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## Sporadic isogenies to orthogonal groups

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1. Over  $\mathbb{C}$
2. Over  $\mathbb{R}$
3. Appendix: isomorphism classes of quadratic forms over  $\mathbb{C}$  and  $\mathbb{R}$

We will describe well-known 2-to-1 homomorphisms

$$\left\{ \begin{array}{ll} SL_2(\mathbb{C}) & \longrightarrow SO(3, \mathbb{C}) \\ SL_2(\mathbb{C}) \times SL_2(\mathbb{C}) & \longrightarrow SO(4, \mathbb{C}) \\ Sp_2(\mathbb{C}) & \longrightarrow SO(5, \mathbb{C}) \\ SL_4(\mathbb{C}) & \longrightarrow SO(6, \mathbb{C}) \end{array} \right.$$

and well-known 2-to-1 homomorphisms to *real* special orthogonal groups  $SO(p, q)$  with *signatures*  $(p, q)$ :

$$SO(p, q) = \{g \in SL_{p+q}(\mathbb{R}) : g^T Q g = Q\} \quad (\text{where } Q = \begin{pmatrix} 1_p & 0 \\ 0 & -1_q \end{pmatrix})$$

$$\left\{ \begin{array}{ll} SU(2) & \longrightarrow SO(3) \\ SL_2(\mathbb{R}) & \longrightarrow SO(2, 1) \\ SU(2) \times SU(2) & \longrightarrow SO(4) \\ SL_2(\mathbb{C}) & \longrightarrow SO(3, 1) \\ SL_2(\mathbb{R}) \times SL_2(\mathbb{R}) & \longrightarrow SO(2, 2) \\ Sp^*(2, 0) & \longrightarrow SO(5) \\ Sp^*(1, 1) & \longrightarrow SO(4, 1) \\ Sp_2(\mathbb{R}) & \longrightarrow SO(3, 2) \\ SU(4) & \longrightarrow SO(6) \\ SL_2(\mathbb{H}) & \longrightarrow SO(5, 1) \\ SU(2, 2) & \longrightarrow SO(4, 2) \\ SL_4(\mathbb{R}) & \longrightarrow SO(3, 3) \end{array} \right.$$

Thus, these are small examples of *spin groups*, two-fold covers of special orthogonal groups.

All these constructions are standard, in principle well-known, but often obscured or left as exercises in larger, systematic treatments of Lie theory or quadratic forms or Clifford algebras or Spin groups. <sup>[1]</sup>

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[1] Thanks to Shaul Zemel for some corrections and suggestions, belatedly implemented.

## 1. Over $\mathbb{C}$

[1.1]  $SL_2(\mathbb{C}) \rightarrow SO(3, \mathbb{C})$  The space  $V$  of 2-by-2 complex matrices with trace 0, has symmetric bilinear form  $\langle x, y \rangle = \text{tr}(xy)$ . The action of  $SL_2(\mathbb{C})$  on  $V$  by  $g \cdot x = gxg^{-1}$  preserves  $\langle, \rangle$ :

$$\langle g \cdot x, g \cdot y \rangle = \text{tr}(gxg^{-1} \cdot gyg^{-1}) = \text{tr}(g \cdot xy \cdot g^{-1}) = \text{tr}(xy) = \langle x, y \rangle$$

An orthogonal basis is

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

with  $\langle, \rangle$  values 2, 2, -2, demonstrating non-degeneracy. Thus,  $SL_2(\mathbb{C})$  maps to a copy of  $SO(3, \mathbb{C})$ . The kernel is just  $\{\pm 1\}$ .

[1.2]  $SL_2(\mathbb{C}) \times SL_2(\mathbb{C}) \rightarrow SO(4, \mathbb{C})$  Let  $V = M_2(\mathbb{C})$  be 2-by-2 complex matrices, with  $(g, h) \in SL_2(\mathbb{C}) \times SL_2(\mathbb{C})$  acting by  $(g, h) \cdot x = gxh^{-1}$ . Give  $V$  the bilinear form

$$\langle x, y \rangle = \text{tr}(x \cdot wy^{\top} w^{-1}) \quad (\text{where } w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix})$$

It is *symmetric* because trace is invariant under transpose, and because  $w^{-1} = -w$ . For  $g \in SL_2(\mathbb{C})$ ,  $g^{-1} = wg^{\top}w^{-1}$ , and the pairing is invariant under the group action:

$$\begin{aligned} \text{tr}(gxh^{-1} \cdot w(gyh^{-1})^{\top} w^{-1}) &= \text{tr}(gxh^{-1} \cdot w(h^{-1})^{\top} w^{-1} \cdot wy^{\top} w^{-1} \cdot wg^{\top} w^{-1}) \\ &= \text{tr}(gxh^{-1} \cdot h \cdot wy^{\top} w^{-1} \cdot g^{-1}) = \text{tr}(g \cdot xwy^{\top} w^{-1} \cdot g^{-1}) = \text{tr}(xwy^{\top} w^{-1}) \end{aligned}$$

Computing

$$\left\langle \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} \right\rangle = \text{tr} \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} d' & -b' \\ -c' & a' \end{pmatrix} \right) = \text{tr} \begin{pmatrix} ad' - bc' & * \\ * & da' - cb' \end{pmatrix} = ad' - bc' - cb' + da'$$

an orthogonal basis is readily found: for example,<sup>[2]</sup>

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

with  $\langle, \rangle$  values 2, -2, 2, -2, demonstrating non-degeneracy. Thus,  $SL_2(\mathbb{C}) \times SL_2(\mathbb{C})$  maps to a copy of  $SO(4, \mathbb{C})$ .

[1.3]  $Sp_2(\mathbb{C}) \rightarrow SO(5, \mathbb{C})$  The symplectic group<sup>[3]</sup> is

$$Sp_2(\mathbb{C}) = \{g \in GL_4(\mathbb{C}) : g^{\top} J g = J\} \quad (\text{with } J = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix})$$

[2] One can also observe from this expression that the bilinear form is a sum of two *hyperbolic planes*, thus giving signature (2, 2) without further computation.

[3] In some conventions, the subscript is made to be the *size*, so what we call  $Sp_2$  here might be called  $Sp_4$  elsewhere.

Write  $g^\sigma = Jg^\top J^{-1}$ , so the condition can be rewritten as  $g^\sigma g = 1_2$ . The  $\mathbb{C}$ -vectorspace  $V$  will be a subspace of the space  $M_4(\mathbb{C})$  of 4-by-4 complex matrices. Let  $\langle x, y \rangle = \text{tr}(xy)$  on  $M_4(\mathbb{C})$ . Let  $Sp_2(\mathbb{C})$  act on  $M_4(\mathbb{C})$  by  $g \cdot x = gxg^\sigma$ . This action respects  $\langle, \rangle$ :

$$\langle g \cdot x, g \cdot y \rangle = \text{tr}(gxg^\sigma \cdot gyg^\sigma) = \text{tr}(g \cdot xy \cdot g^{-1}) = \text{tr}(xy) = \langle x, y \rangle$$

Since  $1_4 = g^\sigma g = g \cdot 1_4 \cdot g^\sigma$ , the action has fixed-point  $1_4$ , and the subspace

$$V = \{x \in M_4(\mathbb{C}) : x^\sigma = x \text{ and } \langle x, 1_4 \rangle = 0\}$$

is *stable* under the action. In 2-by-2 blocks, the condition  $x^\sigma = x$  is

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix}^\top \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a^\top & c^\top \\ b^\top & d^\top \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} d^\top & -b^\top \\ -c^\top & a^\top \end{pmatrix}$$

Thus,  $d = a^\top$  and  $b, c$  are skew-symmetric. The condition  $\langle x, 1_4 \rangle = 0$  requires  $\text{tr}(a) = 0$ . Thus,  $\dim_{\mathbb{C}} V = 5$ . To check that  $\langle, \rangle$  is non-degenerate on  $V$ , identify an orthogonal basis, such as

$$\begin{pmatrix} 1 & 0 & & \\ 0 & -1 & & \\ & & 1 & 0 \\ & & 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 & & \\ 1 & 0 & & \\ & & 0 & 1 \\ & & 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 & & \\ -1 & 0 & & \\ & & 0 & -1 \\ & & 1 & 0 \end{pmatrix} \begin{pmatrix} & & 0 & 1 \\ & & -1 & 0 \\ 0 & 1 & & \\ -1 & 0 & & \end{pmatrix} \begin{pmatrix} & & 0 & 1 \\ & & -1 & 0 \\ 0 & -1 & & \\ 1 & 0 & & \end{pmatrix}$$

where empty positions are 0.

[1.4]  $SL_4(\mathbb{C}) \rightarrow SO(6, \mathbb{C})$  Let  $SL_4(\mathbb{C})$  act in the natural way on the six-dimensional vectorspace  $V = \wedge^2 \mathbb{C}^4$ , namely,  $g \cdot (v \wedge w) = gv \wedge gw$ . Let  $e_1, e_2, e_3, e_4$  be the standard basis of  $\mathbb{C}^4$ , and define<sup>[4]</sup>  $\langle, \rangle$  on  $V$  by

$$x \wedge y = \langle x, y \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 \quad (\text{with } x, y \in \wedge^2 \mathbb{C}^4)$$

This form is *symmetric* because an even number of transpositions reverses the arguments:

$$\begin{aligned} (x \wedge y) \wedge (z \wedge w) &= -x \wedge z \wedge y \wedge w = x \wedge z \wedge w \wedge y = -z \wedge x \wedge w \wedge y \\ &= -z \wedge x \wedge w \wedge y = (z \wedge w) \wedge (x \wedge y) \quad (\text{for } x, y, z, w \in \mathbb{C}^4) \end{aligned}$$

The form is invariant under the action because

$$\begin{aligned} \langle g \cdot (x \wedge y), g \cdot (z \wedge w) \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 &= gx \wedge gy \wedge gz \wedge gw = \det g \cdot x \wedge y \wedge z \wedge w \\ &= \det g \cdot \langle x \wedge y, z \wedge w \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 \end{aligned}$$

To check non-degeneracy, observe

$$\langle e_1 \wedge e_2, e_3 \wedge e_4 \rangle = 1 \quad \langle e_1 \wedge e_3, e_2 \wedge e_4 \rangle = -1 \quad \langle e_1 \wedge e_4, e_2 \wedge e_3 \rangle = 1$$

while  $\langle e_i \wedge e_j, e_k \wedge e_\ell \rangle = 0$  when  $\{i, j\} \cap \{k, \ell\} \neq \emptyset$ . Thus, an orthogonal basis is

$$(e_1 \wedge e_2) \pm (e_3 \wedge e_4) \quad (e_1 \wedge e_3) \pm (e_2 \wedge e_4) \quad (e_1 \wedge e_4) \pm (e_2 \wedge e_3)$$

with  $\langle, \rangle$  values  $\pm 2, \mp 2, \pm 2$ .

[4] It is not necessary to choose a basis for  $\mathbb{C}^4$ , only to choose a basis for  $\wedge^4 \mathbb{C}^4$ .

## 2. Over $\mathbb{R}$

Each homomorphism of complex groups gives rise to several homomorphisms of real groups.

[2.1]  $SU(2) \rightarrow SO(3)$  The standard special unitary group  $SU(2)$  is

$$SU(2) = \{g \in SL_2(\mathbb{C}) : g^*g = 1_2\} \quad (\text{where } g^* \text{ is } g\text{-conjugate-transpose})$$

The space  $V$  of 2-by-2 skew-hermitian complex matrices with trace 0 has symmetric real-valued real-bilinear form  $\langle x, y \rangle = \text{Re}(\text{tr}(xy))$ . An orthogonal basis is

$$\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$$

Each has value  $-2$  for  $\langle \cdot, \cdot \rangle$ , so the *signature* of  $\langle \cdot, \cdot \rangle$  on  $V$  is  $(0, 3)$ . The action of  $SU(2)$  on  $V$  by  $g \cdot x = gxg^*$  preserves  $\langle \cdot, \cdot \rangle$ , because

$$\text{tr}(gxg^* \cdot gyg^*) = \text{tr}(g \cdot xy \cdot g^{-1}) = \text{tr}(xy)$$

Thus,  $SU(2)$  maps to a copy of  $SO(3)$ . The kernel is just  $\{\pm 1\}$ .

[2.2]  $SL_2(\mathbb{R}) \rightarrow SO(2, 1)$  The space  $V$  of 2-by-2 real matrices with trace 0, with symmetric bilinear form  $\langle x, y \rangle = \text{tr}(xy)$ , has orthogonal basis

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

The values of  $\langle \cdot, \cdot \rangle$  are respectively 2, 2,  $-2$ , giving *signature*  $(2, 1)$ . The action of  $SL_2(\mathbb{R})$  on  $V$  by  $g \cdot x = gxg^{-1}$  preserves  $\langle \cdot, \cdot \rangle$ :

$$\langle g \cdot x, g \cdot y \rangle = \text{tr}(gxg^{-1} \cdot gyg^{-1}) = \text{tr}(g \cdot xy \cdot g^{-1}) = \text{tr}(xy) = \langle x, y \rangle$$

Thus,  $SL_2(\mathbb{R})$  maps to a copy of  $SO(2, 1)$ . The kernel is just  $\{\pm 1\}$ .

[2.3]  $SU(2) \times SU(2) \rightarrow SO(4)$  Let<sup>[5]</sup>

$$\begin{aligned} V &= \{\text{complex 2-by-2 matrices } x : x^* = wx^\top w^{-1}\} && (\text{with } w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}) \\ &= \{2\text{-by-2 complex matrices of the form } \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix} \text{ with } \alpha, \beta \in \mathbb{C}\} \end{aligned}$$

Let  $(g, h) \in SU(2) \times SU(2)$  act by  $(g, h) \cdot x = gxh^*$ . Give  $V$  the bilinear form

$$\langle x, y \rangle = \text{Re}(\text{tr}(xy^*))$$

[5] It is not a coincidence that the vectorspace is a standard model of the Hamiltonian quaternions:

$$a + bi + cj + dk \longrightarrow \begin{pmatrix} a + bi & c + di \\ c - di & a - bi \end{pmatrix}$$

For  $g \in SU(2) \subset SL_2(\mathbb{R})$ ,  $g^{-1} = wg^\top w^{-1}$ , giving the stabilization of  $V$  by the group action:

$$w(gxh^*)^\top w^{-1} = w(h^*)^\top w^{-1} \cdot wx^\top w^{-1} \cdot wg^\top w^{-1} = (h^*)^{-1} x^* g^{-1} = hx^* g^* = (gxh^*)^*$$

The pairing is invariant under the group action:

$$\begin{aligned} \operatorname{tr}(gxh^{-1} \cdot w(gyh^{-1})^\top w^{-1}) &= \operatorname{tr}(gxh^{-1} \cdot w(h^{-1})^\top w^{-1} \cdot wy^\top w^{-1} \cdot wg^\top w^{-1}) \\ &= \operatorname{tr}(gxh^{-1} \cdot h \cdot wy^\top w^{-1} \cdot g^{-1}) = \operatorname{tr}(g \cdot xwy^\top w^{-1} \cdot g^{-1}) = \operatorname{tr}(xwy^\top w^{-1}) \end{aligned}$$

Computing

$$\left\langle \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix}, \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix} \right\rangle = \operatorname{tr} \left( \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix} \begin{pmatrix} \bar{\alpha} & -\beta \\ \beta & \alpha \end{pmatrix} \right) = \operatorname{tr} \begin{pmatrix} \alpha\bar{\alpha} + \beta\bar{\beta} & * \\ * & \alpha\bar{\alpha} + \beta\bar{\beta} \end{pmatrix}$$

an orthogonal basis is readily found: for example,

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \quad \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

with  $\langle, \rangle$  values 2, 2, 2, 2.

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[2.4]  $SL_2(\mathbb{C}) \rightarrow SO(3, 1)$  With

$$V = \{\text{complex 2-by-2 matrices } x : x^* = wxw^{-1}\} \quad (\text{with } w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix})$$

$$= \{2\text{-by-2 complex matrices of the form } \begin{pmatrix} \alpha & ib \\ ic & \bar{\alpha} \end{pmatrix} \text{ with } \alpha \in \mathbb{C}, b, c \in \mathbb{R}\}$$

use the  $\mathbb{R}$ -bilinear  $\mathbb{R}$ -valued form  $\langle x, y \rangle = \operatorname{Re}(\operatorname{tr}(x\bar{y}))$ , where the overline denotes entry-wise complex conjugation. An orthogonal basis is

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \quad \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$$

with  $\langle, \rangle$  values 2, 2, 2, -2. Thus, the signature of  $\langle, \rangle$  is 3, 1. The action  $g \cdot x = gx\bar{g}^{-1}$  preserves the bilinear form  $\langle x, y \rangle = \operatorname{Re}(\operatorname{tr}(x\bar{y}))$  on the larger  $\mathbb{R}$ -vectorspace of *all* complex 2-by-2 matrices, since

$$\operatorname{tr}(gx\bar{g}^{-1} \cdot \overline{gy\bar{g}^{-1}}) = \operatorname{tr}(gx\bar{g}^{-1} \cdot \bar{g}y\bar{g}^{-1}) = \operatorname{tr}(g \cdot x\bar{y} \cdot g^{-1}) = \operatorname{tr}(x\bar{y})$$

To check that  $SL_2(\mathbb{C})$  stabilizes  $V$ , recall that  $g^{-1} = wg^\top w^{-1}$  for  $g \in SL_2(\mathbb{C})$ . For  $y \in V$ , by design,

$$\begin{aligned} (gy\bar{g}^{-1})^* &= (\bar{g}^{-1})^* y^* g^* = (g^\top)^{-1} \cdot wyw^{-1} \cdot \bar{g}^\top = w(g^\top)^\top w^{-1} \cdot wyw^{-1} \cdot w\bar{g}^{-1}w^{-1} \\ &= wgw^{-1} \cdot wyw^{-1} \cdot w(\bar{g}^{-1})w^{-1} = w(gy\bar{g}^{-1})w^{-1} \end{aligned}$$

so  $SL_2(\mathbb{C})$  stabilizes  $V$ , and maps to a copy of  $SO(3, 1)$ . The kernel is just  $\{\pm 1\}$ .

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[2.5]  $SL_2(\mathbb{R}) \times SL_2(\mathbb{R}) \rightarrow SO(2, 2)$  Let  $V$  be 2-by-2 real matrices, with  $(g, h) \in SL_2(\mathbb{R}) \times SL_2(\mathbb{R})$  acting by  $(g, h) \cdot x = gxh^{-1}$ . Give  $V$  the bilinear form

$$\langle x, y \rangle = \operatorname{tr}(x \cdot wy^\top w^{-1}) \quad (\text{where } w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix})$$

It is *symmetric* because trace is invariant under transpose, and because  $w^{-1} = -w$ . For  $g \in SL_2(\mathbb{R})$ ,  $g^{-1} = wg^\top w^{-1}$ , and the pairing is invariant under the group action:

$$\begin{aligned} \operatorname{tr}(gxh^{-1} \cdot w(gyh^{-1})^\top w^{-1}) &= \operatorname{tr}(gxh^{-1} \cdot w(h^{-1})^\top w^{-1} \cdot wy^\top w^{-1} \cdot wg^\top w^{-1}) \\ &= \operatorname{tr}(gxh^{-1} \cdot h \cdot wy^\top w^{-1} \cdot g^{-1}) = \operatorname{tr}(g \cdot xwy^\top w^{-1} \cdot g^{-1}) = \operatorname{tr}(xwy^\top w^{-1}) \end{aligned}$$

Computing

$$\left\langle \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} \right\rangle = \operatorname{tr} \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} d' & -b' \\ -c' & a' \end{pmatrix} \right) = \operatorname{tr} \begin{pmatrix} ad' - bc' & * \\ * & da' - cb' \end{pmatrix} = ad' - bc' - cb' + da'$$

an orthogonal basis is readily found: for example, [6]

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

with  $\langle, \rangle$  values 2, -2, 2, -2, giving the desired signature.

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[2.6]  $Sp^*(2, 0) \rightarrow SO(5)$  Let  $\mathbb{H}$  be the Hamiltonian quaternions. One model of  $G = Sp_2^* = Sp^*(2, 0)$  is

$$Sp^*(2, 0) = \{g \in GL_2(\mathbb{H}) : g^*g = 1_2\}$$

where  $g^* = \bar{g}^\top$  with entry-wise quaternion conjugation. The  $\mathbb{R}$ -vectorspace  $V$  will be a subspace of the space  $M_2(\mathbb{H})$  of 2-by-2 matrices with entries in  $\mathbb{H}$ . Let  $\lambda$  be the reduced trace

$$\lambda \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \frac{1}{2} \cdot (\alpha + \bar{\alpha} + \delta + \bar{\delta})$$

and on  $M_2(\mathbb{H})$  let  $\langle x, y \rangle = \lambda(xy)$ . Let  $G$  act on  $M_2(\mathbb{H})$  by  $g \cdot x = gxg^*$ . This action respects  $\langle, \rangle$ :

$$\langle g \cdot x, g \cdot y \rangle = \lambda(gxg^* \cdot gyg^*) = \lambda(g \cdot xy \cdot g^{-1}) = \lambda(xy)$$

Thus,

$$V = \{y \in M_2(\mathbb{H}) : y^* = y \text{ and } \langle y, 1_2 \rangle = 0\} = \left\{ \begin{pmatrix} a & \beta \\ \beta & -a \end{pmatrix} : a \in \mathbb{R}, \beta \in \mathbb{H} \right\}$$

is stable under this action, and  $\dim_{\mathbb{R}} V = 5$ . An orthogonal basis is

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & j \\ -j & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & k \\ -k & 0 \end{pmatrix}$$

with values 2, 2, 2, 2, 2, giving the desired signature.

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[2.7]  $Sp^*(1, 1) \rightarrow SO(4, 1)$  One model of  $G = Sp^*(1, 1)$  is

$$Sp^*(1, 1) = \{g \in GL_2(\mathbb{H}) : g^*Sg = S\} \quad (\text{with } S = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})$$

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[6] One can also observe from this expression that the bilinear form is a sum of two *hyperbolic planes*, thus giving signature (2, 2) without further computation.

where  $g^* = \bar{g}^\top$  with entry-wise quaternion conjugation. Let  $g^\sigma = Sg^*S^{-1}$ , so the defining condition is  $g^\sigma g = 1_2$ . The  $\mathbb{R}$ -vectorspace  $V$  will be a subspace of the space  $M_2(\mathbb{H})$  of 2-by-2 matrices with entries in  $\mathbb{H}$ . Let  $\langle x, y \rangle = \lambda(xy)$ . Let  $G$  act on  $M_2(\mathbb{H})$  by  $g \cdot x = gxg^\sigma$ . This action respects  $\langle, \rangle$ :

$$\langle g \cdot x, g \cdot y \rangle = \lambda(gxg^\sigma \cdot gyg^\sigma) = \lambda(g \cdot xy \cdot g^\sigma) = \lambda(g \cdot xy \cdot g^{-1}) = \lambda(xy) = \langle x, y \rangle$$

The  $\mathbb{R}$ -vectorspace is

$$V = \{x \in M_2(\mathbb{H}) : x^\sigma = x \text{ and } \langle x, S \rangle = 0\} = \left\{ \begin{pmatrix} \alpha & b \\ -b & \bar{\alpha} \end{pmatrix} : \alpha \in \mathbb{H}, b \in \mathbb{R} \right\}$$

and is stable under the action, and  $\dim_{\mathbb{R}} V = 5$ . An orthogonal basis is

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \quad \begin{pmatrix} j & 0 \\ 0 & -j \end{pmatrix} \quad \begin{pmatrix} k & 0 \\ 0 & -k \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

with values 2, -2, -2, -2, -2, giving the desired signature.

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[2.8]  $Sp_2(\mathbb{R}) \rightarrow SO(3, 2)$  The symplectic group is

$$Sp_2(\mathbb{R}) = \{g \in GL_4(\mathbb{R}) : g^\top Jg = J\} \quad (\text{with } J = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix})$$

Write  $g^\sigma = Jg^\top J^{-1}$ , so the condition can be rewritten as  $g^\sigma g = 1_4$ . The  $\mathbb{R}$ -vectorspace  $V$  will be a subspace of the space  $M_4(\mathbb{R})$  of 4-by-4 real matrices. Let  $\langle x, y \rangle = \text{tr}(xy)$ . Let  $Sp_2(\mathbb{R})$  act on  $M_4(\mathbb{R})$  by  $g \cdot x = gxg^\sigma$ . This action respects  $\langle, \rangle$ :

$$\langle g \cdot x, g \cdot y \rangle = \text{tr}(gxg^\sigma \cdot gyg^\sigma) = \text{tr}(g \cdot xy \cdot g^{-1}) = \text{tr}(xy) = \langle x, y \rangle$$

Since  $1_4 = g^\sigma g = g 1_4 g^\sigma$ , the action has fixed-point  $1_4$ , and the subspace

$$V = \{x \in M_4(\mathbb{R}) : x^\sigma = x \text{ and } \langle x, 1_4 \rangle = 0\}$$

is *stable* under the action. In 2-by-2 blocks, the condition  $x^\sigma = x$  is

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix}^\top \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a^\top & c^\top \\ b^\top & d^\top \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} d^\top & -b^\top \\ -c^\top & a^\top \end{pmatrix}$$

Thus,  $d = a^\top$  and  $b, c$  are skew-symmetric. The condition  $\langle x, 1_4 \rangle = 0$  requires that  $\text{tr}(a) = 0$ . Thus,  $\dim_{\mathbb{R}} V = 5$ . The easily observed orthogonal basis

$$\begin{pmatrix} 1 & 0 & & \\ 0 & -1 & & \\ & & 1 & 0 \\ & & 0 & -1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & & \\ 1 & 0 & & \\ & & 0 & 1 \\ & & 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & & \\ -1 & 0 & & \\ & & 0 & -1 \\ & & 1 & 0 \end{pmatrix} \quad \begin{pmatrix} & & 0 & 1 \\ & & -1 & 0 \\ & & & & \\ & & 0 & 1 \\ -1 & 0 & & \end{pmatrix} \quad \begin{pmatrix} & & 0 & 1 \\ & & -1 & 0 \\ & & & & \\ 0 & -1 & & \\ 1 & 0 & & \end{pmatrix}$$

has  $\langle, \rangle$  values 4, 4, -4, -4, 4, giving signature 3, 2.

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[2.9]  $SU(4) \rightarrow SO(6)$  Let  $e_1, e_2, e_3, e_4$  be the standard basis for  $\mathbb{C}^4$ . Give  $\wedge^2 \mathbb{C}^4$  the  $\mathbb{C}$ -valued  $SL_4(\mathbb{C})$ -invariant symmetric form

$$\langle x \wedge y, z \wedge w \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 = x \wedge y \wedge z \wedge w \quad (\text{for } x, y, z, w \in \mathbb{C}^4)$$



[2.10]  $SL_2(\mathbb{H}) \rightarrow SO(5, 1)$  Imbed  $\mathbb{H} \subset M_2(\mathbb{C})$  by

$$a + bi + cj + dk \longrightarrow \begin{pmatrix} a + bi & c + dj \\ -c + di & a - bi \end{pmatrix} \quad (\text{with } a, b, c, d \in \mathbb{R})$$

Note the characterization

$$\mathbb{H} = \{x \in M_2(\mathbb{C}) : \bar{x} = wxw^{-1}\} \quad (\text{with } w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix})$$

Thus, identify

$$SL_2(\mathbb{H}) = \{g \in SL_4(\mathbb{C}) : \bar{g} = WgW^{-1}\} \quad (\text{where } W = \begin{pmatrix} 0 & -1 & & \\ 1 & 0 & & \\ & & 0 & -1 \\ & & 1 & 0 \end{pmatrix})$$

where  $g \rightarrow \bar{g}$  is entry-wise conjugation. Let  $e_1, e_2, e_3, e_4$  be the standard basis for  $\mathbb{C}^4$ , and give  $\wedge^2 \mathbb{C}^4$  the  $\mathbb{C}$ -valued  $SL_4(\mathbb{C})$ -invariant symmetric form

$$\langle x \wedge y, z \wedge w \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 = x \wedge y \wedge z \wedge w \quad (\text{for } x, y, z, w \in \mathbb{C}^4)$$

A six-dimensional  $\mathbb{R}$ -subspace of  $\wedge^2 \mathbb{C}^4$  stable under  $SU(4)$  will be identified as the fixed vectors of an  $\mathbb{C}$ -conjugate-linear isomorphism  $J : \mathbb{C}^4 \rightarrow \mathbb{C}^4$  commuting with  $SL_2(\mathbb{H})$ , on which  $\langle, \rangle$  takes real values.

Define conjugate-linear  $J : \wedge^2 \mathbb{C}^4 \rightarrow \wedge^2 \mathbb{C}^4$  by

$$J(x \wedge y) = W\bar{x} \wedge W\bar{y}$$

By design,  $J$  commutes with the action of  $g \in SL_2(\mathbb{H})$ :

$$g \cdot J(x \wedge y) = gW\bar{x} \wedge gW\bar{y} = W\overline{W^{-1}gWx} \wedge W\overline{W^{-1}gWy} = Wg\bar{x} \wedge Wg\bar{y} = J(g \cdot x \wedge y)$$

The effect of  $J$  on  $e_k \wedge e_\ell$  and  $ie_k \wedge e_\ell$  is readily computed, since  $We_1 = e_2, We_2 = -e_1, We_3 = e_4,$  and  $We_4 = -e_3$ :

$$J(e_1 \wedge e_2) = -e_2 \wedge e_1 = e_1 \wedge e_2 \quad J(e_3 \wedge e_4) = -e_4 \wedge e_3 = e_3 \wedge e_4$$

while

$$J(e_1 \wedge e_3) = e_2 \wedge e_4 \quad J(e_2 \wedge e_4) = -e_1 \wedge -e_3 = e_1 \wedge e_3$$

and

$$J(e_1 \wedge e_4) = e_2 \wedge -e_3 = -e_2 \wedge e_3 \quad J(e_2 \wedge e_3) = -e_1 \wedge e_4$$

Visibly,  $J^2 = 1$  on these vectors. Since  $J$  is conjugate-linear, we have  $J^2 = 1$ . An orthogonal basis for  $+1$  eigenvectors is

$$e_1 \wedge e_2 + e_3 \wedge e_4 \quad e_1 \wedge e_2 - e_3 \wedge e_4 \quad e_1 \wedge e_3 + e_2 \wedge e_4 \quad ie_1 \wedge e_3 - ie_2 \wedge e_4 \quad e_1 \wedge e_4 - e_2 \wedge e_3 \quad ie_1 \wedge e_4 + ie_2 \wedge e_3$$

with  $\langle, \rangle$  values  $2, -2, -2, -2, -2, -2$ .

[2.11]  $SU(2, 2) \rightarrow SO(4, 2)$  One model of  $SU(2, 2)$  is

$$SU(2, 2) = \{g \in SL_4(\mathbb{C}) : g^* S g = S\} \quad (\text{where } S = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & -1 & \\ & & & -1 \end{pmatrix})$$

Again, with  $e_1, e_2, e_3, e_4$  the standard basis for  $\mathbb{C}^4$ , give  $\bigwedge^2 \mathbb{C}^4$  the  $\mathbb{C}$ -valued symmetric form

$$\langle x \wedge y, z \wedge w \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 = x \wedge y \wedge z \wedge w \quad (\text{for } x, y, z, w \in \mathbb{C}^4)$$

A six-dimensional  $\mathbb{R}$ -subspace of  $\bigwedge^2 \mathbb{C}^4$  stable under  $SU(2, 2)$  will be identified as the fixed vectors of an  $\mathbb{C}$ -conjugate-linear isomorphism  $J : \bigwedge^2 \mathbb{C}^4 \rightarrow \bigwedge^2 \mathbb{C}^4$  commuting with  $SU(2, 2)$ , and on which  $\langle, \rangle$  takes real values.

Use the non-degenerate hermitian form

$$(x, y) = y^* S x$$

on  $\mathbb{C}^4$  invariant under  $SU(2, 2)$ , giving  $\mathbb{C}$ -conjugate-linear isomorphism  $\mathbb{C}^4 \rightarrow (\mathbb{C}^4)^*$  by  $x \rightarrow (y \rightarrow (y, x))$ , which induces  $\bigwedge^2 \mathbb{C}^4 \rightarrow \bigwedge^2 (\mathbb{C}^4)^* \approx (\bigwedge^2 \mathbb{C}^4)^*$ . At the same time, the non-degenerate form  $\langle, \rangle$  on  $\bigwedge^2 \mathbb{C}^4$  gives a  $\mathbb{C}$ -linear isomorphism  $\bigwedge^2 \mathbb{C}^4 \rightarrow \bigwedge^2 \mathbb{C}^4$  by  $v \rightarrow (w \rightarrow \langle w, v \rangle)$ . Combining these,

$$\begin{array}{ccccc} & & & J & \\ & & & \curvearrowright & \\ \bigwedge^2 \mathbb{C}^4 & \xrightarrow{\langle, \rangle} & (\bigwedge^2 \mathbb{C}^4)^* & \xrightarrow{\approx} & \bigwedge^2 (\mathbb{C}^4)^* & \xrightarrow{(\cdot) \wedge (\cdot)} & \bigwedge^2 \mathbb{C}^4 \end{array}$$

with the right-to-left arrow a  $\mathbb{C}$ -conjugate-linear isomorphism, gives a  $\mathbb{C}$ -conjugate-linear isomorphism  $J$  of  $\bigwedge^2 \mathbb{C}^4$  to itself. Since  $SU(2)$  respects both  $\langle, \rangle$  and  $(\cdot, \cdot)$ , the map  $J$  commutes with  $SU(2)$ . This is noted element-wise below. It is important to check that  $J^2 = 1$ .

Tracking  $e_k \wedge e_\ell$  and  $ie_k \wedge e_\ell$  under  $J$  is nearly identical to that for  $SU(4)$ , with important sign flips.

Functionals  $\langle -, e_1 \wedge e_2 \rangle$  and  $(-, e_3) \wedge (-, e_4)$  both compute the  $e_3 \wedge e_4$  component of  $\sum_{k < \ell} c_{k\ell} e_k \wedge e_\ell$ . The two sign flips from  $(e_3, e_3) = -1$  and  $(e_4, e_4) = -1$  cancel. Thus,  $J(e_1 \wedge e_2) = e_3 \wedge e_4$ . A similar computation gives  $J(e_3 \wedge e_4) = e_1 \wedge e_2$ . Since  $(\cdot) \wedge (\cdot)$  is conjugate-linear,  $J(ie_1 \wedge e_2) = -ie_3 \wedge e_4$  and  $J(ie_3 \wedge e_4) = -ie_1 \wedge e_2$ . Thus, on the real four-dimensional space with basis

$$e_1 \wedge e_2 \quad e_3 \wedge e_4 \quad ie_1 \wedge e_2 \quad ie_3 \wedge e_4$$

the map  $J$  is

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$$

Thus,  $J^2 = 1$  on this subspace, and this subspace has  $\pm 1$  eigenspaces of equal dimension. This part is identical to that for  $SU(2)$ .

Functionals  $(-1)\langle -, e_1 \wedge e_3 \rangle$  and  $(-1)(-, e_2) \wedge (-, e_4)$  both compute the  $e_2 \wedge e_4$  component, with sign flip due to  $(e_4, e_4) = -1$ . Similarly,  $(-1)\langle -, e_2 \wedge e_4 \rangle$  and  $(-1)(-, e_1) \wedge (-, e_3)$  both compute the  $e_1 \wedge e_3$  component, with  $(e_3, e_3) = -1$ . Noting the signs,

$$J(e_1 \wedge e_3) = e_2 \wedge e_4 \quad J(ie_1 \wedge e_3) = -ie_2 \wedge e_4 \quad J(e_2 \wedge e_4) = e_1 \wedge e_3 \quad J(ie_2 \wedge e_4) = -ie_1 \wedge e_3$$

Thus,  $J^2 = 1$  on this subspace, with  $\pm 1$  eigenspaces of equal dimension. Functionals  $\langle -, e_1 \wedge e_4 \rangle$  and  $(-1)(-, e_2) \wedge (-, e_3)$  both compute the  $e_2 \wedge e_3$  component, so

$$J(e_1 \wedge e_4) = -e_2 \wedge e_3 \quad J(ie_1 \wedge e_4) = -ie_2 \wedge e_3$$

Functionals  $\langle -, e_2 \wedge e_3 \rangle$  and  $(-1)(-, e_1) \wedge (-, e_4)$  both compute the  $e_1 \wedge e_4$  component, so

$$J(e_2 \wedge e_3) = -e_1 \wedge e_4 \quad J(ie_2 \wedge e_3) = ie_1 \wedge e_4$$

Again,  $J^2 = 1$  on this subspace, with  $\pm 1$  eigenspaces of equal dimension. An orthogonal basis for the  $+1$ -eigenspace for  $J$  is

$$e_1 \wedge e_2 + e_3 \wedge e_4 \quad ie_1 \wedge e_2 - ie_3 \wedge e_4 \quad e_1 \wedge e_3 + e_2 \wedge e_4 \quad ie_1 \wedge e_3 - ie_2 \wedge e_4 \quad e_1 \wedge e_4 - e_2 \wedge e_3 \quad ie_1 \wedge e_4 + ie_2 \wedge e_3$$

The last four have sign flips in comparison to the analogous basis for  $SU(4)$ , giving  $\langle, \rangle$  values  $2, 2, -2, -2, -2, -2$ .

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[2.12]  $SL_4(\mathbb{R}) \rightarrow SO(3, 3)$  This is just the obvious real form of the isogeny for  $SL_4(\mathbb{C})$  above. Let  $SL_4(\mathbb{R})$  act in the natural way on the six-dimensional vectorspace  $V = \bigwedge^2 \mathbb{R}^4$ , namely,  $g \cdot (v \wedge w) = gv \wedge gw$ . Let  $e_1, e_2, e_3, e_4$  be the standard basis of  $\mathbb{R}^4$ , and define  $\langle, \rangle$  on  $V$  by

$$x \wedge y = \langle x, y \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 \quad (\text{with } x, y \in \bigwedge^2 \mathbb{R}^4)$$

This form is *symmetric* because an even number of transpositions reverses the arguments:

$$\begin{aligned} (x \wedge y) \wedge (z \wedge w) &= -x \wedge z \wedge y \wedge w = x \wedge z \wedge w \wedge y = -z \wedge x \wedge w \wedge y \\ &= -z \wedge x \wedge w \wedge y = (z \wedge w) \wedge (x \wedge y) \quad (\text{for } x, y, z, w \in \mathbb{R}^4) \end{aligned}$$

The form is invariant under the action because

$$\begin{aligned} \langle g \cdot (x \wedge y), g \cdot (z \wedge w) \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 &= gx \wedge gy \wedge gz \wedge gw = \det g \cdot x \wedge y \wedge z \wedge w \\ &= \det g \cdot \langle x \wedge y, z \wedge w \rangle \cdot e_1 \wedge e_2 \wedge e_3 \wedge e_4 \end{aligned}$$

To check non-degeneracy, observe

$$\langle e_1 \wedge e_2, e_3 \wedge e_4 \rangle = 1 \quad \langle e_1 \wedge e_3, e_2 \wedge e_4 \rangle = -1 \quad \langle e_1 \wedge e_4, e_2 \wedge e_3 \rangle = 1$$

while  $\langle e_i \wedge e_j, e_k \wedge e_\ell \rangle = 0$  when  $\{i, j\} \cap \{k, \ell\} \neq \emptyset$ . Thus, an orthogonal basis is

$$(e_1 \wedge e_2) \pm (e_3 \wedge e_4) \quad (e_1 \wedge e_3) \pm (e_2 \wedge e_4) \quad (e_1 \wedge e_4) \pm (e_2 \wedge e_3)$$

with  $\langle, \rangle$  values  $\pm 2, \mp 2, \pm 2$ .

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[2.13] Why not  $SU(3, 1)$ ?<sup>[7]</sup> One model of  $SU(3, 1)$  is

$$SU(3, 1) = \{g \in SL_4(\mathbb{C}) : g^* S g = S\} \quad (\text{where } S = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & -1 \end{pmatrix})$$

We could attempt the same procedure for  $SU(3, 1)$  as for  $SU(4)$ ,  $SL_2(\mathbb{H})$ , and  $SU(2, 2)$ , by arranging a conjugate-linear map  $J$  on  $\bigwedge^2 \mathbb{C}^4$  and commuting with  $SU(3, 1)$ , and hoping that the  $SL_4(\mathbb{C})$ -invariant  $\mathbb{C}$ -valued form  $\langle, \rangle$  on  $\bigwedge^2 \mathbb{C}^4$  is real-valued on  $J$ -eigenspaces. Indeed, the same diagrammatic description of  $J$  produces a conjugate-linear map  $J$  commuting with  $SU(3, 1)$ , so  $SU(3, 1)$  stabilizes eigenspaces of  $J$ .

However,  $J^2 = -1$ , not  $+1$ , on  $\mathbb{C}^4$ .

The functionals  $\langle -, e_1 \wedge e_2 \rangle$  and  $(-1)(-, e_3) \wedge (-, e_4)$  both compute the  $e_3 \wedge e_4$  component, so

$$J(e_1 \wedge e_2) = -e_3 \wedge e_4$$

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[7] Also, as S. Zemel notes, the maximal compact  $S(U(3) \times U(1))$  of  $SU(3, 1)$  has dimension 9, which is *not* the dimension  $\frac{p(p-1)}{2} + \frac{q(q-1)}{2}$  of the maximal compact  $O(p) \times O(q)$  of  $O(p, q)$  for any  $p + q = 6$ .

while  $\langle -, e_3 \wedge e_4 \rangle$  and  $(-, e_1) \wedge (-, e_2)$  both compute the  $e_1 \wedge e_2$  component, so

$$J(e_3 \wedge e_4) = e_1 \wedge e_2$$

Similarly,  $(-1)\langle -, e_1 \wedge e_3 \rangle$  and  $(-1)(-, e_2) \wedge (-, e_4)$  both compute the  $e_2 \wedge e_4$  component, so

$$J(e_1 \wedge e_3) = e_2 \wedge e_4$$

while  $(-1)\langle -, e_2 \wedge e_4 \rangle$  and  $(-, e_1) \wedge (-, e_3)$  both compute the  $e_1 \wedge e_3$  component, giving

$$J(e_2 \wedge e_4) = -e_1 \wedge e_3$$

Functionals  $\langle -, e_1 \wedge e_4 \rangle$  and  $(-, e_2) \wedge (-, e_3)$  both compute the  $e_2 \wedge e_3$  component, so

$$J(e_1 \wedge e_4) = e_3 \wedge e_3$$

while  $\langle -, e_2 \wedge e_3 \rangle$  and  $(-1)(-, e_1) \wedge (-, e_4)$  both compute the  $e_1 \wedge e_4$  component, so

$$J(e_2 \wedge e_3) = -e_1 \wedge e_4$$

Thus,  $J^2 = -1$ , not  $+1$ , on  $\bigwedge^2 \mathbb{C}^4$ . Thus, the only possible eigenvalues are  $\pm i$ .

Nevertheless, any  $J$ -eigenspace inside the  $\mathbb{R}$ -vector space  $\bigwedge^2 \mathbb{C}^4$  is stabilized by  $SU(3, 1)$ . But the conjugate-linearity of  $J$  shows that there cannot be  $\pm i$ -eigenvalues in  $\bigwedge^2 \mathbb{C}^4$ : if  $Jv = iv$ , then

$$-v = J^2 v = J(iv) = -iJv = (-i)iv = v$$

Thus, this device has failed to produce  $SU(3, 1)$ -stable proper  $\mathbb{R}$ -subspaces of  $\bigwedge^2 \mathbb{C}^4$ .

### 3. Appendix: isomorphism classes of forms over $\mathbb{C}$ and $\mathbb{R}$

For convenience, we recall a classification over  $\mathbb{C}$  and over  $\mathbb{R}$ : as elaborated below, *dimension* is the only invariant of non-degenerate symmetric bilinear forms over  $\mathbb{C}$ , and *signature* is the only invariant over  $\mathbb{R}$ .

A vector space  $V$  with a symmetric bilinear form over a field is *non-degenerate* when, for every  $v \neq 0$  in  $V$ , there is  $w \in V$  such that  $\langle v, w \rangle \neq 0$ .

The corresponding *orthogonal group* is the isometry group

$$\{g \in \text{Aut}_k(V) : \langle gv, gw \rangle = \langle v, w \rangle, \text{ for all } v, w \in V\}$$

A basis  $\{v_i\}$  is *orthogonal* when  $\langle v_i, v_j \rangle = 0$  for  $i \neq j$ .

**[3.1] Non-degenerate forms over  $\mathbb{C}$  classified by dimension** We claim that for a non-degenerate symmetric bilinear  $\mathbb{C}$ -valued form  $\langle \cdot, \cdot \rangle$  on a finite-dimensional  $\mathbb{C}$ -vectorspace  $V$ , there is an orthogonal basis  $v_1, \dots, v_n$  such that  $\langle v_i, v_i \rangle = 1$  for all  $i$ .

Given  $v \neq 0$  in  $V$ , when  $\langle v, v \rangle \neq 0$ . Replace  $v$  by  $v_1 = v/\sqrt{\langle v, v \rangle}$  with either square root, to arrange  $\langle v_1, v_1 \rangle = 1$ . When  $\langle v, v \rangle = 0$ , use non-degeneracy to obtain  $w$  such that  $\langle v, w \rangle \neq 0$ . In case  $\langle w, w \rangle \neq 0$ , we are in the first case, and if  $\langle w, w \rangle = 0$ , then  $\langle v + w, v + w \rangle = 2 \neq 0$ , and again we are back to the first case.

That is, there is a vector with  $\langle v, v \rangle = 1$ .

To complete the induction argument, show that for  $\langle v, v \rangle = 1$  the orthogonal complement

$$v^\perp = \{w \in V : \langle v, w \rangle = 0\}$$

is non-degenerate. Indeed, given  $0 \neq v' \in v^\perp$ , let  $w \in V$  such that  $\langle v', w \rangle \neq 0$ . Retain this property while adjusting  $w$  to be in  $v^\perp$  by replacing it by  $w - \langle w, v \rangle v$ . ///

Thus, *dimension* is the only isomorphism-class invariant of non-degenerate symmetric bilinear forms over  $\mathbb{C}$ , or over any algebraically closed field of characteristic not 2. The standard model is

$$O(n, \mathbb{C}) = \{g \in GL_n(\mathbb{C}) : g^\top g = 1_n\}$$

**[3.2] Non-degenerate forms over  $\mathbb{R}$  classified by signature** We claim that for non-degenerate  $\mathbb{R}$ -valued symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on a finite-dimensional  $\mathbb{C}$ -vectorspace  $V$ , there are non-negative integers  $p, q$  and an orthogonal basis  $v_1, \dots, v_p, w_1, \dots, w_q$  such that that  $\langle v_i, v_i \rangle = 1$  for  $1 \leq i \leq p$  and  $\langle w_j, w_j \rangle = -1$  for  $1 \leq j \leq q$ .

This is Sylvester's *law of inertia*. The pair  $(p, q)$  is the *signature*. The standard model is

$$O(p, q) = \{g \in GL_{p+q}(\mathbb{R}) : g^\top Q g = Q\} \quad (\text{where } Q = \begin{pmatrix} 1_p & 0 \\ 0 & -1_q \end{pmatrix})$$

Given  $v \neq 0$ , when  $\langle v, v \rangle \neq 0$ , replacing  $v$  by  $v/\sqrt{|\langle v, v \rangle|}$  gives  $\langle v, v \rangle = \pm 1$ . When  $\langle v, v \rangle = 0$ , there is  $w$  such that  $\langle v, w \rangle \neq 0$ . In case  $\langle w, w \rangle \neq 0$ , we are back to the first case. When  $\langle w, w \rangle = 0$ ,  $\langle v + w, v + w \rangle = 2 \neq 0$ , and again we are back to the first case.

Thus, there is  $v$  with  $\langle v, v \rangle = \pm 1$ .

An argument nearly identical to the complex case shows that  $v^\perp$  is non-degenerate, so and induction gives *existence* of a signature.

For *uniqueness*, let a *totally isotropic* subspace  $W$  of  $V$  be a subspace on which  $\langle \cdot, \cdot \rangle = 0$ , that is,  $\langle w, w' \rangle = 0$  for all  $w, w' \in W$ . A *maximal* totally isotropic subspace is also called *Lagrangian*.

We claim that all Lagrangian subspaces  $W$  have the *same dimension*. Uniqueness of signature will follow from showing this common dimension is  $\min(p, q)$ .

A reformulation of the definition of *maximal* totally isotropic is that  $W^\perp$  is just  $W$  itself. Thus, for  $W'$  another maximal totally isotropic subspace, the non-degenerate  $\langle \cdot, \cdot \rangle$  gives a non-degenerate pairing of  $W/(W \cap W')$  and  $W'/(W \cap W')$ . A non-degenerate pairing between finite-dimensional vectorspaces gives an isomorphism of each to the dual of the other, so the dimensions are equal.

Next, given a totally isotropic subspace  $W$ , there is another totally isotropic subspace  $W'$  such that  $\langle \cdot, \cdot \rangle$  is non-degenerate on  $W + W'$ . Indeed, given  $w_1 \in W$ , find  $w'_1$  such that  $\langle w_1, w'_1 \rangle \neq 0$ . Without loss of generality,  $\langle w'_1, w'_1 \rangle = 0$ , since otherwise replace  $w'_1$  by  $w'_1 - \frac{1}{2}\langle w'_1, w'_1 \rangle \cdot w_1$ . As above,  $(\mathbb{R}w_1 + \mathbb{R}w'_1)^\perp$  is non-degenerate, and  $W \cap (\mathbb{R}w_1 + \mathbb{R}w'_1)^\perp$  is codimension 1 inside  $W$ . Thus, an induction chooses a basis  $w'_1, \dots, w'_m$  for another totally isotropic subspace  $W'$ , with  $\langle w_i, w'_i \rangle = 1$  for all  $i$ , and  $\langle w_i, w'_j \rangle = 0$  for  $i \neq j$ .

Thus, given a Lagrangian subspace  $W$ , there are corresponding  $w_1, w'_1, \dots, w_m, w'_m$ , and the collection  $w_i \pm w'_i$  gives an orthogonal basis for the span of  $W + W'$  with  $m$  positive and  $m$  negative values. Thus,  $\min(p, q) \geq m$ .

On the other hand, taking  $p \geq q$  and orthogonal basis  $v_1, \dots, v_p, w_1, \dots, w_q$  as above,  $v_1 + w_1, \dots, v_q + w_q$  spans a totally isotropic subspace. This gives the opposite inequality, proving that  $\min(p, q)$  is the (common) dimension of Lagrangian subspaces. ///