

# The Von Kármán Theory for Elastic Shells With Variable Thickness

Hui Li

School of Mathematics  
University of Minnesota

Minneapolis  
May 3, 2010

# Outline

- 1 Introduction
- 2 Basic Settings
- 3 Theorem 1 (Compactness and Lower Bound)
- 4 Theorem 2 (Recovery Sequence)

The derivation of thin shell models is a fundamental question in mathematical elasticity.

(Love, Kirchhoff, von Kármán, Ciarlet, Friesecke, Müller, James, Conti, Dolzmann, Mora, Pakzad, Lewicka)

$S \subset \mathbb{R}^3$  a 2d surface, normal vector  $\vec{n}(x)$ .

Thin shells:

$$S^h = \{z = x + t\vec{n}(x); x \in S, -g_1^h(x) < t < g_2^h(x)\}$$

where  $g_i^h : S \rightarrow \mathbb{R}_+$ , for  $i = 1, 2$ .

Q: The shape the elastic shell assumes in response to an external force?

- Elastic energy of deformation  $u^h$  of  $S^h$

$$E^h(u^h) = \frac{1}{h} \int_{S^h} W(\nabla u^h)$$

where  $W : \mathbb{R}^{3 \times 3} \rightarrow [0, \infty]$ , satisfies:

- (i)  $W(RF) = W(F) \quad \forall R \in SO(3)$  **Frame invariance**,
- (ii)  $W(R) = 0 \quad \forall R \in SO(3)$  **Normalization**,
- (iii)  $W(F) \geq c \operatorname{dist}^2(F, SO(3))$ ,  $c > 0$  **Nondegeneracy**.

- Total energy functional

$$J^h(u^h) = E^h(u^h) - \frac{1}{h} \int_{S^h} f^h \cdot u^h$$

- Main Question: what is  $\operatorname{argmin} J^h$ ?
- Basic tool:  $\Gamma$ -convergence.

## Definition of $\Gamma$ -convergence

$\mathcal{F}^h, \mathcal{F} : X \longrightarrow \bar{\mathbb{R}}, \mathcal{F}^h \xrightarrow{\Gamma} \mathcal{F}$  iff:

- (i) For each  $x^h \rightarrow x$  in  $X$ :  $\liminf_{h \rightarrow 0} \mathcal{F}^h(x^h) \geq \mathcal{F}(x)$ .
- (ii) For every  $x \in X$ , there exists  $x^h \rightarrow x$ , such that:  
 $\lim_{h \rightarrow 0} \mathcal{F}^h(x^h) = \mathcal{F}(x)$ .

## Metatheorem

$\mathcal{F}^h \xrightarrow{\Gamma} \mathcal{F} + \text{Compactness} \implies$  The global minimizers of  $\mathcal{F}^h$  converge to the global minimizers of  $\mathcal{F}$

**Remark:**  $\mathcal{F}$  is lower semi-continuous.

- Total energy functional

$$J^h(u^h) = E^h(u^h) - \frac{1}{h} \int_{S^h} f^h \cdot u^h$$

- Main Question: Identify  $\Gamma$ -limit  $J$  of  $J^h$  or  $\Gamma$ -limit  $J_\beta$  of  $h^{-\beta} J^h$  ( $\operatorname{argmin} J^h \rightarrow \operatorname{argmin} J$ )
  - $f^h \sim h^\alpha \implies E^h(u^h) \sim h^\beta$  at the minimizer of  $J^h$ .
    - $\beta = \alpha$ , if  $0 \leq \alpha \leq 2$ .
    - $\beta = 2\alpha - 2$ , if  $\alpha \geq 2$ .

- Total energy functional

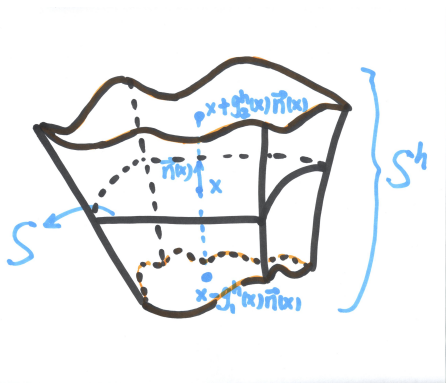
$$J^h(u^h) = E^h(u^h) - \frac{1}{h} \int_{S^h} f^h \cdot u^h$$

- Main Question: Identify  $\Gamma$ -limit  $I_\beta$  of  $h^{-\beta} E^h$

## Previous results ( $\beta \geq 0$ )

- Plate theories,  $S \subset \mathbb{R}^2$ ,  $g_1^h(x) = g_2^h(x) = h/2$ 
  - $\beta = 0$  LeDret and Raoult (1995)
  - $\beta \geq 2$  Friesecke, James and Müller (2002, 2006)
  - $0 < \beta < 5/3$  Conti and Maggi (2008)
  - $5/3 \leq \beta < 2$  Open, crumpling of elastic sheets
- Shell theories, general  $S \subset \mathbb{R}^3$ ,  $g_1^h(x) = g_2^h(x) = h/2$ 
  - $\beta = 0$  LeDret and Rault, Membrane model (1996)
  - $\beta = 2$  Friesecke, James, Mora and Müller, Flexural shell model (2003)
  - $\beta \geq 4$  Lewicka, Mora and Pakzad (2009) [LMP]
- von Kármán theory ( $\beta = 4$ ) and  $g_1^h = hg_1, g_2^h = hg_2$   
Lewicka, Mora and Pakzad (2009)

# Shells with oscillating thickness



$g_1^h, g_2^h$  are  $C^1$  s.t.  $\exists C^1$  functions  $g_1, g_2 : S \rightarrow \mathbb{R}_+$  s.t.

$$\frac{g_i^h}{h} \rightarrow g_i \text{ in } C^1(S) \text{ for } i = 1, 2.$$



## Theorem 1 (Compactness and lower bound)

Let a sequence of deformations  $u^h \in W^{1,2}(S^h)$  satisfying:

$$E^h(u^h) \leq Ch^4.$$

Then  $\exists Q^h \in SO(3)$  and  $\exists c^h \in \mathbb{R}^3$  such that for  $\tilde{u}^h(z) = (Q^h)^T u^h(z) + c^h$  the following hold:

## Theorem 1 (Compactness and lower bound)

Let a sequence of deformations  $u^h \in W^{1,2}(S^h)$  satisfying:

$$E^h(u^h) \leq Ch^4.$$

Then  $\exists Q^h \in SO(3)$  and  $\exists c^h \in \mathbb{R}^3$  such that for  $\tilde{u}^h(z) = (Q^h)^T u^h(z) + c^h$  the following hold:

- (i)  $(\tilde{u}^h \circ s^h)(x + t\vec{n}) \rightarrow x$  in  $W^{1,2}(S^*)$ , as  $h \rightarrow 0$ ,

## Theorem 1 (Compactness and lower bound)

Let a sequence of deformations  $u^h \in W^{1,2}(S^h)$  satisfying:

$$E^h(u^h) \leq Ch^4.$$

Then  $\exists Q^h \in SO(3)$  and  $\exists c^h \in \mathbb{R}^3$  such that for  $\tilde{u}^h(z) = (Q^h)^T u^h(z) + c^h$  the following hold:

- (i)  $(\tilde{u}^h \circ s^h)(x + t\vec{n}) \rightarrow x$  in  $W^{1,2}(S^*)$ , as  $h \rightarrow 0$ ,
- (ii)  $V^h \rightarrow V \in \mathcal{V}$  in  $W^{1,2}(S)$ , as  $h \rightarrow 0$ ,

- $V^h = \frac{1}{h} \int_{-h_0/2}^{h_0/2} (\tilde{u}^h - id) \circ s^h(x + t\vec{n}(x)) dt,$

- $\mathcal{V}$  consists of all first order isometries, i.e.:

for each  $V \in \mathcal{V}$ ,  $\text{sym} \nabla V = 0$

## Theorem 1 (Compactness and lower bound)

Let a sequence of deformations  $u^h \in W^{1,2}(S^h)$  satisfying:

$$E^h(u^h) \leq Ch^4.$$

Then  $\exists Q^h \in SO(3)$  and  $\exists c^h \in \mathbb{R}^3$  such that for  $\tilde{u}^h(z) = (Q^h)^T u^h(z) + c^h$  the following hold:

- (i)  $(\tilde{u}^h \circ s^h)(x + t\vec{n}) \rightarrow x$  in  $W^{1,2}(S^*)$ , as  $h \rightarrow 0$ ,
- (ii)  $V^h \rightarrow V \in \mathcal{V}$  in  $W^{1,2}(S)$ , as  $h \rightarrow 0$ ,
- (iii)  $\frac{1}{h} \text{sym} \nabla V^h \rightharpoonup B_{tan} \in \mathcal{B}$  in  $L^2(S)$ , as  $h \rightarrow 0$ ,
  - the space of finite strains  $\mathcal{B} = cl_{L^2} \{ \text{sym} \nabla w; w \in W^{1,2}(S, \mathbb{R}^3) \}$ .

## Theorem 1 (Compactness and lower bound)

Let a sequence of deformations  $u^h \in W^{1,2}(S^h)$  satisfying:

$$E^h(u^h) \leq Ch^4.$$

Then  $\exists Q^h \in SO(3)$  and  $\exists c^h \in \mathbb{R}^3$  such that for  $\tilde{u}^h(z) = (Q^h)^T u^h(z) + c^h$  the following hold:

- (i)  $(\tilde{u}^h \circ s^h)(x + t\vec{n}) \rightarrow x$  in  $W^{1,2}(S^*)$ , as  $h \rightarrow 0$ ,
- (ii)  $V^h \rightarrow V \in \mathcal{V}$  in  $W^{1,2}(S)$ , as  $h \rightarrow 0$ ,
- (iii)  $\frac{1}{h} \text{sym} \nabla V^h \rightarrow B_{tan} \in \mathcal{B}$  in  $L^2(S)$ , as  $h \rightarrow 0$ ,
- (iv)  $\liminf_{h \rightarrow 0} \frac{1}{h^4} E^h(u^h) \geq I(V, B_{tan})$

# The generalized von Kármán functional on $S$

$$I(V, B_{tan}) = \frac{1}{2} \int_S (g_1 + g_2) Q_2 \left( x, B_{tan} - \frac{1}{2} (A^2)_{tan} - \frac{1}{2} \text{sym} (A \nabla ((g_2 - g_1) \vec{n})) \right) \\ + \frac{1}{24} \int_S (g_1 + g_2)^3 Q_2 (x, (\nabla(A \vec{n}) - A \Pi)_{tan})$$

where

$$Q_2(x, F_{tan}) = \min\{Q_3(\tilde{F}); (\tilde{F} - F)_{tan} = 0\}, Q_3(F) = D^2 W(\text{Id})(F, F)$$

- Stretching:  $B_{tan} - \frac{1}{2} (A^2)_{tan} - \frac{1}{2} \text{sym} (A \nabla ((g_2 - g_1) \vec{n}))$
- Bending:  $(\nabla(A \vec{n}) - A \Pi)_{tan}$

# Crucial ingredient of the proof of Theorem 1

## Rigidity Estimate (Friesecke, James and Müller 2002)

$\forall u \in W^{1,2}(\Omega, \mathbb{R}^3), \exists R \in SO(3)$  such that

$$\int_{\Omega} |\nabla u - R|^2 \leq C \int_{\Omega} \text{dist}^2(\nabla u, SO(3))$$

and  $C = C(\Omega)$ .

Remark:  $C(S^h)$  is of order  $h^{-2}$ .

Based on the Rigidity Estimate, we obtain compactness of the sequence  $u^h$  with controlled energy. The lower bound by  $I(V, B_{tan})$  follows through formal Taylor expansion of  $W(\nabla u)$  around Id.

## Theorem 2 (Recovery Sequence)

For each  $V \in \mathcal{V}$ ,  $B_{tan} \in \mathcal{B}$ , there exists  $u^h \in W^{1,2}(S^h, \mathbb{R}^3)$  such that:

- (i)  $(u^h \circ s^h)(x + t\vec{n}) \rightarrow x$  in  $W^{1,2}(S^*)$ , as  $h \rightarrow 0$ ,
- (ii)  $V^h \rightarrow V \in \mathcal{V}$  in  $W^{1,2}(S)$ , as  $h \rightarrow 0$ ,
- (iii)  $\frac{1}{h} \text{sym} \nabla V^h \rightarrow B_{tan} \in \mathcal{B}$  in  $L^2(S)$ , as  $h \rightarrow 0$ ,
- (iv)  $\lim_{h \rightarrow 0} \frac{1}{h^4} E^h(u^h) = I(V, B_{tan})$ .

where the definition for each quantity is exactly the same as in previous theorem, with  $\tilde{u}^h = u^h$ .

# Construction of the Recovery Sequence

# Construction of the Recovery Sequence

$$\begin{aligned}
 u^h \circ s^h(x + t\vec{n}) &= x + \frac{1}{2} (g_2^h - g_1^h) \vec{n} + hv^h(x) + h^2 w^h(x) \\
 &\quad + \frac{t}{h_0} (g_1^h + g_2^h) \vec{n}(x) \\
 &\quad + \frac{t}{h_0} h (g_1^h + g_2^h) (\Pi_{v_{tan}^h} - \nabla(v^h \vec{n}))(x) \\
 &\quad - \frac{t}{h_0} h^2 (g_1^h + g_2^h) (\nabla w^h)^T \vec{n}(x) \\
 &\quad + \frac{t}{h_0} h^2 (g_1^h + g_2^h) d^{0,h}(x) \\
 &\quad + \frac{1}{2} \frac{t^2}{h_0^2} h (g_1^h + g_2^h)^2 d^{1,h}(x)
 \end{aligned}$$

Thank you!