# *q*-Analogues of Rational Numbers

Nick Ovenhouse

#### TA: Elizabeth Kelley

University of Minnesota

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## Outline

### 1 q-Analogues

### 2 Definition

3 How to Compute

What Does it Count?

#### Cluster Algebras

#### 6 q-Real Numbers

# The *q*-Integers

### Definition

For each  $n \in \mathbb{N}$ , define the polynomial  $[n]_q \in \mathbb{Z}[q]$ :

$$[n]_q = 1 + q + q^2 + \dots + q^{n-1}$$

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**Remark:** Substituting q = 1 gives n.

## **Rational Numbers**

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**Question:** What about  $[x]_q$  for  $x \in \mathbb{Q}$ ? Can we make sense of this?

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• Order: Define a partial order on rational functions by  $\frac{a(q)}{b(q)} > \frac{c(q)}{d(q)}$  if a(q)d(q) - b(q)c(q) has all positive coefficients. If  $\frac{a}{b} > \frac{c}{d}$ , we might expect  $\left[\frac{a}{b}\right]_q > \left[\frac{c}{d}\right]_q$ .

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- **Convergence:** If  $\frac{a_n}{b_n} \to \lambda \in \mathbb{R}$  irrational, we might expect  $\left[\frac{a_n}{b_n}\right]_q$  to "converge" in some sense, and moreover be independent of the sequence.

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But ... it does not satisfy our two desirable properties.

**Exercise 9.1:** Find an example where this definition does not satisfy the order property. That is, find two fractions  $\frac{a}{b} > \frac{c}{d}$  where  $[a]_q[d]_q - [b]_q[c]_q$  has some negative coefficient.

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## **Continued Fractions**

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**Example:** 
$$\frac{7}{4} = 1 + \frac{1}{1+\frac{1}{2}}$$
. So we'd write  $\frac{7}{4} = [1, 1, 3]$ .

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. So we'd write  $\frac{7}{4} = [1, 1, 3]$ .

**Remark:** These are not unique. For example,  $\frac{7}{4}$  is also equal to [1, 1, 2, 1]. Requiring an even number of coefficients makes it unique.

### Definition

If  $\frac{r}{s} = [a_1, a_2, \ldots, a_{2n}]$ , then define

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**Example:**  $\frac{7}{3} = [2, 3]$ .

$$\left[\frac{7}{3}\right]_q = 1 + q + \frac{q^2}{1 + q^{-1} + q^{-2}} = \frac{1 + 2q + 2q^2 + q^3 + q^4}{1 + q + q^2}$$

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**Fact:** The only time this agrees with the "naive guess" is for  $\left[\frac{n+1}{n}\right]_q = \frac{[n+1]_q}{[n]_q}$ .

## The Desirable Properties

#### Theorem

This definition of  $\begin{bmatrix} a \\ b \end{bmatrix}_q$  does satisfy the order and convergence properties.

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For each top vertex in  $T_{r/s}$ , label the diagonals with increasing powers of q going counter-clockwise:



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In each triangle, the two edges incident to the third vertex will be labelled by 1 and  $q^k$  for some k. Label the third vertex by the *weighted Farey sum*:



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- (*a*) What does  $T_{r/s}$  look like for [1, 1, ..., 1]?
- (b) Prove that  $[1, 1, \dots, 1]$  is always a ratio of Fibonacci numbers.
- (c) Use the triangulation method to compute  $\begin{bmatrix} 5\\3 \end{bmatrix}_a$  and  $\begin{bmatrix} 8\\5 \end{bmatrix}_a$ .

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Example: T<sub>7/3</sub>



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**Caution:** In the literature, "snake graph" refers to a slightly different, but related, construction. The construction above is called the "dual snake graph" corresponding to a triangulation.

## Lattice Paths

If G is a snake graph, let L(G) be the set of all paths in G from the south-west corner to the north-east corner using only right and up steps.

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#### Theorem [Schiffler, Çanakçi]

$$\left|L(G_{r/s})\right| = r$$
 and  $\left|L(\widehat{G}_{r/s})\right| = s$ 

The notation  $\widehat{G}_{r/s}$  means the snake graph from the continued fraction  $[a_2, a_3, \ldots, a_n]$ .

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#### **Example:** The 7 lattice paths in $G_{7/3}$ are


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#### Theorem

Let 
$$\left[\frac{r}{s}\right]_q = \frac{R(q)}{S(q)}$$
. Then:

- The coefficient of  $q^k$  in R(q) is the number of lattice paths in  $G_{r/s}$  of height k.
- **②** The coefficient of  $q^k$  in S(q) is the number of lattice paths in  $\widehat{G}_{r/s}$  of height *k*.

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**REU Exercise 9.3:** Write down all the lattice paths in  $G_{8/5}$  and draw the Hasse diagram.

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(It should agree with Exercise 9.2(d)!)
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### What Else Do They Count?

• *T*-paths:

Schiffler, R. "A cluster expansion formula ( $A_n$  case)". The Electronic Journal of Combinatorics 15.1 (2008) R64

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- Angle matchings: Yurikusa, T., "Cluster expapansion formulas in type A". Algebras and Representation Theory 22.1 (2019): 1-19
- All of the above:

Claussen, A., "Expansion Posets for Polygon Cluster Algebras". *arxiv:2005.02083* (2020)

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#### 6 q-Real Numbers

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• The cluster algebra is a subring of  $\mathcal{F} = \mathbb{Q}(x_1, \ldots, x_{n-3}, e_1, \ldots, e_n)$ .

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## Mutations are "Flips"



$$x_1' = \frac{e_1 e_4 + e_5 x_2}{x_1}$$

# Snake Graphs

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#### Theorem

The cluster variable of the "longest edge" (crossing all diagonals) is

$$\frac{1}{x_1x_2\cdots x_n}\sum_p \operatorname{wt}(p)$$

### Term Count

The cluster variable of the longest edge in  $T_{r/s}$  has exactly *r* terms.

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**REU Exercise 8.3:** Consider the cluster variable represented by the blue arc on the previous slide.

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(a) Compute the Laurent polynomial expression for this cluster variable using the formula in the theorem on the previous slide.

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**REU Exercise 8.3:** Consider the cluster variable represented by the blue arc on the previous slide.

- (a) Compute the Laurent polynomial expression for this cluster variable using the formula in the theorem on the previous slide.
- (b) Compute the same expression using a sequence of mutations (you should get the same answer!).

## The *F*-Polynomial

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Label the faces of the snake graph by  $y_1, \ldots, y_n$ , and label the lattice paths by monomials in the *y*'s:



## Relation with *q*-Rationals

#### Theorem

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- (a) R(q) = F(q, q, ..., q)
- (b) The coefficient of  $q^k$  in the numerator of  $\begin{bmatrix} r \\ s \end{bmatrix}_q$  counts the number of terms of degree *k* in the *F*-polynomial of the corresponding cluster variable.

## Some REU Problems

**REU Problem 9.0:** (Tie-in with Gregg's Problem) Is there a combinatorial description of the *L*'s from Gregg's talk related to the *q*-rationals?

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**REU Problem 9.1:** ("Unimodality") It is conjectured that the numerators (and denominators) of the *q*-rationals are *unimodal*. Any progress towards proving this would be nice, even for some non-trivial class of specific examples.

In light of Chris' talk, you could also try to prove log-concavity.

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#### Oluster Algebras



## **Infinite Continued Fractions**

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There is a notion of infinite continued fractions. For an infinite sequence  $a_1, a_2, a_3, \ldots$ , define a sequence of rational numbers (called *convergents*):

$$x_n := [a_1, a_2, \ldots, a_n]$$

Then the sequence  $x_n$  converges to a real number, denoted by the infinite continued fraction  $[a_1, a_2, ...]$ .

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#### Examples:

• 
$$\sqrt{2} = [1, 2, 2, 2, \dots]$$

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#### Examples:

• 
$$\sqrt{2} = [1, 2, 2, 2, \dots]$$
  
•  $\sqrt{3} = [1, 1, 2, 1, 2, 1, 2, \dots]$ 

$$x_n := [a_1, a_2, \ldots, a_n]$$

Then the sequence  $x_n$  converges to a real number, denoted by the infinite continued fraction  $[a_1, a_2, ...]$ .

**Fact:** Infinite continued fractions with coefficients that eventually repeat are exactly the quadratic irrationals.

#### **Examples**:

• 
$$\sqrt{2} = [1, 2, 2, 2, ...]$$
  
•  $\sqrt{3} = [1, 1, 2, 1, 2, 1, 2, ...]$   
•  $\varphi = \frac{1}{2}(1 + \sqrt{5}) = [1, 1, 1, 1, ...]$ 

## **Convergence** Property

# The first few convergents of $\sqrt{2} = [1, 2, 2, 2, ...]$ are $\frac{3}{2}, \frac{7}{5}, \frac{17}{12}, \frac{41}{29}, ...$

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The *q*-versions are

$$\begin{bmatrix} \frac{3}{2} \\ \frac{3}{2} \end{bmatrix}_{q} = 1 + q^{2} - q^{3} + q^{4} - q^{5} + \cdots$$
$$\begin{bmatrix} \frac{7}{5} \\ \frac{7}{5} \end{bmatrix}_{q} = 1 + q^{3} - 2q^{5} + q^{6} + 3q^{7} + \cdots$$
$$\begin{bmatrix} \frac{17}{12} \\ \frac{17}{12} \end{bmatrix}_{q} = 1 + q^{3} - 2q^{5} + 2q^{6} - q^{8} + \cdots$$
$$\begin{bmatrix} \frac{41}{29} \\ \frac{1}{29} \end{bmatrix}_{q} = 1 + q^{3} - 2q^{5} + q^{6} + 4q^{7} - 5q^{8} - 7q^{9} + \cdots$$

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The coefficients eventually "stabilize". The terms in blue remain the same in all later terms in the sequence.

## Another REU Problem

**REU Problem 9.3:** (Very open-ended) Almost nothing is known about the coefficients of these power series for "*q*-real numbers", except for a few select specific examples computed in the original paper. Is there a pattern to these coefficients that can be predicted? Is there a combinatorial interpretation? Is it related to cluster algebras and snake graphs (see below)?

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#### More Further Reading:

• Section 7 of the paper "Cluster Algebras and Continued Fractions" (mentioned earlier)

Here are some more papers that could be used for a presentation in weeks 3 and 4:

- Morier-Genoud, S., Ovsienko, V., "*q*-Deformed Rationals and *q*-Continued Fractions". *Forum of Mathematics, Sigma* Vol. 8 (2020)
- Morier-Genoud, S., Ovsienko, V., "On *q*-Deformed Real Numbers". *Experimental Mathematics* (2019): 1-9