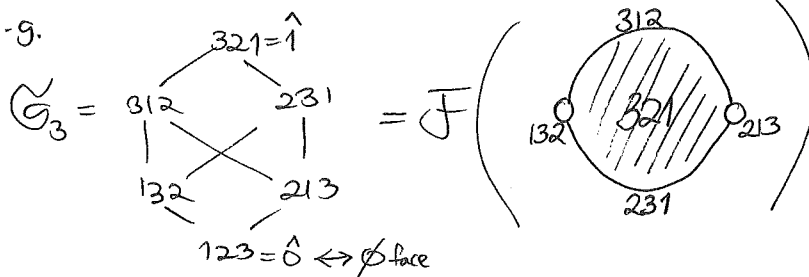
① Face lattices $F(Q)$ for convex polytopes are Eulerian

② THM (Verma)
(Strong) Bruhat order on S_n is Eulerian

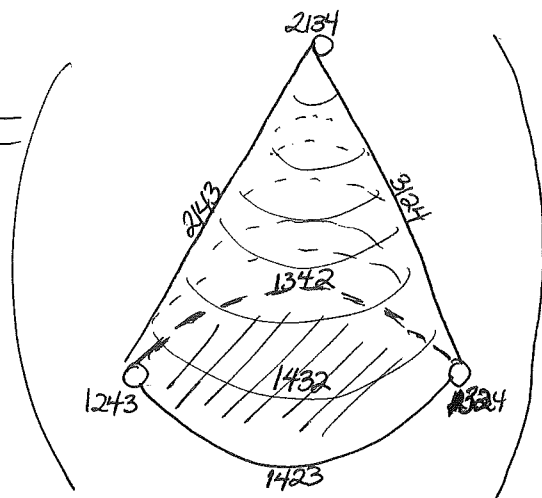
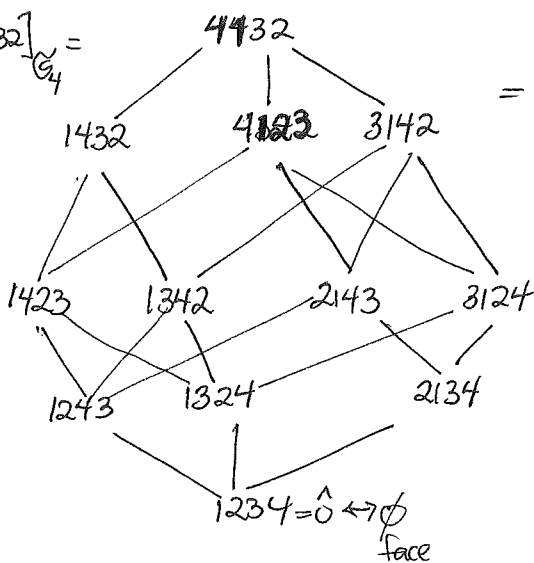
Not obvious!

In fact, each interval $[x,y]$ in Bruhat order on S_n turns out to be the face poset $F(X)$ for a certain regular cellular ~~space~~ ball $B^{r(x)-r(y)}$ (Björner-Wachs)

e.g.



$[1234, 4432]_{\mathcal{G}_4} =$



front face \leftrightarrow 4123
back face \leftrightarrow 3142
interior \leftrightarrow 4132

