

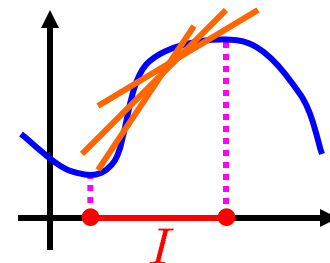
CALCULUS

Derivative tests and graphing

DEFINITION: Let I be an interval.

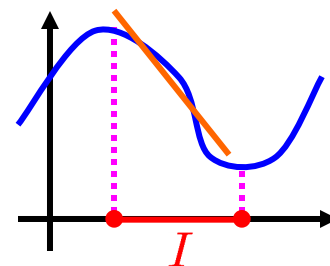
A function f is called **increasing on I** if
 $f(s) < f(t)$ whenever $s, t \in I$ and $s < t$.

“secant lines run uphill” (slopes > 0)



A function f is called **decreasing on I** if
 $f(s) > f(t)$ whenever $s, t \in I$ and $s < t$.

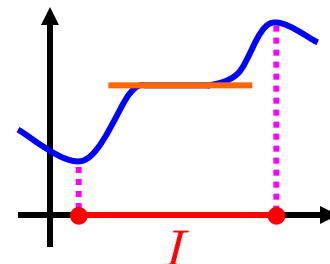
“secant lines run downhill” (slopes < 0)



(semi-increasing)

A function f is called **nondecreasing on I** if
 $f(s) \leq f(t)$ whenever $s, t \in I$ and $s \leq t$.

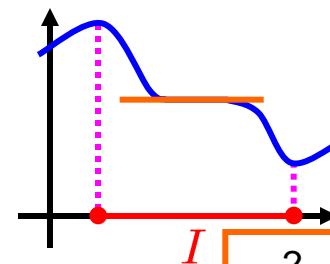
“secant lines don't run downhill” (slopes ≥ 0)

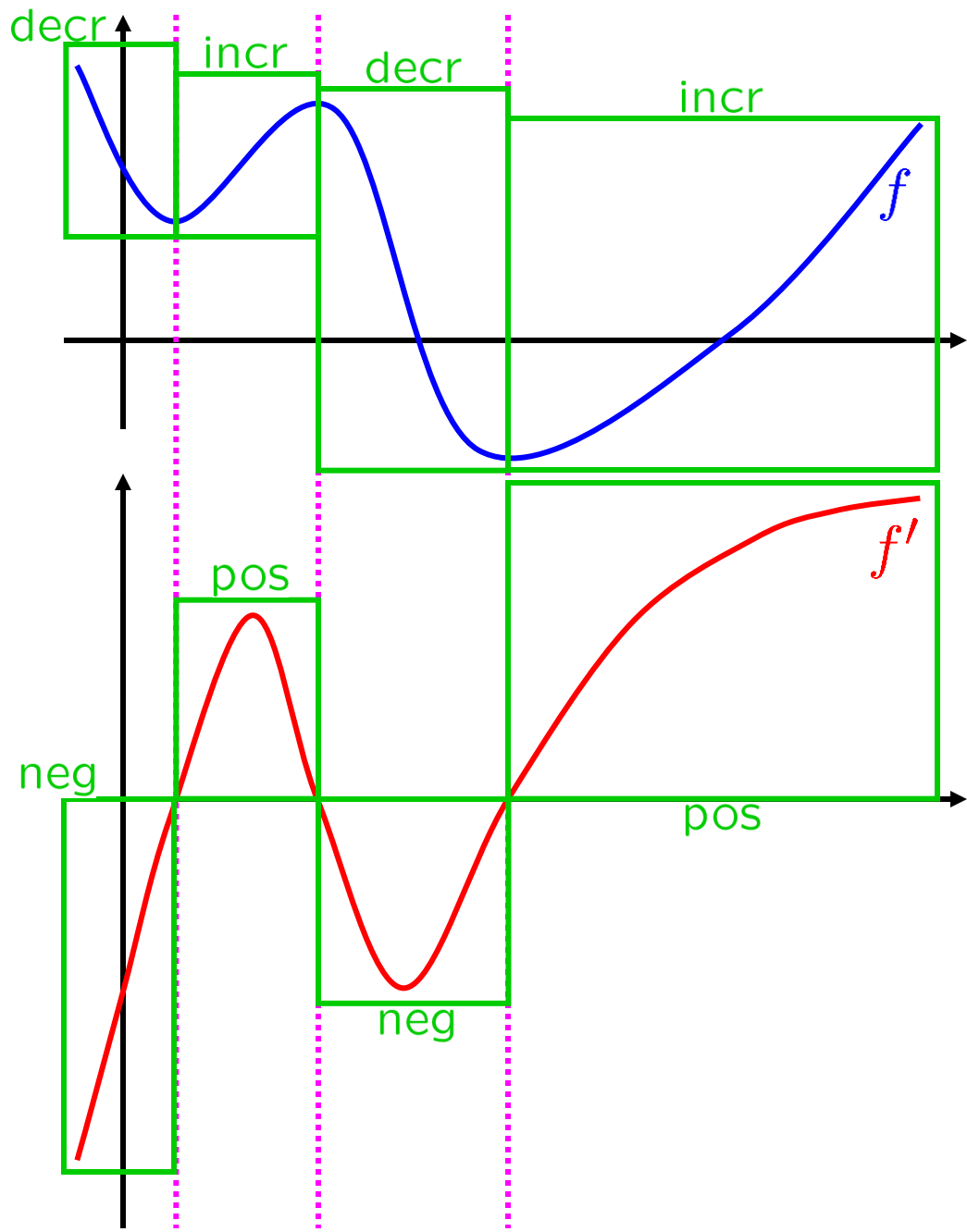


(semi-decreasing)

A function f is called **nonincreasing on I** if
 $f(s) \geq f(t)$ whenever $s, t \in I$ and $s \leq t$.

“secant lines don't run uphill” (slopes ≤ 0)





INCREASING TEST:

If $f'(x) > 0$, for all x in an interval I ,
then f is increasing on I .

DECREASING TEST:

If $f'(x) < 0$, for all x in an interval I ,
then f is decreasing on I .

INCREASING/DECREASING TEST:

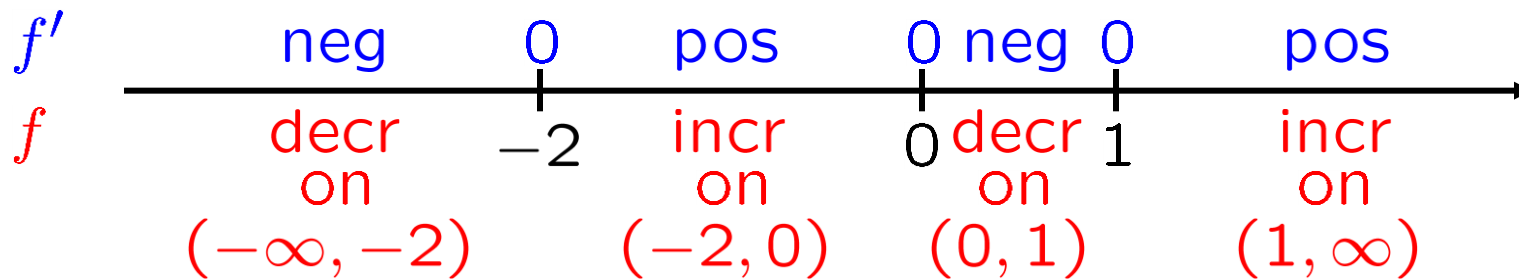
If $f'(x) > 0$ (resp. < 0), for all x in an interval I ,
then f is increasing (resp. decreasing) on I .

EXAMPLE: Find where the function

$$f(x) = 3x^4 + 4x^3 - 12x^2 + 7$$

is increasing and where it is decreasing.

$$\begin{aligned} f'(x) &= 12x^3 + 12x^2 - 24x \\ &= 12x(x^2 + x - 2) \\ &= 12x(x + 2)(x - 1) \end{aligned}$$



INCREASING/DECREASING TEST:

If $f'(x) > 0$ (resp. < 0), for all x in an interval I , then f is increasing (resp. decreasing) on I .

IMPROVED INCREASING/DECREASING TEST:

If $f'(x) > 0$ (resp. < 0), (Assuming f continuous on I), for all but finitely many x in an interval I , then f is increasing (resp. decreasing) on I .

EXAMPLE: Find where the function

$$f(x) = 3x^4 + 4x^3 - 12x^2 + 7$$

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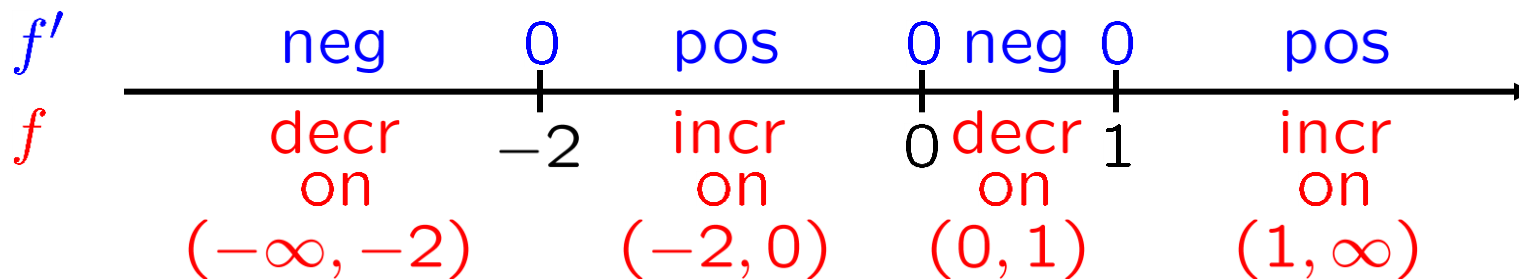
NOT neg

at -2 only one number

$$f'(x) = 12x^3 + 12x^2 - 24x$$

$$= 12x(x^2 + x - 2)$$

$$= 12x(x + 2)(x - 1)$$



and even on $(-\infty, -2]$

IMPROVED INCREASING/DECREASING TEST:

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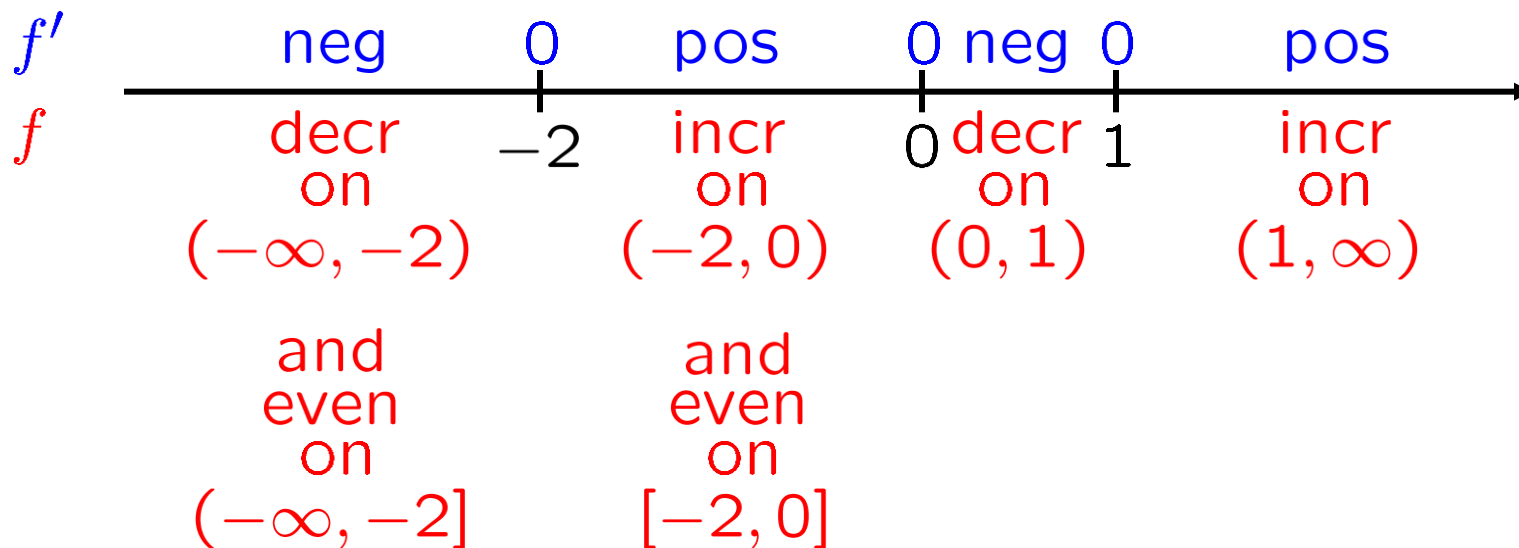
NOT pos

at $-2, 0$ only two numbers

$$f'(x) = 12x^3 + 12x^2 - 24x$$

$$= 12x(x^2 + x - 2)$$

$$= 12x(x + 2)(x - 1)$$



IMPROVED INCREASING/DECREASING TEST:

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for all but finitely many x in an interval I ,

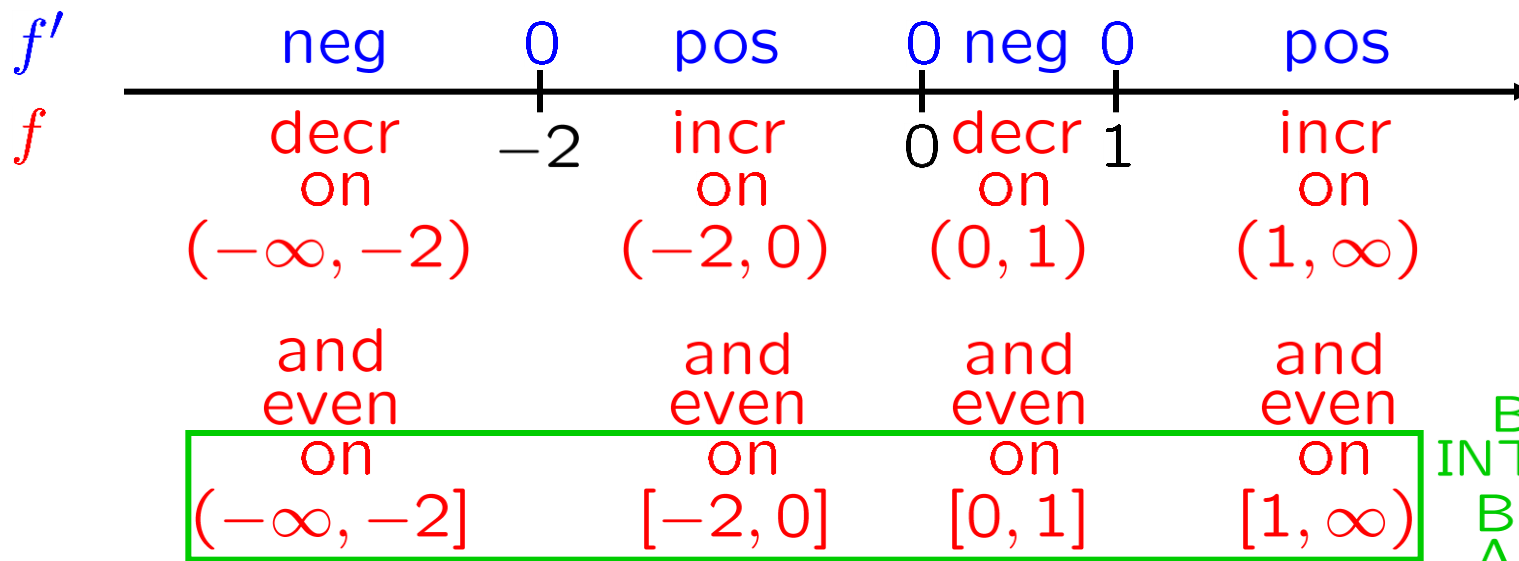
then f is increasing (resp. decreasing) on I .

EXAMPLE: Find where the function

$$f(x) = 3x^4 + 4x^3 - 12x^2 + 7$$

is increasing and where it is decreasing.

$$\begin{aligned} f'(x) &= 12x^3 + 12x^2 - 24x \\ &= 12x(x^2 + x - 2) \\ &= 12x(x + 2)(x - 1) \end{aligned}$$



BIGGER INTERVALS
 BETTER ANSWER

IMPROVED INCREASING/DECREASING TEST:

If $f'(x) > 0$ (resp. < 0),

(Assuming f continuous on I .)

for all but finitely many x in an interval I ,

then f is increasing (resp. decreasing) on I .

ANOTHER EXAMPLE: Find where the function

$$f(x) = -4x^5 + 15x^4 - 40x^2 + 3$$

is increasing and where it is decreasing.

$$f'(x) = -20x^4 + 60x^3 - 80x$$

$$= -20x(x^3 - 3x^2 + 4)$$

$$= -20x(x-2)^2(x+1)$$

2 is a root of multiplicity two.

NOT neg

at 0, 2 only two numbers

f'

neg

0

pos

0

neg

0

neg

f

decr on

-1

incr on

0

decr on

2

decr on

$(-\infty, -1)$

$(-1, 0)$

$(0, 2)$

$(2, \infty)$

and even on

$(-\infty, -1]$

and even on

$[-1, 0]$

and even on

$[0, \infty)$



IMPROVED INCREASING/DECREASING TEST:

If $f'(x) > 0$ (resp. < 0),

(Assuming f continuous on I .)

for all but finitely many x in an interval I ,

then f is increasing (resp. decreasing) on I .

ANOTHER EXAMPLE: Find where the function

$$f(x) = 12x^5 - 15x^4 - 40x^3 - 9$$

is increasing and where it is decreasing.

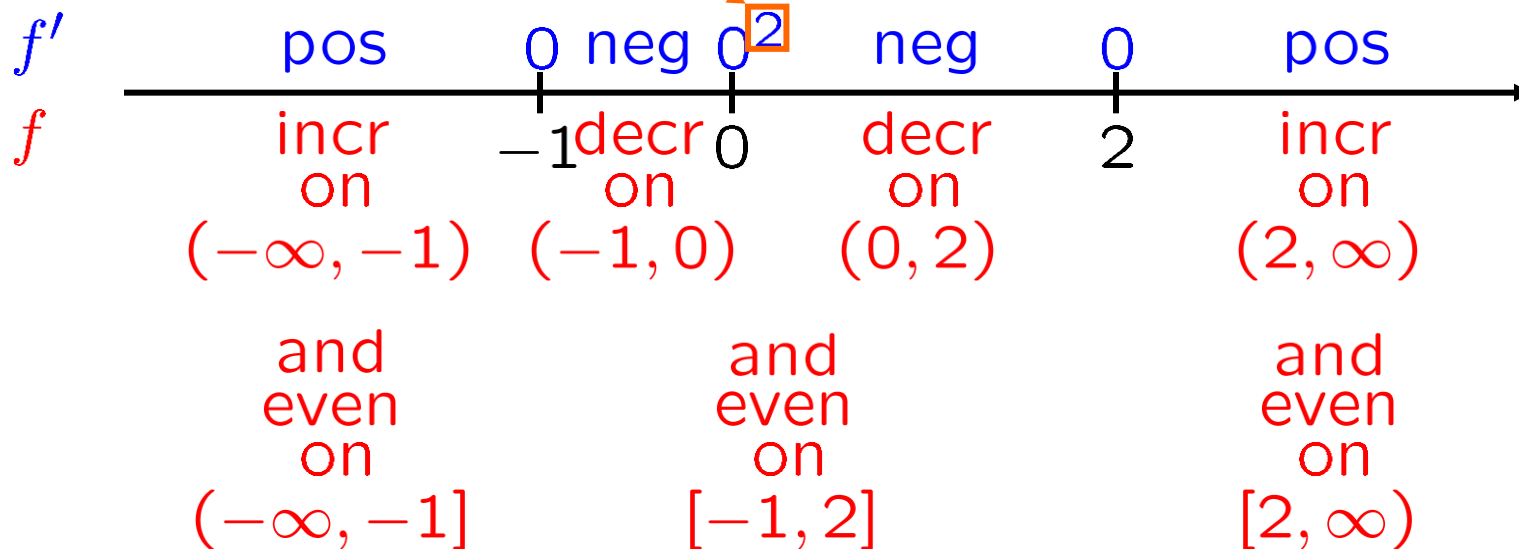
$$f'(x) = 60x^4 - 60x^3 - 120x^2$$

NOT neg
at $-1, 0, 2$
only three numbers

$$= 60x^2(x^2 - x - 2)$$

$$= 60x^2(x-2)(x+1)$$

0 is a root of
of multiplicity two.



IMPROVED INCREASING/DECREASING TEST:

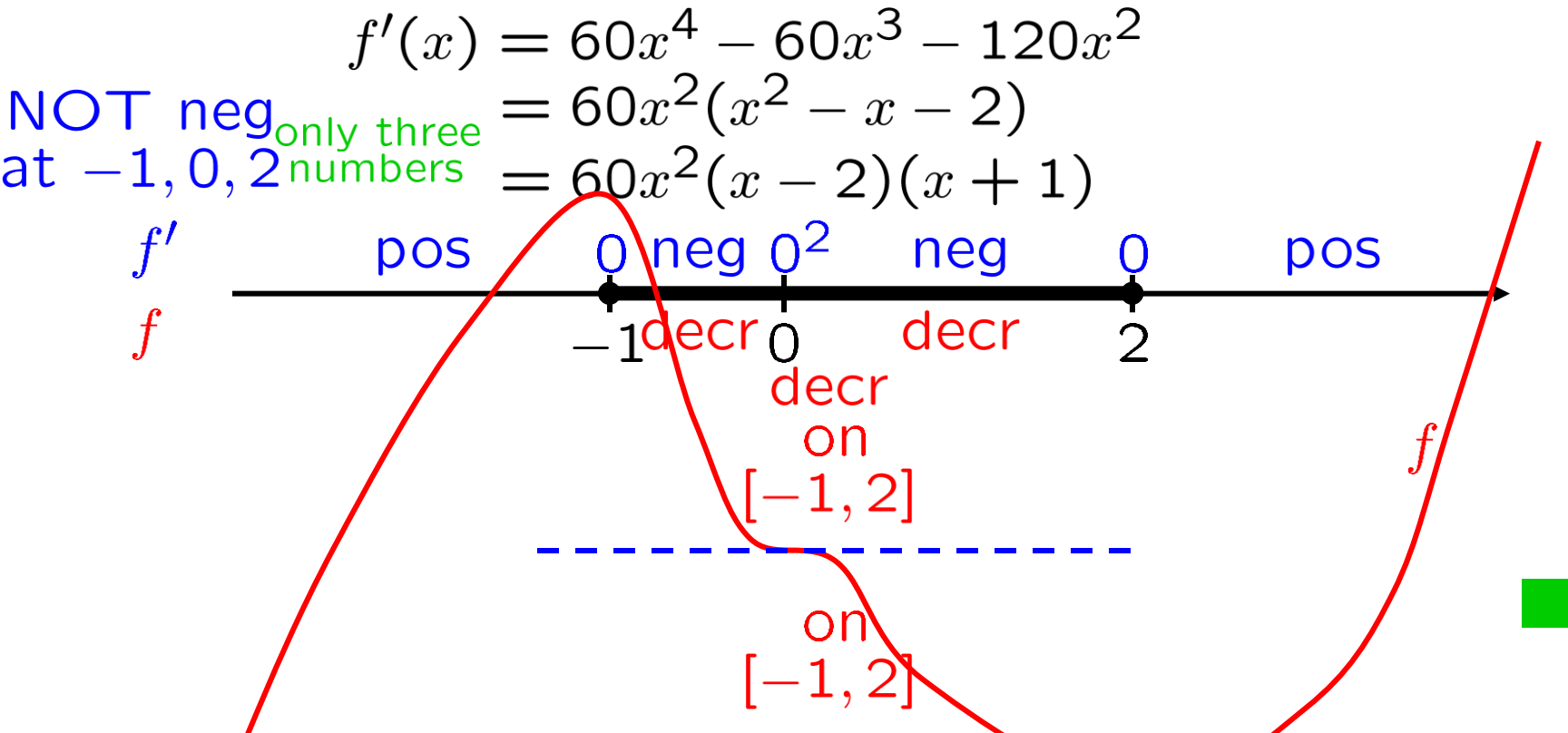
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IMPROVED INCREASING/DECREASING TEST:
 If $f'(x) > 0$ (resp. < 0), (Assuming f continuous on I)
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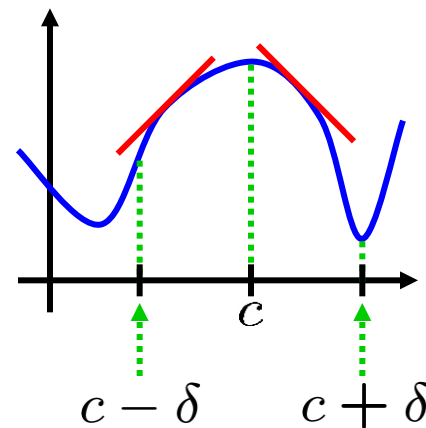
cf. §5.2, p. 97–99 **THE FIRST DERIVATIVE TEST:**

Suppose c is a critical number of a continuous fn f .

(a) If f' changes from positive to negative at c ,
then f has a local maximum at c .

Restatement:

If $\exists \delta > 0$ s.t. $f' > 0$ on $(c - \delta, c)$
and s.t. $f' < 0$ on $(c, c + \delta)$,
then f has a local maximum at c .



IMPROVED INCREASING/DECREASING TEST:

If $f'(x) > 0$ (resp. < 0), (Assuming f continuous on I)

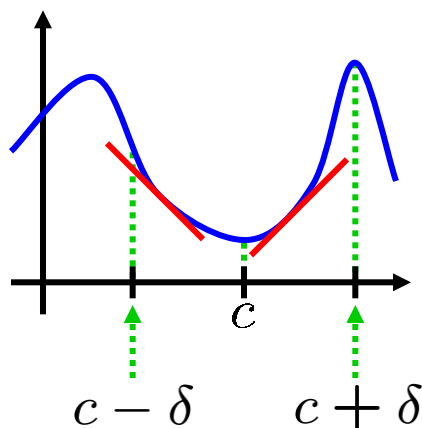
for all but finitely many x in an interval I ,

§5.2 then f is increasing (resp. decreasing) on I .

cf. §5.2, p. 97–99 **THE FIRST DERIVATIVE TEST:**

Suppose c is a critical number of a continuous fn f .

- (a) If f' changes from positive to negative at c , then f has a local maximum at c .
- (b) If f' changes from negative to positive at c , then f has a local minimum at c .



IMPROVED INCREASING/DECREASING TEST:

If $f'(x) > 0$ (resp. < 0), (Assuming f continuous on I),

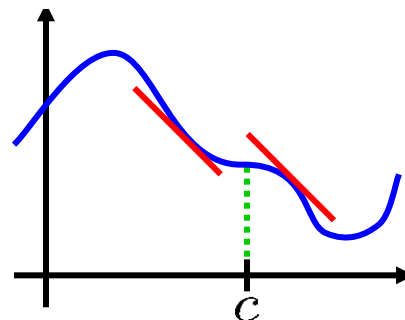
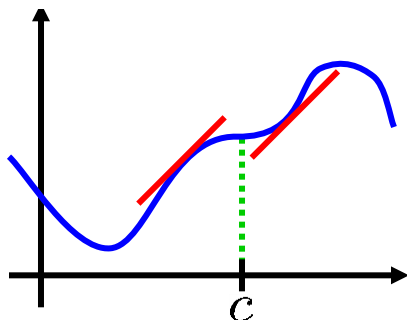
for all but finitely many x in an interval I ,

then f is increasing (resp. decreasing) on I .

cf. §5.2, p. 97–99 **THE FIRST DERIVATIVE TEST:**

Suppose c is a critical number of a continuous fn f .

- (a) If f' changes from positive to negative at c , then f has a local maximum at c .
- (b) If f' changes from negative to positive at c , then f has a local minimum at c .
- (c) If f' does not change sign at c , then f does not have a local extremum at c .



IMPROVED INCREASING/DECREASING TEST:

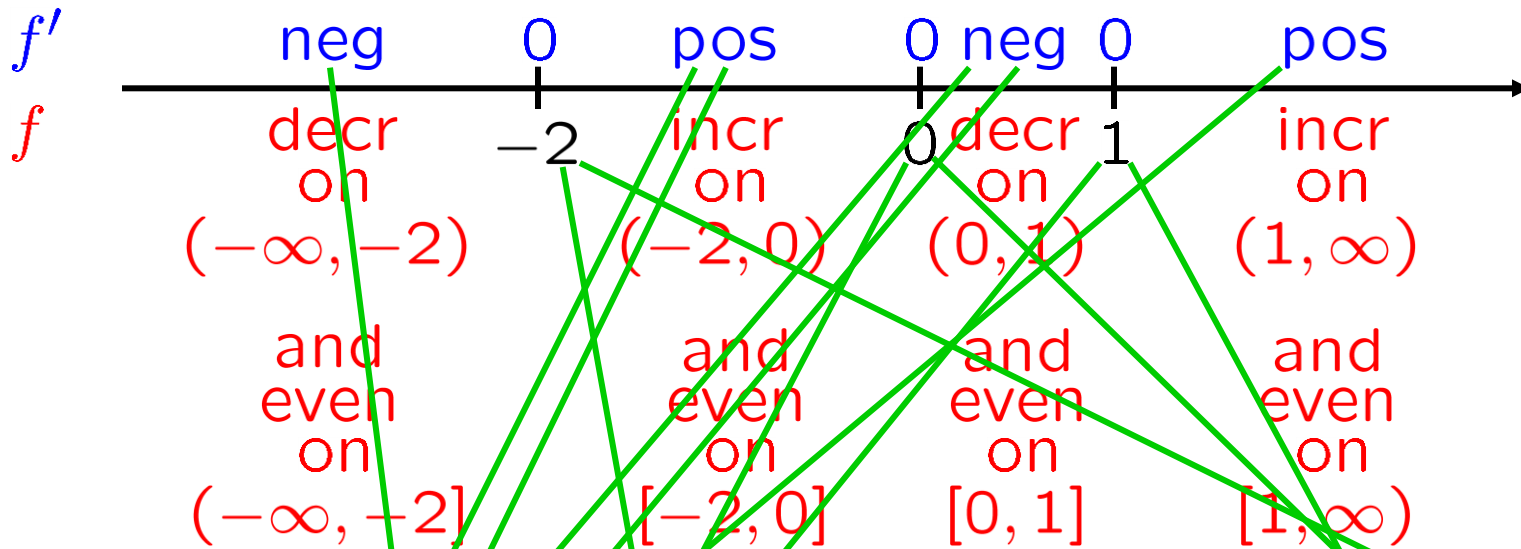
If $f'(x) > 0$ (resp. < 0), (Assuming f continuous on I),

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EXAMPLE: Find the local maxima and minima of
of $f(x) = 3x^4 + 4x^3 - 12x^2 + 7$.

$$\begin{aligned} f'(x) &= 12x^3 + 12x^2 - 24x \\ &= 12x(x^2 + x - 2) \\ &= 12x(x + 2)(x - 1) \end{aligned}$$



f has a local min at -2 with local min value $f(-2) = -25$.

f has a local max at 0 with local max value $f(0) = 7$.

f has a local min at 1 with local min value $f(1) = 2$. ■

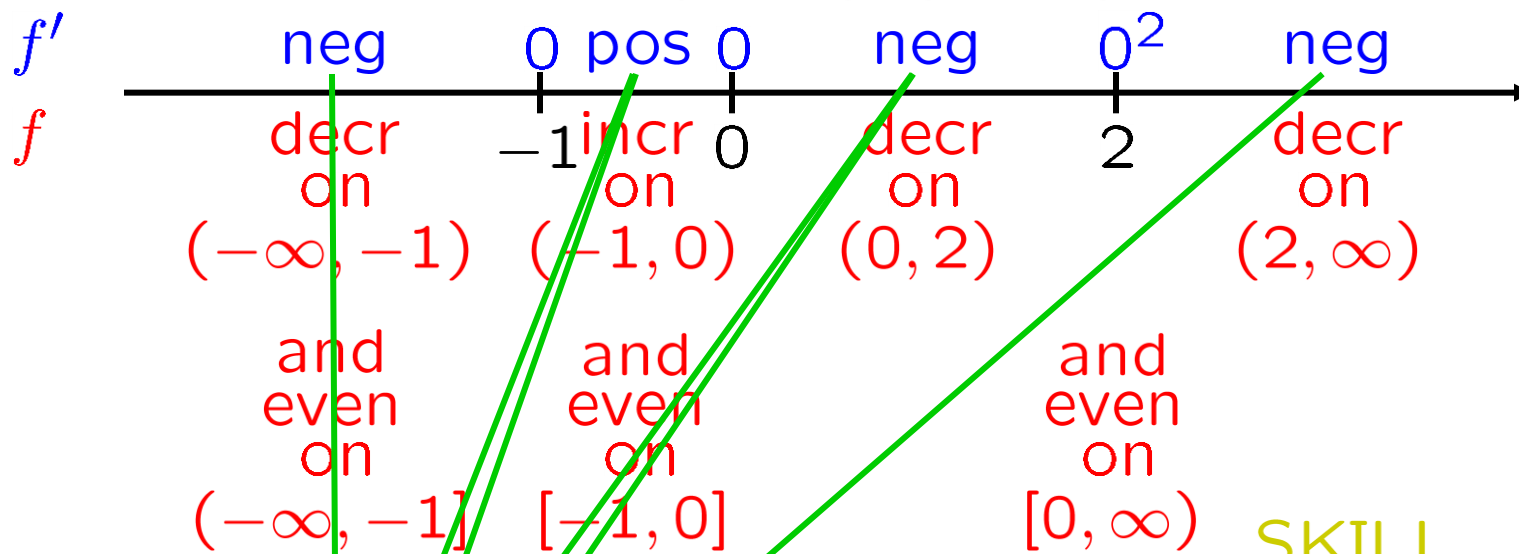
SKILL

local extrema via 1st deriv test

ANOTHER EXAMPLE: Find the local extrema of

$$f(x) = -4x^5 + 15x^4 - 40x^2 + 3.$$

$$\begin{aligned} f'(x) &= -20x^4 + 60x^3 - 80x \\ &= -20x(x^3 - 3x^2 + 4) \\ &= -20x(x-2)^2(x+1) \end{aligned}$$



SKILL
local extrema via 1st deriv test

f has a local min at -1 with local min value $f(-1) = -18$.

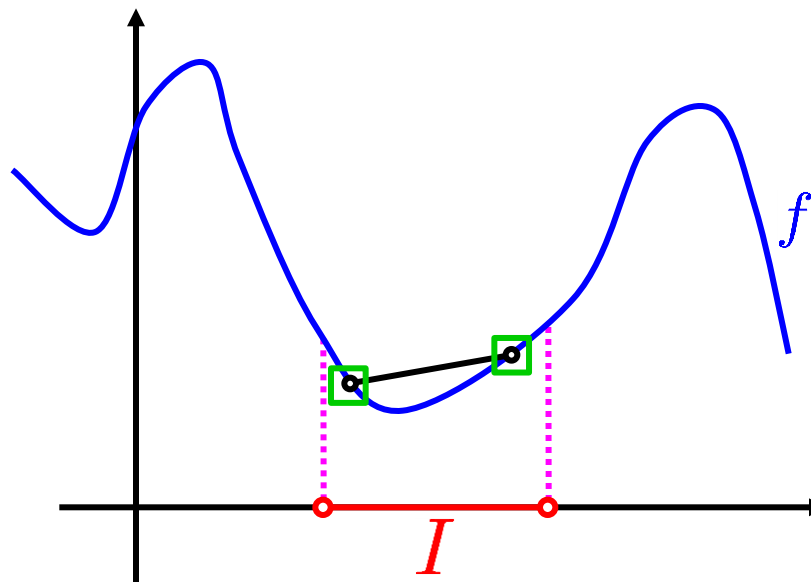
f has a local max at 0 with local max value $f(0) = 3$. ■

f does **not** have a local extremum at 2 .

cf. §5.4, pp. 100–101, DEFINITION: Let I be an interval.

A function f is called **strictly (convex) concave up** on I if the **open** secant line segment from $(s, f(s))$ to $(u, f(u))$ lies above the graph of f , whenever $s, u \in I$.

e.g.:



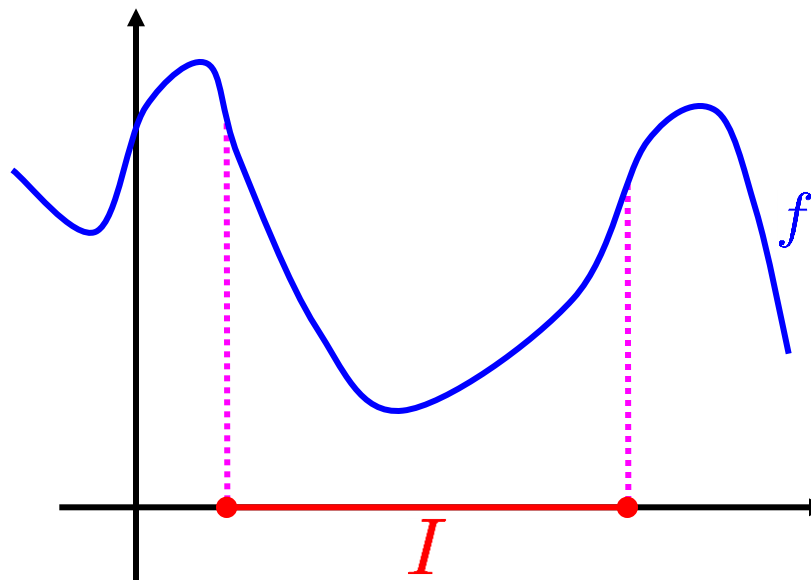
Typical to make the interval as large as possible...

cf. §5.4, pp. 100–101, DEFINITION: Let I be an interval.

A function f is called **strictly (convex) concave up** on I if the open secant line segment from $(s, f(s))$ to $(u, f(u))$ lies above the graph of f , whenever $s, u \in I$.

e.g.:

Next: Concave down...

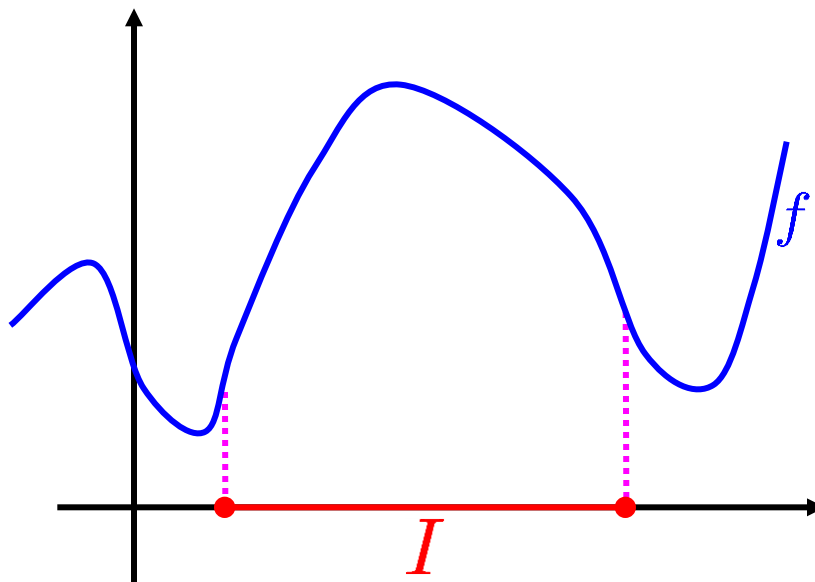


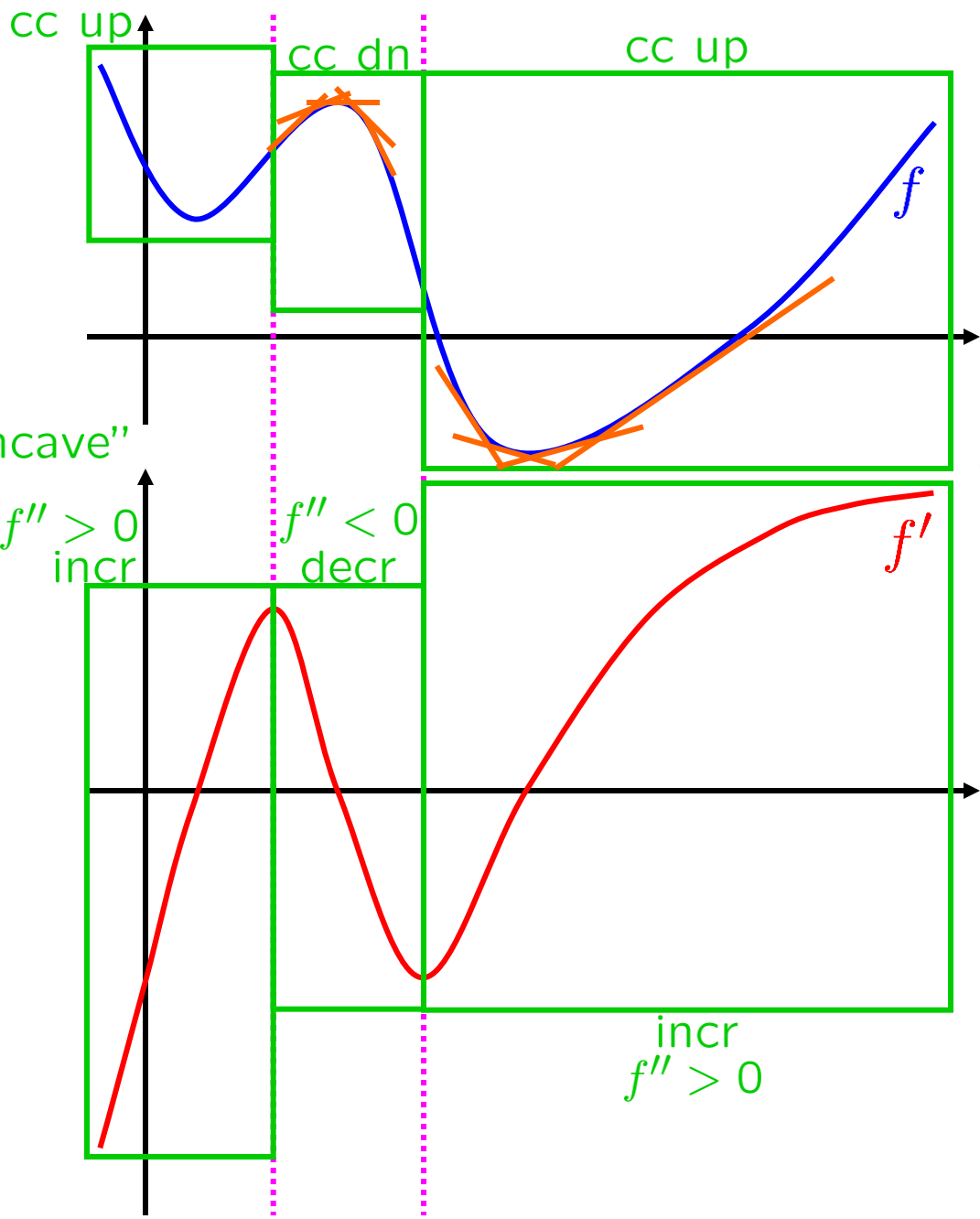
Typical to make the interval as large as possible...

cf. §5.4, pp. 100–101, DEFINITION: Let I be an interval.

A function f is called **strictly** **(concave)** **concave down** on I if
the **open** secant line segment from $(s, f(s))$ to $(u, f(u))$
lies below the graph of f ,
whenever $s, u \in I$.

e.g.:





TANGENT LINE SLOPES
 negative
 less negative
 positive
 more positive

TANGENT LINE SLOPES ARE INCREASING

cc = "concave"

CONCAVITY TEST:

Let I be an interval.

- (a) If $f''(x) > 0$ for all $x \in I$,
then the graph of f is concave up on I .
- (b) If $f''(x) < 0$ for all $x \in I$,
then the graph of f is concave down on I .

Definition: The **interior** of an interval is the open interval with the same endpoints.

- e.g.:* The interior of $[1, 2]$ is $(1, 2)$.
The interior of $(3, 4]$ is $(3, 4)$.
The interior of $(5, 6)$ is $(5, 6)$.
The interior of $[7, \infty)$ is $(7, \infty)$.
The interior of $(-\infty, 8]$ is $(-\infty, 8)$.

IMPROVED CONCAVITY TEST:

Let I be an interval.

(Assuming f continuous on I and differentiable on the interior of I .)

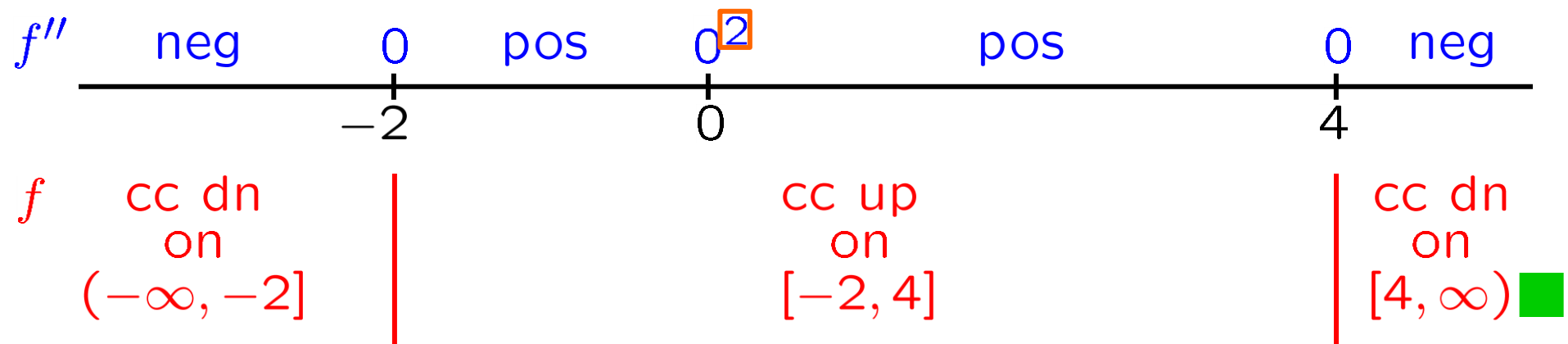
- (a) If $f''(x) > 0$ for all but finitely many $x \in I$,
then the graph of f is concave up on I .
- (b) If $f''(x) < 0$ for all but finitely many $x \in I$,
then the graph of f is concave down on I .

EXAMPLE: Find the maximal intervals of concavity for

$$f(x) = -x^6 + 3x^5 + 20x^4$$

$$f'(x) = -6x^5 + 15x^4 + 80x^3$$

$$\begin{aligned} f''(x) &= -30x^4 + 60x^3 + 240x^2 \\ &= -30x^2(x^2 - 2x - 8) \\ &= -30x^2(x + 2)(x - 4) \end{aligned}$$



IMPROVED CONCAVITY TEST:

Let I be an interval.

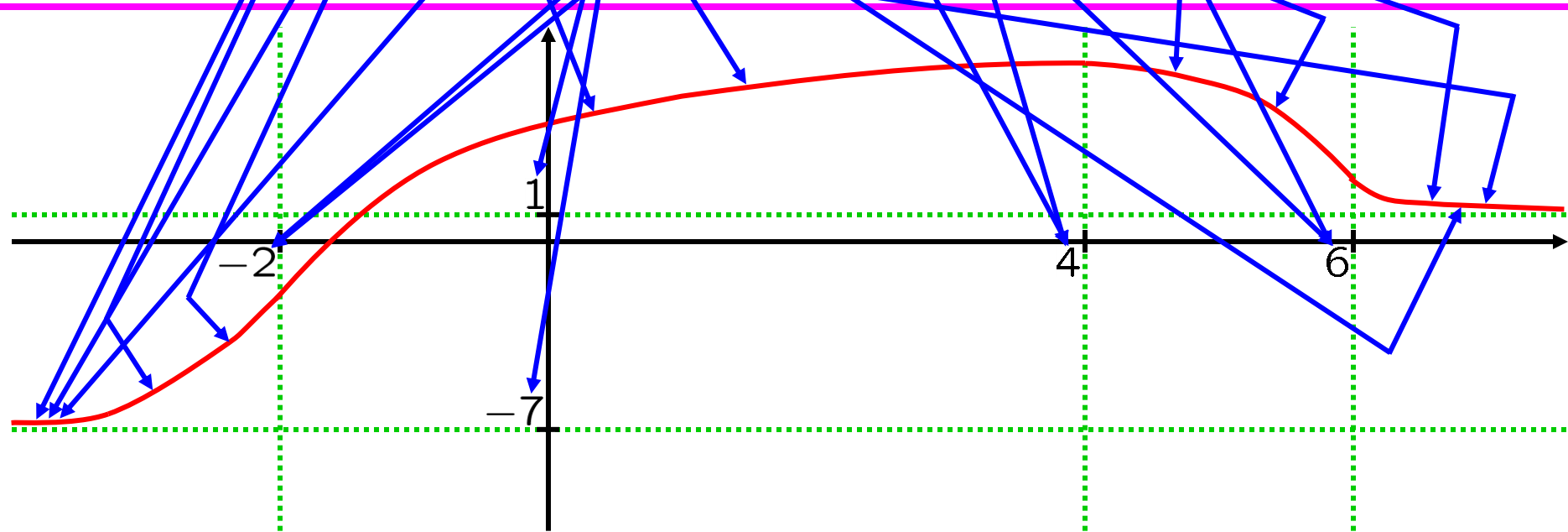
(Assuming f continuous on I and differentiable on the interior of I .)

(a) If $f''(x) > 0$ for all but finitely many $x \in I$, then the graph of f is concave up on I .

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EXAMPLE: Sketch a possible graph of a function f that satisfies the following conditions:

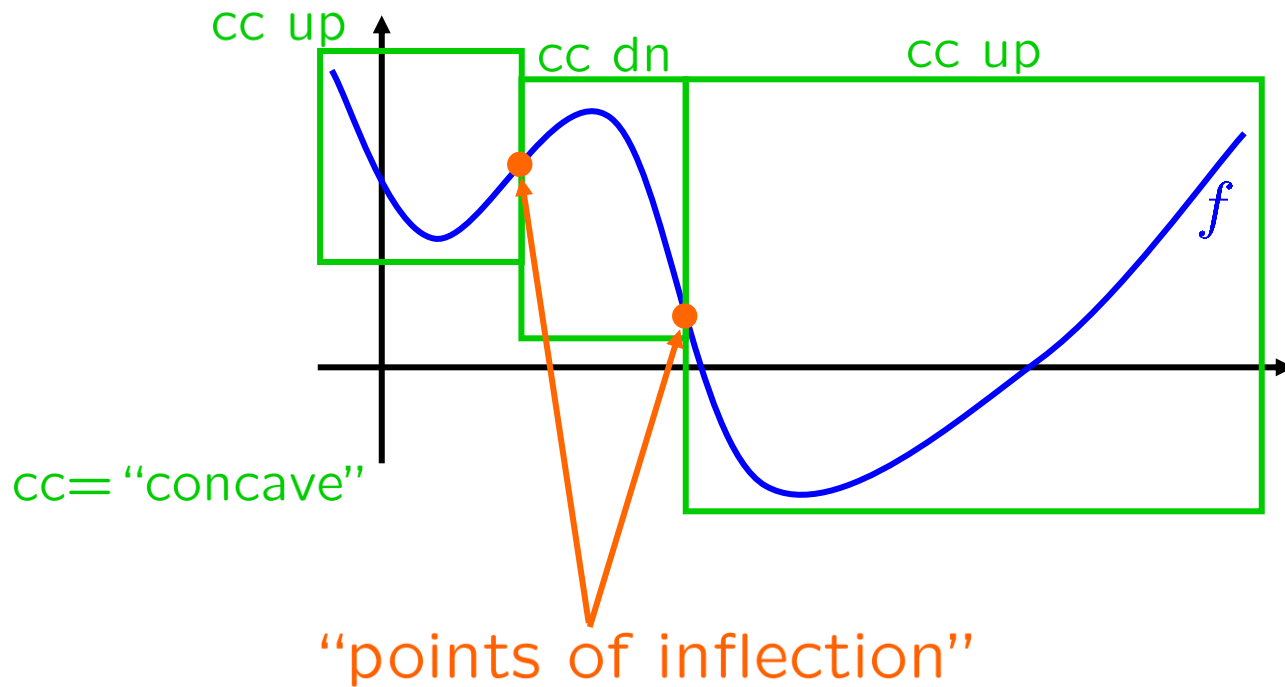
- (i) $f'(x) > 0$ on $x \in (-\infty, 4)$,
 $f'(x) < 0$ on $x \in (4, \infty)$
- (ii) $f''(x) > 0$ on $x \in (-\infty, -2) \cup (6, \infty)$,
 $f''(x) < 0$ on $x \in (-2, 6)$
- (iii) $\lim_{x \rightarrow -\infty} f(x) = -7$,
 $\lim_{x \rightarrow \infty} f(x) = 1$



SKILL

sketching, given data

many other examples



Next: def'n of point of inflection
a.k.a. inflection point ...

cf. §5.4, p. 100–102 **DEFINITION**: “**point of inflection**”
A point (a, b) on a curve $y = f(x)$ is called “**flex point**”
an **inflection point** if all of the following hold:

f is continuous at a

and $\lim_{h \rightarrow 0} \frac{(f(a+h)) - (f(a))}{h}$ exists (possibly $\pm\infty$)

and the curve changes
from concave up to concave down
or
from concave down to concave up
at (a, b) .

“ $y = f(x)$ changes concavity at a ”
meaning:

$\exists \delta > 0$ s.t.

either

f is cc up on $(a-\delta, a)$ and f is cc dn on $(a, a+\delta)$

or

f is cc dn on $(a-\delta, a)$ and f is cc up on $(a, a+\delta)$.

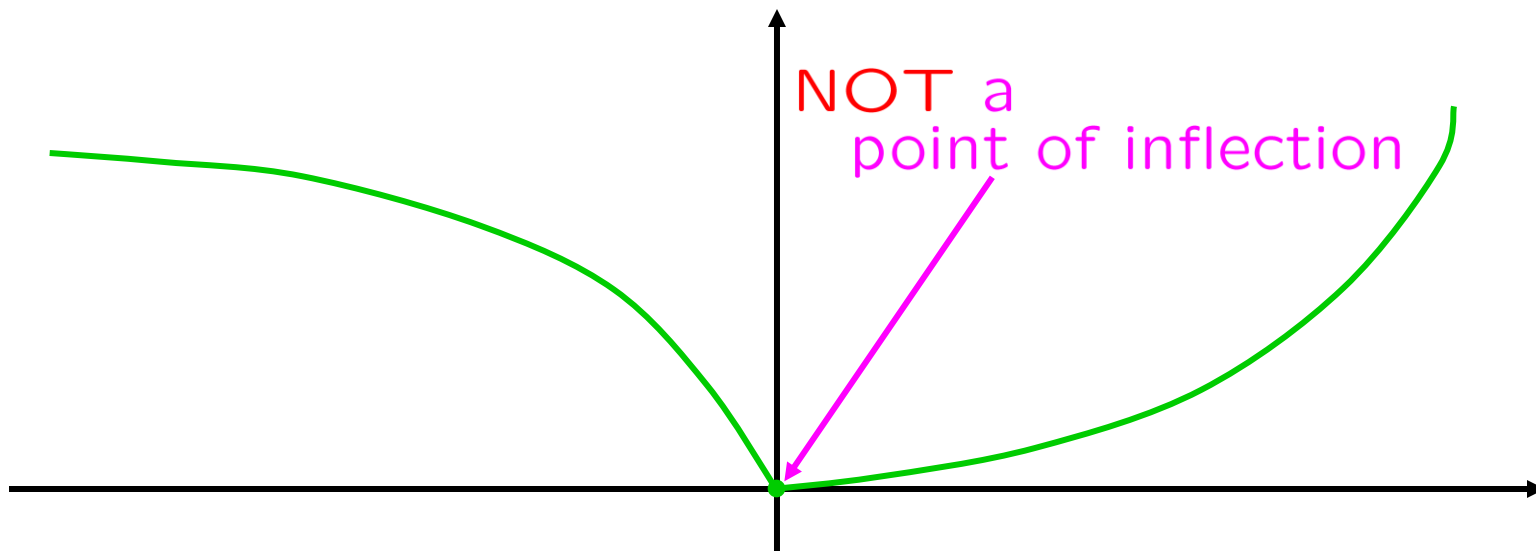
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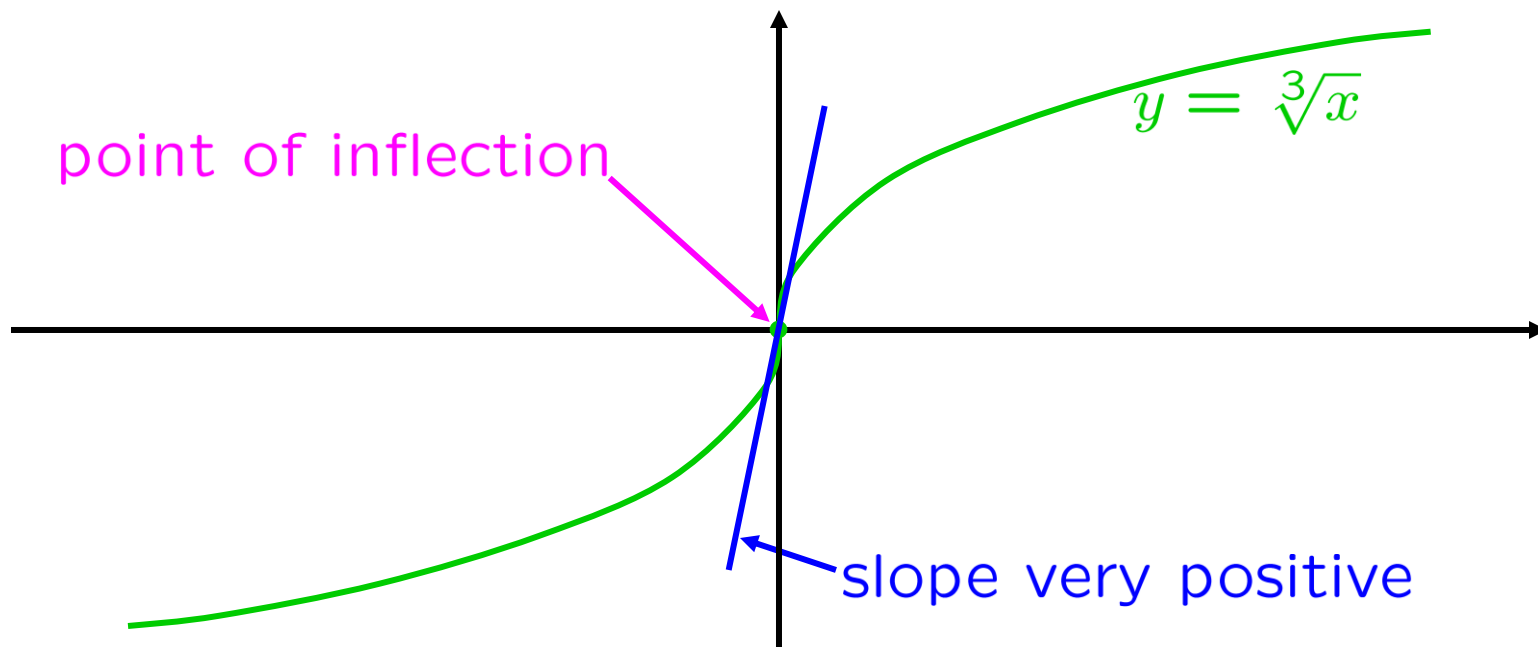
f is continuous at a

and $\lim_{h \rightarrow 0} \frac{(f(a + h)) - (f(a))}{h}$ exists (possibly $\pm\infty$)

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from concave up to concave down
or

from concave down to concave up
at (a, b) .



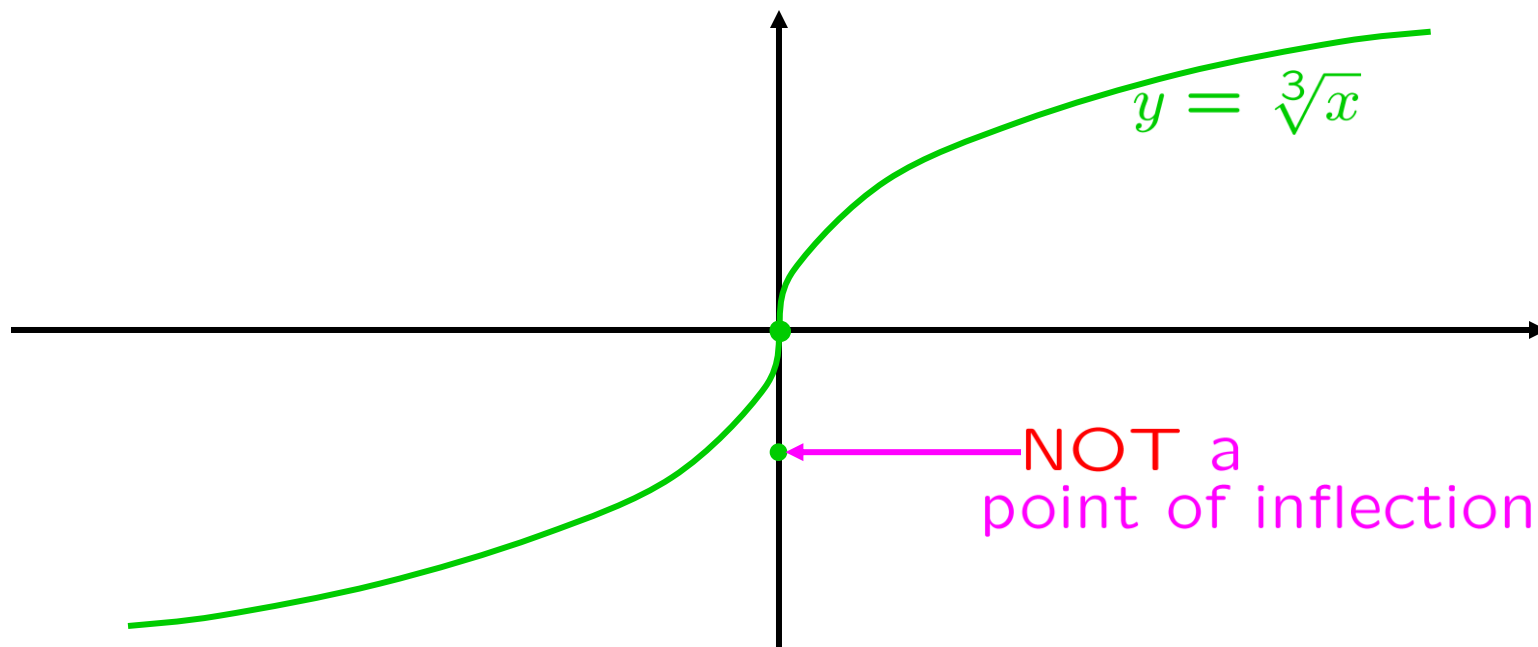
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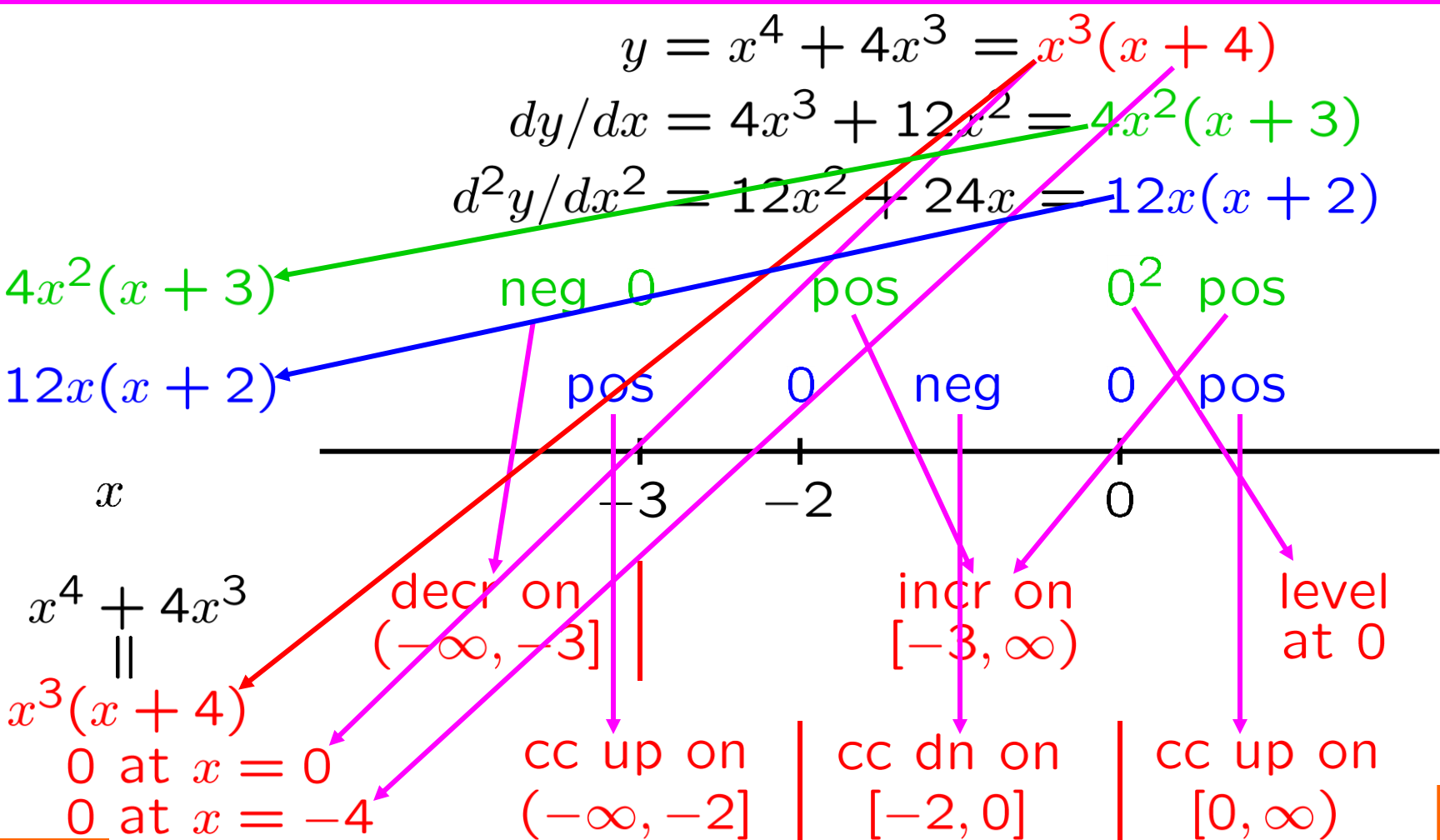
and $\lim_{h \rightarrow 0} \frac{(f(a+h)) - (f(a))}{h}$ exists (possibly $\pm\infty$)

and the curve changes
from concave up to concave down
or
from concave down to concave up
at (a, b) .

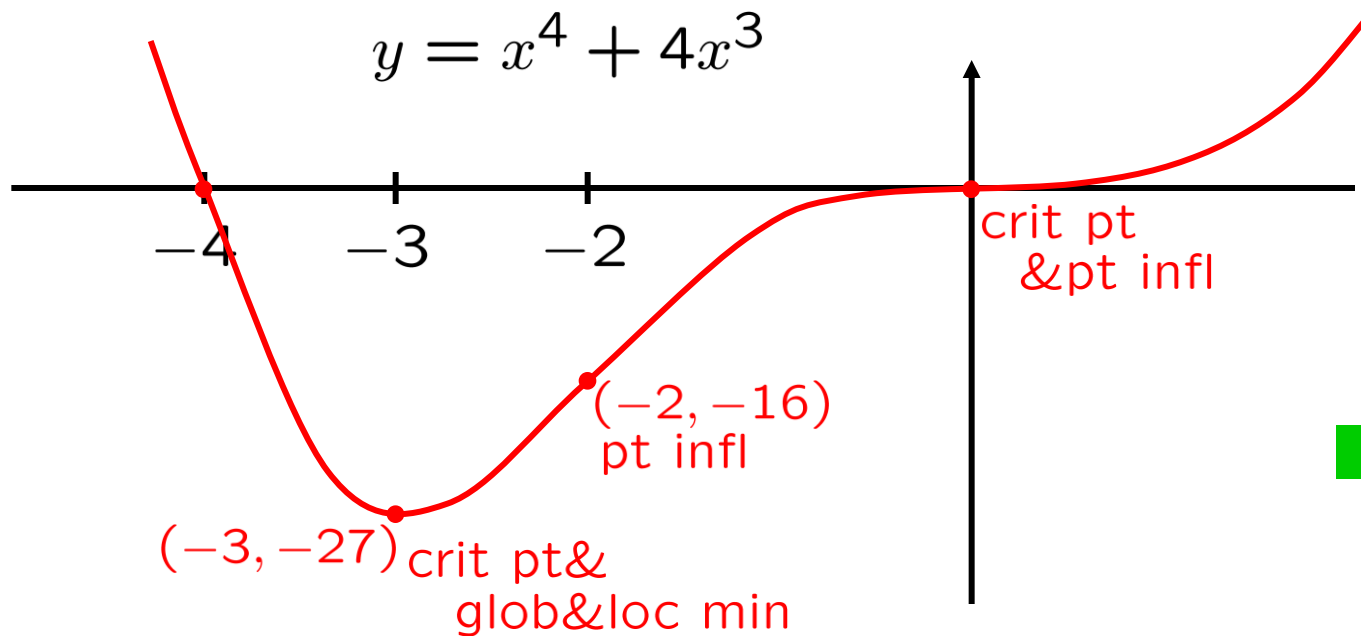


EXAMPLE: Describe the curve $y = x^4 + 4x^3$ in terms of concavity, points of inflection, and local maxima and minima. **SKILL** sketching

Sketch the curve.



EXAMPLE: Describe the curve $y = x^4 + 4x^3$ in terms of concavity, points of inflection, and local maxima and minima. **SKILL** sketching Sketch the curve.



$$x^4 + 4x^3$$

$$x^3(x + 4)$$

0 at $x = 0$
0 at $x = -4$

decr on
 $(-\infty, -3]$

incr on
 $[-3, \infty)$

level
at 0

cc up on
 $(-\infty, -2]$

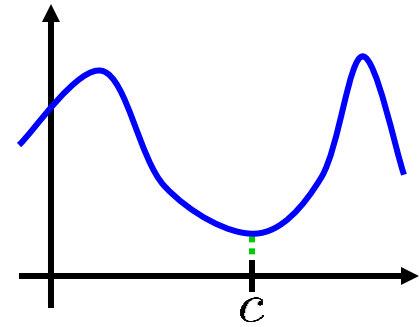
cc dn on
 $[-2, 0]$

cc up on
 $[0, \infty)$

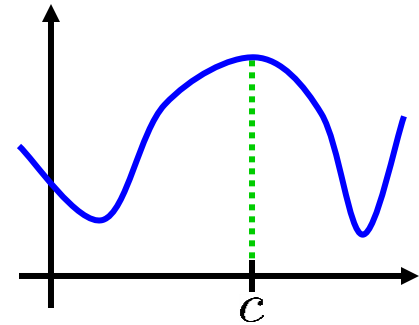
cf. §5.3, p. 99–100 THE SECOND DERIVATIVE TEST:

Suppose f'' is continuous near c .

(a) If $f'(c) = 0$ and $f''(c) > 0$,
then f has a local minimum at c .



(b) If $f'(c) = 0$ and $f''(c) < 0$,
then f has a local maximum at c .



Note: No information if $f'(c) = 0$ and $f''(c) = 0$.

EXAMPLE: Let $f(x) = \frac{x^2}{x^2 - 5}$. $\pm\sqrt{5} \notin \text{dom}[f]$

- (a) Find the maximal intervals on which f is incr or decr.
 (b) Find the local extrema of f .
 (c) Find the max intervals of concavity and inflection points.

$$f'(x) = \frac{\cancel{(x^2 - 5)}(2x) - \cancel{(x^2)}(2x)}{(x^2 - 5)^2} = \frac{-10x}{(x^2 - 5)^2}$$

> 0 , if $x < 0$
 < 0 , if $x > 0$
 always ≥ 0

- (a): f incr on $(-\infty, -\sqrt{5})$, $(-\sqrt{5}, 0]$
 f decr on $[0, \sqrt{5})$, $(\sqrt{5}, \infty)$
- (b): f has loc max at 0 with value 0

$$f''(x) = \frac{(x^2 - 5)^{\cancel{2}}(-10) + (+10x)(2\cancel{(x^2 - 5)}(2x))}{(x^2 - 5)^{\cancel{2}3}}$$

$$= \frac{(-10x^2 + 50) + (40x^2)}{(x^2 - 5)^3} = \frac{30x^2 + 50}{(x^2 - 5)^3}$$

always pos
 > 0 , if $x > \sqrt{5}$
 < 0 , in between
 > 0 , if $x < -\sqrt{5}$

- (c): f cc up on $(-\infty, -\sqrt{5})$ f cc up on $(\sqrt{5}, \infty)$
 f cc dn on $(-\sqrt{5}, \sqrt{5})$
- Ch.5 no infl. pts

EXAMPLE: Let $f(x) = \frac{x^2}{x^2 - 5}$.

Find the local maxima and minima of f using the First Derivative Test.

$$f'(x) = \frac{-10x}{(x^2 - 5)^2} \leftarrow \text{always } \geq 0$$

(a) f incr on $(-\infty, -\sqrt{5}), (-\sqrt{5}, 0]$
 f decr on $[0, \sqrt{5}), (\sqrt{5}, \infty)$

(c) $f''(x) \equiv$ incr on $(-\infty, -\sqrt{5}), (-\sqrt{5}, 0]$
 f decr on $[0, \sqrt{5}), (\sqrt{5}, \infty)$

$$f''(x) = \frac{30x^2 + 50}{(x^2 - 5)^3} \leftarrow \text{always pos} = \frac{30x^2 + 50}{(x^2 - 5)^3} \leftarrow \text{always pos}$$

EXAMPLE: Let $f(x) = \frac{x^2}{x^2 - 5}$.

Find the local maxima and minima of f using the First Derivative Test.

Find the local max and min values of f using the Second Derivative Test.

Which of the two methods do you prefer? ■

SKILL
deriv tests

$$f'(x) = \frac{-10x}{(x^2 - 5)^2} \leftarrow \text{always } \geq 0$$

0 is the only critical number

(a): f incr on $(-\infty, -\sqrt{5})$, $(-\sqrt{5}, 0]$
 f decr on $[0, \sqrt{5})$, $(\sqrt{5}, \infty)$

f has loc max at 0
with value 0

$$f''(x) = \frac{30x^2 + 50}{(x^2 - 5)^3} \leftarrow \text{always pos}$$

$$f''(0) = \frac{50}{(-5)^3} < 0$$

f has loc max at 0
with value 0

EXAMPLE: Let $B(x) = 4x^{3/4} - 3x$.

- (a) Find the max intervals of increase or decrease.
- (b) Find local maximum and minimum values.
- (c) Find the max intervals of concavity
and the inflection points.
- (d) Sketch the graph.

$$B'(x) = 4(3/4)x^{-1/4} - 3 = 3(x^{-1/4} - 1)$$

$$\begin{aligned} x > 1 &\Rightarrow \left[x^{1/4} > 1 \right] \times x^{-1/4} \\ &\Rightarrow 1 > x^{-1/4} \Rightarrow x^{-1/4} < 1 \\ &\Rightarrow 3(x^{-1/4} - 1) < 0 \end{aligned}$$

(a): B decr on $[1, \infty)$
 $B' < 0$ on $(1, \infty)$

EXAMPLE: Let $B(x) = 4x^{3/4} - 3x$. domain of B is $[0, \infty)$.

- (a) Find the max intervals of increase or decrease.
- (b) Find local maximum and minimum values.
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and the inflection points.
- (d) Sketch the graph.

$$B'(x) = 4(3/4)x^{-1/4} - 3 = 3(x^{-1/4} - 1)$$

$$\begin{aligned} 0 < x < 1 &\Rightarrow 0 < x^{1/4} < 1 \\ &\Rightarrow 1 < x^{-1/4} \\ &\Rightarrow 3(x^{-1/4} - 1) > 0 \end{aligned}$$

- (a): B incr on $[0, 1]$, B decr on $[1, \infty)$
 $B' > 0$ on $(0, 1)$, $B' < 0$ on $(1, \infty)$

EXAMPLE: Let $B(x) = 4x^{3/4} - 3x$. domain of B is $[0, \infty)$.

- (a) Find the max intervals of increase or decrease.
- (b) Find local maximum and minimum values.
- (c) Find the max intervals of concavity and the inflection points.
- (d) Sketch the graph.

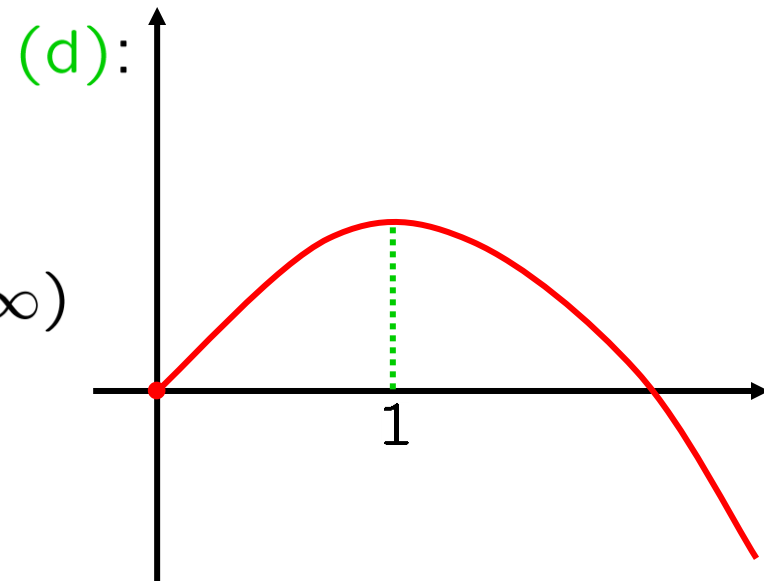
$$B'(x) = 4(3/4)x^{-1/4} - 3 = 3(x^{-1/4} - 1)$$

$$B''(x) = 3(-1/4)x^{-5/4} = -(3/4)x^{-5/4}$$

(a): B incr on $[0, 1]$, B decr on $[1, \infty)$

(b): B has loc max at 1,
max value = $B(1) = 1$

(c): B cc dn on $[0, \infty)$
 $B'' < 0$ on $(0, \infty)$



SKILL

intervals, loc max/min

- EXAMPLE:** (a) Show that $e^x > 1$, for all $x > 0$. 😊
- (b) Show that $e^x > 1 + x$, for all $x > 0$. 😊 ■
- (c) Show that $e^x > 1 + x + \frac{1}{2}x^2$, for all $x > 0$. 😊

(a) $(d/dx)(e^x - 1) = e^x > 0$

$e^x - 1$ is increasing in x .

$[e^x - 1]_{x \rightarrow 0} = 0$

$e^x - 1 > 0, \forall x > 0$

(b) $(d/dx)(e^x - 1 - x) = e^x - 1 > 0, \forall x > 0$

$e^x - 1 - x$ is increasing on $x \geq 0$.

$[e^x - 1 - x]_{x \rightarrow 0} = 0$

$e^x - 1 - x > 0, \forall x > 0$

(c) $(d/dx)(e^x - 1 - x - \frac{1}{2}x^2) = e^x - 1 - x > 0, \forall x > 0$

$e^x - 1 - x - \frac{1}{2}x^2$ is increasing on $x \geq 0$.

$[e^x - 1 - x - \frac{1}{2}x^2]_{x \rightarrow 0} = 0$

$e^x - 1 - x - \frac{1}{2}x^2 > 0, \forall x > 0$

SKILL
inequalities
from incr/decr

EXAMPLE: Show that a cubic (i.e., third degree) polynomial always has exactly one point of inflection. 😊

If its graph has three x -intercepts a , b and c , show that the x -coordinate of the inflection point is $(a + b + c)/3$.

$$px^3 + qx^2 + rx + s \quad \text{changes cc at } x = -q/(3p)$$

$$\frac{d}{dx}(px^3 + qx^2 + rx + s) = 3px^2 + 2qx + r$$

$$\frac{d^2}{dx^2}(px^3 + qx^2 + rx + s) = 6px + 2q \quad \text{x-intercept: } -q/(3p)$$

changes sign at $x = -q/(3p)$

$$p \neq 0$$

$$8x + 3 \quad \text{x-intercept: } -3/8$$

changes sign at $x = -3/8$

$$-5x + 7 \quad \text{x-intercept: } 7/5$$

changes sign at $x = 7/5$

EXAMPLE: Show that a cubic (i.e., third degree) polynomial always has exactly one point of inflection. 😊

If its graph has three x -intercepts a , b and c , show that the x -coordinate of the inflection point is $(a + b + c)/3$. 😊 ■

The cubic is divisible by

$$(x - a)(x - b)(x - c)$$

and the quotient is a constant.

$p(x - a)(x - b)(x - c)$ changes cc at $x = (a + b + c)/3$

$$\frac{d}{dx}[p(x - a)(x - b)(x - c)]$$

$$= p[(x - a)(x - b) + (x - a)(x - c) + (x - b)(x - c)]$$

$$\frac{d^2}{dx^2}[p(x - a)(x - b)(x - c)]$$

$$= p[2(x - a) + 2(x - b) + 2(x - c)] \quad x\text{-intercept: } (a + b + c)/3$$

changes sign at $x = (a + b + c)/3$

SKILL

1st deriv test

Whitman problems

§5.2, p. 98–99, #1-17

SKILL

2nd deriv test

Whitman problems

§5.3, p. 100, #1-18

SKILL

concavity, inflection pts

Whitman problems

§5.4, p. 101–102, #1-21

