

## von Neumann algebras

©1995, Paul Garrett, garrett@math.umn.edu

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The point here is to define and describe some basic ideas about von Neumann algebras, without proofs, but including some relevant background material in compact form.

- Topologies on operators on Hilbert spaces
- Commutants, Schur's lemma, central characters
- The von Neumann density theorem
- Definition of von Neumann algebras, factor algebras
- Finite and infinite von Neumann algebras
- Basic classification of von Neumann algebras

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## Topologies on operators on Hilbert spaces

Let  $V$  be a (complex) Hilbert space with inner product  $\langle \cdot, \cdot \rangle$  and norm  $\|\cdot\|$ . Let  $\mathcal{B}(V)$  be the algebra of continuous linear operators  $T : V \rightarrow V$ . There are at least 3 important topologies on  $\mathcal{B}(V)$ .

The **uniform** or **norm** topology is the strongest topology we will consider, and gives  $\mathcal{B}(V)$  the structure of *Banach space*. This topology is defined via the **operator norm**

$$\|T\| = \sup_{\|v\|=1} \|Tv\|$$

where as indicated  $v$  ranges over unit vectors (in  $V$ ).

The **strong topology** on  $\mathcal{B}V$  is defined by a collection of *semi-norms*

$$\nu_v(T) = \|Tv\|$$

as  $v$  ranges over  $V$ . Note that it is unlikely that there is a *countable* collection of semi-norms giving this topology, so it is therefore *not* obviously metrizable.

The **weak topology** on  $\mathcal{B}V$  is defined by a collection of *semi-norms*

$$\nu_{v,w}(T) = |\langle Tv, w \rangle|$$

as  $v, w$  range over  $V$ .

There are also ultra-strong and ultra-weak topologies, and others besides, but we don't need them here.

Let  $\mathcal{B}(V)^\times$  be the group of continuous linear operators on a Hilbert space  $V$  having continuous *inverses*.

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## Commutants, Schur's lemma

Let  $A$  be a subalgebra of the algebra  $\mathcal{B}(V)$  of continuous linear operators on a Hilbert space  $V$ . Then the **commutant**  $A'$  of  $A$  is defined to be

$$A' = \{T \in \mathcal{B}(V) : T \circ \alpha = \alpha \circ T, \text{ for all } \alpha \in A\}$$

**Schur's Lemma** asserts that, if  $(\pi, V)$  is an irreducible unitary Hilbert space representation of a topological group  $G$ , then the commutant  $\rho(G)'$  of  $\rho(G)$  consists just of the scalar operators  $\mathbf{C} \cdot 1$  on  $V$ . This is an immediate consequence of elementary spectral theory for bounded operators on Hilbert spaces.

## The von Neumann density theorem

Let  $A$  be a subalgebra of the algebra  $\mathcal{B}(V)$  of continuous linear operators on a Hilbert space  $V$ . Suppose that  $A$  contains the scalar operators, and is stable under taking *adjoints*. Then von Neumann's **Density Theorem** asserts that

$$A'' = \text{strong-topology closure of } A$$

This result is also called the **double commutant theorem**. It has analogues in other situations, as well.

## Definition of von Neumann algebras, factor algebras

A **von Neumann algebra**  $A$  is an adjoint-closed subalgebra of the algebra  $\mathcal{B}(V)$  of bounded operators of a Hilbert space  $V$ , closed in the strong topology on operators.

Some sources require that a von Neumann algebra contain the scalar operators, or that it have a unit. We do not require the former condition, and note below that the latter property is *provable*.

In light of the density theorem, *if the scalar operators lie in the algebra*, then closedness in the strong operator topology is equivalent to the *purely algebraic* condition

$$A'' = A$$

Also, for any  $*$ -closed algebra  $A$  in  $\mathcal{B}(V)$  containing the scalars, since  $A'$  is readily checked to be strong-topology closed, *the commutant  $A'$  is a von Neumann algebra*.

A von Neumann algebra  $A \subset \mathcal{B}(V)$  is a **factor** or **factor algebra** if

$$A \cap A' = \mathbf{C} \cdot 1$$

## Finite and infinite von Neumann algebras

Perhaps surprisingly, *every non-zero von Neumann algebra has a unit* (although this unit certainly may not be the identity map in the algebra  $\mathcal{B}(V)$  in which the von Neumann algebra lies).

A **projection**  $p$  in  $\mathcal{B}(V)$  is a self-adjoint element so that  $p^2 = p$ . The **rank**  $rk(p)$  of a projection  $p \in \mathcal{B}(V)$  is the dimension of its image (so is  $\infty$  if not finite). Recall that a self-adjoint operator  $T$  on  $V$  is **positive**, written  $T \geq 0$ , if

$$\langle Tv, v \geq 0 \rangle$$

for all  $v \in V$ . A projection  $p$  is **finite** if for any other projection  $q$  so that  $p - q \geq 0$  and  $rk(q) = rk(p)$  we have  $p = q$ . Otherwise,  $p$  is said to be **infinite**. A projection  $p$  in a von Neumann algebra  $A$  is **abelian** if the subalgebra  $pAp$  is abelian.

A von Neumann algebra is **finite** if its unit is a *finite* projection. A von Neumann algebra is **infinite** if its unit is an infinite projection.

## Basic classification of von Neumann (factor) algebras

The classification of von Neumann algebras has a terminology involving *Types I,II,III* which is *not* directly related to the similar-sounding terminology for topological groups and  $C^*$ -algebras. At the level indicated here, these are really just definitions. The decomposition theory via Hilbert integrals is only slightly more substantial.

The classification is actually in terms of *factor algebras*, since one would prove the basic theorem that any von Neumann algebra can be decomposed as a Hilbert integral of factors.

A von Neumann algebra is

- **Type I** if every non-zero central projection majorizes a non-zero *abelian* projection.
- **Type II** if it has *no* non-zero abelian projections and if every non-zero central projection majorizes a non-zero *finite* projection.
- **Type III** if it contains no non-zero finite projections.
- **properly infinite** if it has no non-zero finite central projections.
- **Type  $II_\infty$**  if it is Type II and properly infinite.
- **Type  $II_1$**  if it is Type II and finite.