

Digraph associahedra

Vic 6/11

1. Associahedra, building and nested sets
2. Extended version, REU Problem 7 (a,b,c)
3. f, h, χ -vectors
4. REU Problem 7 (d)

My own TA:
2:30 V.in.H. 2.

1. Associahedra

Recall (Day 4) that

Kirkman-Cayley #s

$$\# \left\{ \begin{array}{l} \text{dissections of an } (n+2)\text{-gon} \\ \text{with } k \text{ diagonals} \end{array} \right\} = \frac{1}{n} \binom{n}{k+1} \binom{n+k+1}{k}$$

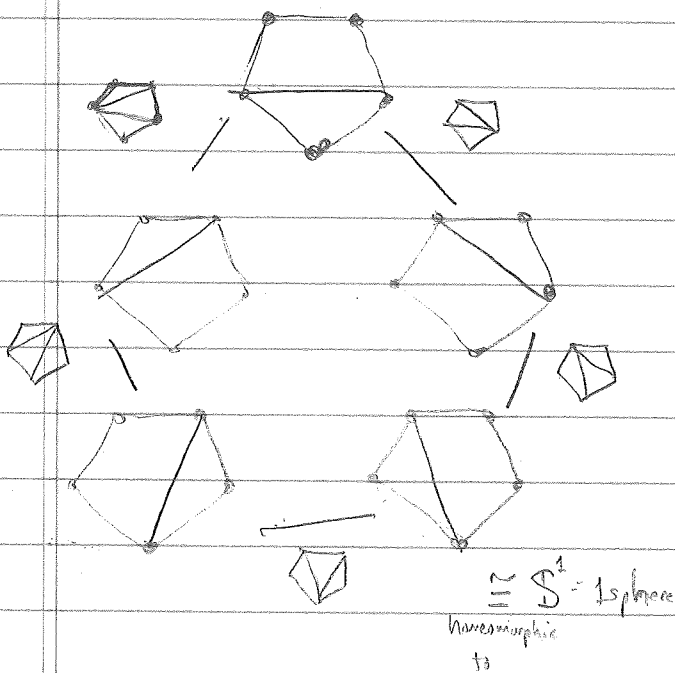
but they're also the f -vector $f = (f_{-1}, f_0, f_1, \dots, f_{n-2})$

in an interesting simplicial complex Assoc_n = Δ

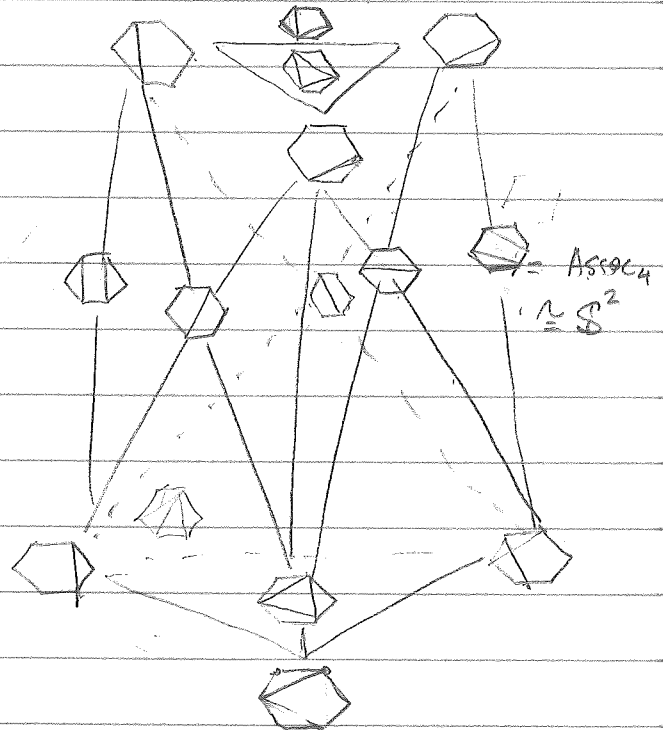
Assoc_n has vertices = $\left\{ \begin{array}{l} \text{diagonals of} \\ (n+2)\text{-gon} \end{array} \right\}$

simplices = $\left\{ \begin{array}{l} \text{non crossing} \\ \text{subsets of} \\ \text{diagonals} \end{array} \right\}$

eg $n=3$.

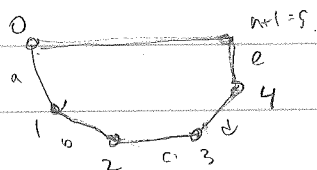


$n=4$



Thm (Stasheff 1963) $\text{Assoc}_n \cong S^{n-2}$

(even polytopal spheres; Milnor, Lee 1989, Haman 1989)



Associative parenthesization

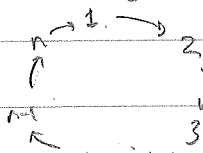
Generalize vastly:

def/ A (connected) building set \mathcal{B} on $[n] = \{1, \dots, n\}$ is a collection of subsets $\mathcal{B} \subseteq 2^{[n]}$ = all subsets of $[n]$, with \mathcal{B} containing $[n]$ (i.e. \mathcal{B} is connected) and all singletons $\{1\}, \{2\}, \dots, \{n\}$, and $I, J \in \mathcal{B}$, and $I \cap J = \emptyset \Rightarrow I \cup J \in \mathcal{B}$.

e.g. $\mathcal{B}_{\text{min}} = \{[n], \{1\}, \dots, \{n\}\}$

e.g. Π a strongly connected digraph on vertex set $[n]$.

$\Pi =$



$\Rightarrow \mathcal{B}_\Pi = \mathcal{B}_{\text{min}}$ in this case

$\Rightarrow \mathcal{B}_\Pi = \text{digraph building set} = \{I \subseteq [n] \mid \Pi|_I \text{ is strongly connected}\}$

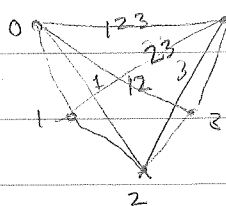


$= 1 - 2 - 3 - \dots - n-1 - n$

$I =$ vertices separated from long edge by diagonal

$\mathcal{B}_\Pi = \{ \text{contiguous segments } I = \{a, a+1, \dots, b-1, b\} \}$
 $= \{ \text{intervals in } [n] \}$

diagonal \uparrow projection \downarrow {diagonals in $(n+2)$ -gon}



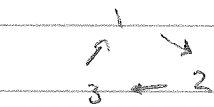
(labels on diagonals are contiguous segments in \mathcal{B}_Π)

def/ A nested collection $\sigma = \{I_1, I_2, \dots, I_m\}$ is a collection of subsets I_j in $\mathcal{B} - \{[n]\}$ which are pairwise nested ($I_i \subseteq I_j$ or $I_j \subseteq I_i$) or disjoint ($I_i \cap I_j = \emptyset$) and whenever I_{i_1}, \dots, I_{i_k} are pairwise disjoint, then $I_{i_1} \cup \dots \cup I_{i_k} \notin \mathcal{B}$.

The complex of nested sets $\mathcal{N}(\mathcal{B}) (= \Delta)$ is the simplicial complex vertices = $\mathcal{B} - \{[n]\} = \{y_I\}_{I \in \mathcal{B} - \{[n]\}}$
 simplices = nested collections σ

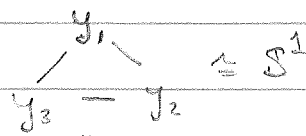
eg. when $\mathcal{B} = \mathcal{B}_P$ for $P = \begin{matrix} n & \nearrow & 2 \\ & & 3 \\ & \searrow & 1 \end{matrix}$, then $\mathcal{N}(\mathcal{B})$ is the boundary of a simplex on y_1, y_2, \dots, y_n .

eg. $n=3$



$$\mathcal{B} = \{1, 2, 3, 123\}$$

$$\mathcal{N}(\mathcal{B}_P) =$$



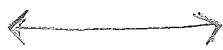
$\{\emptyset, y_1, y_2, y_3, y_1 y_2, y_2 y_3, y_1 y_3\}$
 (but not y_{123})

eg. $\mathcal{B} = \mathcal{B}_P$ for $P = 1 - 2 - \dots - n - 1 - n$

$$\mathcal{N}(\mathcal{B}_P) \cong \text{Assoc}_n$$

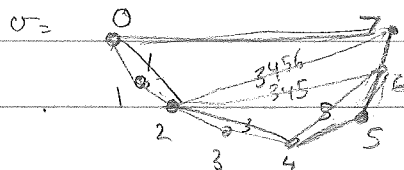
eg

$$\{y_1, y_{3456}, y_{35}, y_3, y_5\}$$



2004

$n=6$



1995 (Thom) (Di Francesco - Procesi, Yeichtner - Yuzvinsky, Postnikov 2005)

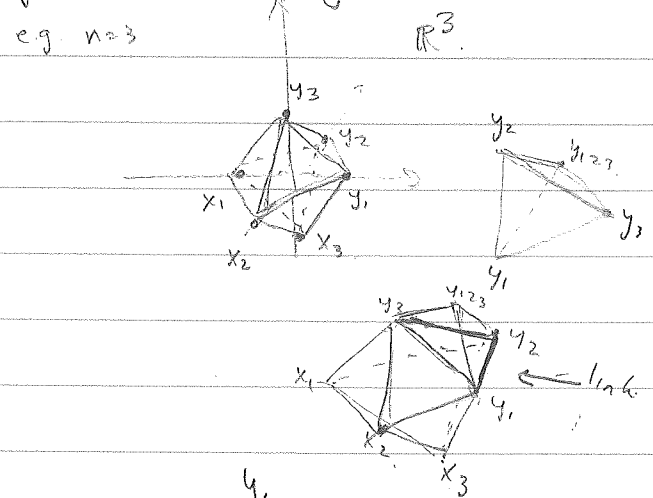
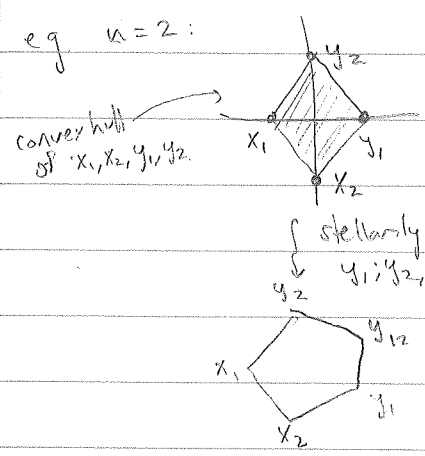
For any \mathcal{B} on $[n]$, $\mathcal{N}(\mathcal{B}) \cong S^{n-2}$
 (and it's the boundary of an $(n-1)$ -dim max polytope.)

2. Extended Version (Lam and Pylyavskyy, 2012, for digraphs)

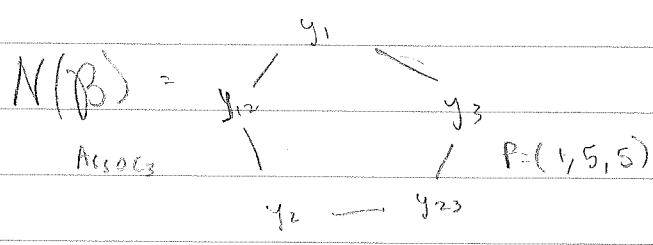
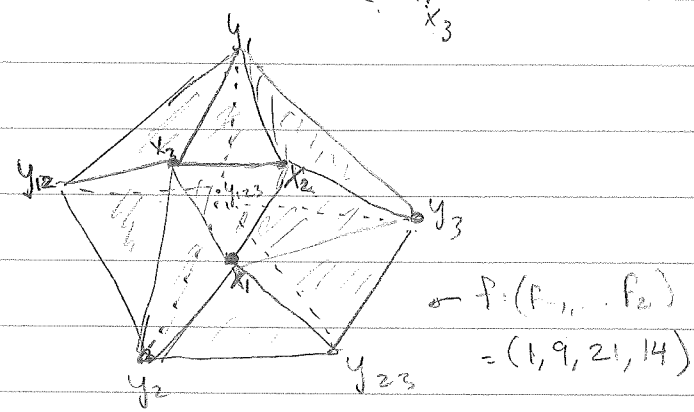
def/ $\tilde{N}(\mathcal{B})$ = extended nested set complex (for \mathcal{B})

= simplicial complex w/ vertices $\{x_1, \dots, x_n\} \cup \{y_I \mid I \subseteq [n]\}$
 simplices $\{x_{i_1}, \dots, x_{i_r}, y_{I_1}, \dots, y_{I_r}\}$
 where I_1, \dots, I_r is a nested family in \mathcal{B}
 and $x_{i_k} \notin I_j \forall k, j$ i.e. $(x_{i_1}, \dots, x_{i_r}) \cap \bigcup_{s=1}^r I_s = \emptyset$
 includes $y_{[n]} = y_{[n-0]}$

eg. $\tilde{N}(\mathcal{B}_{\substack{1 \rightarrow 2 \\ \downarrow \\ 3}})$ = boundary of n -dim cross polytope / hyperoctahedron
 with face $\{y_1, \dots, y_n\}$ stellarily subdivided



eg. $\tilde{N}(\mathcal{B}_{\substack{1 \rightarrow 2 \rightarrow 3 \\ 1-2-3}})$ =



Note: same f -vector as $\text{Assoc}_4 = N(\mathcal{B}_{1-2-3-4})_0$

REU Exercise 16 Show $\tilde{N}(B)$, $N(B)$ are both...

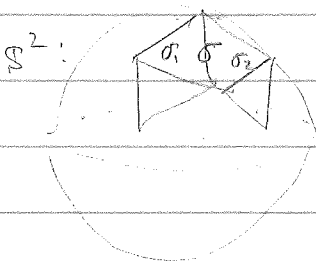
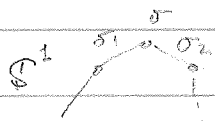
(a) pure of dimensions $n-1, n-2$ respectively

i.e. all facets have same dimension $n-1$ for $\tilde{N}(B)$, $n-2$ for $N(B)$

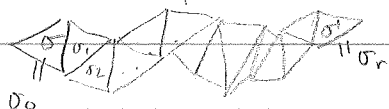
Not pure



(b) thin, meaning every codimension one simplex σ lies in exactly 2 facets, σ_1, σ_2 (=ridge)



(c) pseudomanifolds, meaning thin and every pair σ, σ' of facets have a path $\sigma = \sigma_0, \sigma_1, \dots, \sigma_{r-1}, \sigma_r = \sigma'$ with



$\sigma_i \cap \sigma_{i+1}$ a ridge $\forall i$.

And (d) they're both flag complexes for any $B = B_{\Gamma}$ w/ Γ undirected, meaning the minimal non faces all have size ≥ 2 .



Stanley-Reisner ideal $I_{\tilde{N}(B_{\Gamma})}, I_{N(B_{\Gamma})}$ are quadratically generated
 $\langle x_i x_j, \dots, x_i x_j \rangle$

REU Problem 7(a,b,c)

(a) Prove Conj (Lam-Polyavsky 2012 for $B = B_{\Gamma}$)

$\tilde{N}(B) \cong S^{n-1}$ and even is the boundary of a (simplicial) convex polytope

(b) Characterize the digraphs Γ for which $\tilde{N}(B_{\Gamma}), N(B_{\Gamma})$ are flag

(c) Prove Conj: $\mathcal{N} \left(\begin{smallmatrix} 0 & & & \\ & 1 & & \\ & & \ddots & \\ & & & n \end{smallmatrix} \right)$ and $\text{Assoc}_{n+1} = \mathcal{N} \left(\begin{smallmatrix} 0 & & & \\ & 1 & & \\ & & \ddots & \\ & & & n+1 \end{smallmatrix} \right)$
 have the same f -vector $\forall n$.
 Are they isomorphic?

2. f, h, χ vectors

f -vectors for $(d-1)$ -spheres are too big and redundant!

e.g. they satisfy Euler's relation

$$-f_{-1} + f_0 - f_1 + f_2 - \dots + (-1)^{d-1} f_{d-1} = \chi(S^{d-1}) = (-1)^{d-1}$$

e.g. Assoc_4 $f = (1, 9, 21, 14)$ $\rightsquigarrow -1 + 9 - 21 + 14 = (-1)^2 = 1 \checkmark$

Thm (Dehn¹⁹⁰⁵ - Somerville¹⁹²⁷) All linear relations among f -vectors of S^{d-1} 's are $h_i = h_{d-i}$ for $1 \leq i \leq \lfloor \frac{d}{2} \rfloor$

where $h = (h_0, \dots, h_d)$ is defined by $\sum_{i=0}^d h_i (t+1)^{d-i} = \sum_{i=0}^d f_i t^{d-i}$

h -vector

$$\text{h-polynomial: } \sum_{i=0}^d h_i t^i = \sum_{i=0}^d f_i (t-1)^{d-i}$$

e.g. $f = (1, 9, 21, 14) \rightsquigarrow (t-1)^3 + 9(t-1)^2 + 21(t-1) + 14(t-1)^0$

Assoc_4 Kirkman-Cayley #s. $= t^3 + t^2(-3+9) + t^1(3-18+21) + t^0(-1+9-21+14)$

$\Rightarrow h = (1, 6, 6, 1)$

Narayana #s: $\frac{1}{n} \binom{n}{k} \binom{n}{k+1}$

for $h(\text{Assoc}_n)$

Remark h -vectors are smaller and nonnegative!

Thm (Stanley 1970, Poincaré 1980) If $\Delta \simeq S^{d-1}$ and more

generally for Cohen-Macaulay Δ 's := MFR for KEA's, i.e. as

$\Rightarrow h = (h_0, \dots, h_d)$ are nonnegative

short as possible
 i.e. # of vertices - d
 (topological definitions)

REU Exercise 17 Show that the Hilbert series for

$$K[\Delta] \text{ is } \text{Hilb}(K[\Delta]; t) = \sum_{i=0}^{\infty} \dim_K (K[\Delta]_i) t^i \quad \text{ring theoretic}$$

has two expressions:

$$\text{Hilb}(K[\Delta], t) \stackrel{(a)}{=} \sum_{i=0}^d f_i \frac{t^{i+1}}{(1-t)^{i+1}}$$

(i th graded piece
deg(x_i) = 1 $\forall i$)

$$\stackrel{(b)}{=} \frac{\sum_{i=0}^d h_i t^i}{(1-t)^d}$$

For flag simplicial spheres $\Delta \cong S^{d-1}$, even the h -vector is too big (conjecturally)

write the h -polynomial $h_0 + h_1 t + h_2 t^2 + \dots + h_d t^d$

$$= (1+t)^d$$

$$+ \gamma_1 t (1+t)^{d-2}$$

$$+ \gamma_2 t^2 (1+t)^{d-4}$$

$$+ \dots \quad \dots = \gamma = (\gamma_0, \gamma_1, \dots, \gamma_{\lfloor \frac{d-1}{2} \rfloor})$$

e.g. $h(\text{Assoc}_5) = (1, 10, 20, 10, 1)$

$$1 + 10t + 20t^2 + 10t^3 + t^4 = 1^{10} (1+t)^4 + 6t (1+t)^2 + 2t^2 (1+t)^0$$

$$\begin{array}{cccccc} 1 & 10 & 20 & 10 & 1 & \end{array}$$

$$\text{so } \gamma = (1, 6, 2)$$

$$\begin{array}{cccccc} 1 & 4 & 6 & 4 & 1 & \end{array}$$

$$\begin{array}{ccc} 6 & 12 & 6 \end{array}$$

2.

CONJ (S. Gal, 2005). Flag $\Delta \cong S^{d-1}$ have γ nonnegative

(proven for flag $N(\mathcal{B})$ by V Volodin, 2009

N. Aisbett 2012)

REU Problem 7(d)

- Study h -vectors, γ -vectors (when they're flag) of $\tilde{N}(\mathcal{B})$
- How do they relate to those of $N(\mathcal{B})$?
- Do they have combinatorial interpretations similar to those for $N(\mathcal{B})$ and $N(\mathcal{B}_{\text{vertices}})$ as in Postnikov-Williams-R