## Elliptic Curves and Chip-Firing Games on Graphs

### Gregg Musiker (MIT/MSRI)

University of Minnesota Colloquium

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**Elliptic Curves and Chip-Firing** 

December 3, 2009 1 / 46

### **1** Introduction to Algebraic Curves over Finite Fields

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- 2 Elliptic Curves and a Combinatorial Interpretation of  $N_k$ 's

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- **9** Further Horizons: Connections to Tropical Geometry

 $\mathbb{F}_q$ , a finite field containing q elements, where q is a power of a prime.  $\mathbb{F}_{q^k}$  is a field extension;  $\overline{\mathbb{F}_q}$  is an algebraic closure.

Nonsingular Projective Plane Curve (smooth model chosen)

C: f(x, y) = 0 plus a single point at infinity.

$$C(\mathbb{F}_q) \subset C(\mathbb{F}_{q^{k_1}}) \subset C(\mathbb{F}_{q^{k_2}}) \subset \cdots \subset C(\overline{\mathbb{F}_q})$$

for any sequence of natural numbers  $1|k_1|k_2|\ldots$ 

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The **Frobenius** map  $\pi$  acts on curve *C* over finite field  $\mathbb{F}_q$  via

$$\pi(a,b)=(a^q,b^q) \quad ext{and} \quad \pi(P_\infty)=P_\infty.$$

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#### Fact

For point 
$$P \in C(\mathbb{F}_{q^k})$$
,

$$\pi^k(P)=P.$$

Let  $N_k$  be the number of points on curve C, over finite field  $\mathbb{F}_{a^k}$ .

Alternatively,  $N_k$  counts the number of points in  $C(\overline{\mathbb{F}_q})$  which are fixed by the *k*th power of the Frobenius map,  $\pi^k$ .

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Using this sequence, we define the **zeta function of an algebraic variety**, which can be written several different ways, including as an exponential generating function.

$$Z(C,T) = \exp\left(\sum_{k=1}^{\infty} N_k \frac{T^k}{k}\right) = 1 + \sum_{k \ge 1} H_k T^k$$
$$= \prod_{\mathfrak{p}} \frac{1}{1 - T^{\deg \mathfrak{p}}} \text{ where } \mathfrak{p} \text{ is a prime ideal}$$
$$\zeta(s) = \prod_{p \text{ prime integer}} \frac{1}{1 - p^{-s}} = \sum_{n \ge 1} \frac{1}{n^s}$$

$$Z(C,T) = \frac{(1-\alpha_1 T)(1-\alpha_2 T)\cdots(1-\alpha_{2g-1} T)(1-\alpha_{2g} T)}{(1-T)(1-qT)}$$

for complex numbers  $\alpha_i$ 's, where g is the genus of the curve C. Furthermore, the numerator of Z(C, T) has integer coefficients.

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### Theorem (Functional Equation - Weil 1948)

$$Z(C,T) = q^{g-1}T^{2g-2}Z(C,1/qT)$$

$$N_k = p_k [1 + q - \alpha_1 - \dots - \alpha_{2g}]$$
  
= 1 + q^k - \alpha\_1^k - \dots - \alpha\_{2g}^k

The Zeta Function of curve *C* of genus *g*, hence the entire sequence of  $\{N_k\}$ 's, only depends on  $\{q, N_1, N_2, \dots, N_g\}$ .

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6 / 46

# Elliptic Curves, and a Combinatorial Interpretation of $N_k$

Specializing to the case of an elliptic curve E, or a genus one curve, a lot more is known and there is additional structure.

### Facts

**(**) *E* can be represented as the zero locus in  $\mathbb{P}^2$  of the equation

$$y^2 = x^3 + Ax + B$$

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- E has a group structure where two points on E can be added to yield another point on the curve.
- The Frobenius map is compatible with the group structure:

$$\pi(P\oplus Q)=\pi(P)\oplus\pi(Q).$$

Recall that  $\pi(x, y) = (x^q, y^q)$  and

$$\pi^k(P) = P$$
 if and only if  $P \in E(\mathbb{F}_{q^k})$ .

Draw Chord/Tangent Line and then reflect about horizontal axis



## Elliptic Curve Group Law Algebraically

If 
$$P_1 = (x_1, y_1)$$
,  $P_2 = (x_2, y_2)$ , then  
 $P_1 \oplus P_2 = P_3 = (x_3, y_3)$  where  
1) If  $x_1 \neq x_2$  then

$$x_3 = m^2 - x_1 - x_2$$
 and  $y_3 = m(x_1 - x_3) - y_1$  with  $m = \frac{y_2 - y_1}{x_2 - x_1}$ .

2) If 
$$x_1 = x_2$$
 but  $(y_1 \neq y_2, \text{ or } y_1 = 0 = y_2)$  then  $P_3 = P_{\infty}$ .  
3) If  $P_1 = P_2$  and  $y_1 \neq 0$ , then

$$x_3 = m^2 - 2x_1$$
 and  $y_3 = m(x_1 - x_3) - y_1$  with  $m = \frac{3x_1^2 + A}{2y_1}$ .

4)  $P_{\infty}$  acts as the identity element in this addition.

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Rationality (Hasse 1933)

$$Z(E,T) = \frac{(1-\alpha_1T)(1-\alpha_2T)}{(1-T)(1-qT)} = \frac{1-(1+q-N_1)T+qT^2}{(1-T)(1-qT)}$$

for complex numbers  $\alpha_1$  and  $\alpha_2$ . (In fact  $|\alpha_1| = |\alpha_2| = \sqrt{q}$ .)

**Functional Equation** 

$$Z(E,1/qT)=Z(E,T).$$

$$N_k = p_k [1 + q - \alpha_1 - \alpha_2]$$
  
= 1 + q^k - \alpha\_1^k - \alpha\_2^k

and the Functional Equation implies

 $\alpha_1 \alpha_2 = q.$ 

Thus the entire sequence of  $N_k$ 's, for elliptic curve E, only depends on qand  $N_1$ .

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### Theorem (Garsia 2004)

For an elliptic curve, we can write  $N_k$  as a polynomial in terms of  $N_1$  and q such that

$$N_k = \sum_{i=1}^k (-1)^{i-1} P_{k,i}(q) N_1^i$$

where each  $P_{k,i}$  is a polynomial in q with positive integer coefficients.

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$$\begin{split} &N_2 &= (2+2q)N_1 - N_1^2 \\ &N_3 &= (3+3q+3q^2)N_1 - (3+3q)N_1^2 + N_1^3 \\ &N_4 &= (4+4q+4q^2+4q^3)N_1 - (6+8q+6q^2)N_1^2 + (4+4q)N_1^3 - N_1^4 \\ &N_5 &= (5+5q+5q^2+5q^3+5q^4)N_1 - (10+15q+15q^2+10q^3)N_1^2 \\ &+ (10+15q+10q^2)N_1^3 - (5+5q)N_1^4 + N_1^5 \end{split}$$

### Question

What is a combinatorial interpretation of these expressions, i.e. of the  $P_{k,i}$ 's?

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**Elliptic Curves and Chip-Firing** 

### And now for something completely different ...

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Let G = (V, E) be a finite graph. (We allow multiple edges between vertices, but not loops.)

A **spanning tree** (of an undirected graph) is a connected subgraph without cycles that is incident to all vertices.

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A rooted oriented spanning tree of G is a spanning tree of the underlying undirected graph, and orientations of edges along the tree are chosen so that all edges point towards the root.

## More Graph Theory Terminology: The Laplacian Matrix

The **Laplacian** matrix of a graph has diagonal entries  $d_i$  (outdegree of  $v_i$ ) and off-diagonal entries  $-d_{ij}$  (number of directed edges from  $v_i$  to  $v_j$ ).



Example: let G =

with the root vertex  $v_0$  in red. Then

$$L(G) = \begin{bmatrix} 1 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & 0 \\ 0 & -1 & 2 & -1 & 0 \\ 0 & 0 & 0 & 2 & -2 \\ -1 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

(Rows/Columns indexed as 0, 1, 2, 3, 4)

13 / 46

We let  $W_k$  denote the wheel graph which consists of k vertices on a circle and a central vertex which is adjacent to every other vertex.



Note that a spanning tree will consist of arcs on the rim and spokes. We construct a family of digraphs (directed with multiple edges allowed) whose vertex set equal the  $W_k$ 's.

We replace each rim edge with q clockwise edges and 1 counter-clockwise edge.

We replace each spoke with t spokes pointing towards the root.



### Definition

 $\mathcal{W}_k(q, t) =$ The number of rooted oriented spanning trees in graph  $W_k(q, t)$ .

### Theorem (M- 2007)

 $\mathcal{W}_k(q,t)$  can be written as a positive bivariate integer polynomial such that the coefficient of  $t^i$  in  $\mathcal{W}_k(q,t)$  equals  $P_{k,i}(q)$  in

$$N_k = \sum_{i=1}^k (-1)^{i-1} P_{k,i}(q) N_1^i.$$

In other words,  $W_k(q, -N_1) = N_k$ .

# The $\mathcal{W}_k(q, t)$ 's are integer polynomials

 $\mathcal{W}_k(q,t) =$ The number of rooted oriented spanning trees in graph  $W_k(q,t)$ .

The Laplacian Matrix for  $W_k(q, t)$  is

$$L_k = \begin{bmatrix} 1+q+t & -q & 0 & \dots & 0 & -1 & -t \\ -1 & 1+q+t & -q & 0 & \dots & 0 & -t \\ \dots & \dots & \dots & \dots & \dots & -t \\ 0 & \dots & -1 & 1+q+t & -q & 0 & -t \\ 0 & \dots & 0 & -1 & 1+q+t & -q & -t \\ -q & 0 & \dots & 0 & -1 & 1+q+t & -t \\ -t & -t & -t & \dots & -t & -t & kt \end{bmatrix}$$

The last row and column correspond to hub vertex, the root.

## Proof of Integrality by the Matrix-Tree Theorem

By the Matrix-Tree theorem, the number of directed rooted spanning trees is  $\det(L_k)_0$  where  $(L_k)_0$  is matrix  $L_k$  with the last row and last column deleted.

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Let 
$$\overline{M_1} = [t]$$
,  $\overline{M_2} = \begin{bmatrix} 1+q+t & -1-q \\ -1-q & 1+q+t \end{bmatrix}$ , and for  $k \ge 3$ , let  $\overline{M_k}$  be the  $k$ -by- $k$  "three-line" circulant matrix



Theorem (M- 2007)

$$\mathcal{W}_k(q,t) = \det \overline{M_k} \,\,$$
 and  $\, N_k(q,t) = -\det \overline{M_k}|_{t=-N_1}$ 

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## The $\mathcal{W}_k$ 's also are the cardinalities of a sequence of groups

Consider the quotient group

$$K(G, v_0) \cong \mathbb{Z}^{|V(G)|-1} / Im (L_k)_0$$

where  $(L_k)_0$  is the Laplacian matrix of graph G with the row and column corresponding to  $v_0$  deleted.

$$|K(G, v_0)| = \#$$
Spanning Trees in Graph G

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Alternative definition with explicit coset representatives shortly.

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**Elliptic Curves and Chip-Firing** 

The complete graph  $K_n$  has *n* vertices and  $\binom{n}{2}$  edges, one between each pair of vertices.

Theorem (Lorenzini 1991)

The critical group  $K(K_n)$  decomposes as  $(\mathbb{Z}/n\mathbb{Z})^{n-2}$ .

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For a given family of graphs (e.g.  $W_k$ ,  $C_n$ ,  $P_n$ , products (such as hypercube  $Q_n$ )), can be nontrivial to find K(G).

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For example, decomposition of  $\mathcal{K}(W_k)$  involves Fibonacci numbers (Biggs).

# Chip-Firing: (Björner, Lovász, Shor 1991)

- Assign a nonnegative integer value C<sub>i</sub> to each vertex v<sub>i</sub> (number of chips).
- **2** Start with vertex  $v_1$ .
- If C<sub>i</sub>, the number of chips on v<sub>i</sub>, is greater than or equal to the outdegree of v<sub>i</sub>, then vertex v<sub>i</sub> fires. Otherwise move on to v<sub>i+1</sub>.
- If vertex v<sub>i</sub> fires, then we take d<sub>i</sub> chips off of v<sub>i</sub> and distribute them to v<sub>i</sub>'s neighbors.
- Solution Now  $C_i := C_i d_i$  and  $C_j := C_j + d_{ij}$  if  $v_j$  is a neighbor of  $v_i$ .
- We continue until we get to  $v_n$ .

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- We continue until we get to  $v_n$ .
- We then start over with  $v_1$  and repeat.
- **(**) We continue forever or terminate when all  $C_i < d_i$ .

21 / 46

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- **2** To limit extraneous configurations, we presume that the sum  $\sum_{i=0}^{\#V-1} C_i = 0$ . (Thus in particular,  $C_0$  will be non-positive.)
- The bank, i.e. vertex  $v_0$ , is only allowed to fire if no other vertex can fire. Note that since we now allow  $C_0$  to be negative,  $v_0$  is allowed to fire even when it is smaller than its outdegree.

A configuration is **stable** if  $v_0$  is the only vertex that can fire

A configuration C is **recurrent** if there is firing sequence which will lead back to C.

(Note that this will necessarily require the use of  $v_0$  firing.)

We call a configuration **critical** if it is both stable and recurrent.

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#### Theorem (Gabrielov 1993)

For any initial configuration C with  $\sum_{i=0}^{k} C_i = 0$  and  $C_i \ge 0$  for all  $1 \le i \le k$ , there exists a **unique** critical configuration that can be reached by an allowable firing sequence.

We can define  $K(G, v_0)$  to be the set of *critical configurations*, with addition given by  $C_1 \oplus C_2 = \overline{C_1 + C_2}$ .

Here + signifies the usual pointwise vector addition and  $\overline{C}$  represents the unique critical configuration in the same coset as C, modulo the Laplacian.

When  $v_0$  is understood, we will abbreviate this group as the critical group of graph G, and denote it as K(G).

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### Corollary (Gabrielov 1993)

K(G) is an abelian (associative) group.

For example, consider the following two wheels with chip distributions as given. These are both critical configurations.

We do not label the number of chips on the hub vertex since forced.



If we add these together pointwise we obtain



This is not a critical configuration, but by the theorem, reduces to a unique critical configuration.



27 / 46



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We want to analogize theory of elliptic curves: For example, there is a tower of groups

$$\mathsf{E}(\mathbb{F}_q) \subset \mathsf{E}(\mathbb{F}_{q^{k_1}}) \subset \mathsf{E}(\mathbb{F}_{q^{k_2}}) \subset \cdots \subset \mathsf{E}(\overline{\mathbb{F}_q})$$

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Understanding the sequence of Critical Groups:

$$K(W_1(q,t)), K(W_2(q,t)), K(W_3(q,t)), \ldots$$

The set  $\left\{ \text{Elements of the critical group } \mathcal{K}(W_k(q, t)) \right\}$  is a subset of the set of length k words in alphabet  $\{0, 1, 2, \dots, q+t\}$ .



 $[2,4,2,2,4,2] \oplus [0,4,1,0,4,1] \equiv [1,0,4,1,0,4]$  in  $W_6(q=3,t=2)$ 



Chip-firing is a local process.

The map  $\psi : w \to www \dots w$  is an injective group homomorphism between  $K(W_{k_1}(q, t))$  and  $K(W_{k_2}(q, t))$  whenever  $k_1|k_2$ . Here map  $\psi$ replaces w with  $k_2/k_1$  copies of w.

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Define  $\rho$  to be the counter-clockwise rotation map on  $K(W_k(q, t))$ .

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The kernel of  $(1 - \rho^{k_1})$  acting on  $K(W_{k_2}(q, t))$  is isomorphic to the subgroup  $K(W_{k_1}(q, t))$  whenever  $k_1|k_2$ .

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- 4 One last surprising connection ...

4 The Group  $K(\overline{W}(q,t))$  (the direct limit of the  $K(W_k(q,t))$ 's) contains the subgroup  $\mathbb{Z}/n\mathbb{Z}$  for all  $n \ge 1$ , and

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Answer provided by a result of D.D Wall from 1960.

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### Lemma (Wall 1960)

The sequence  $\{F_k \mod n : k \in \mathbb{Z}\}$  is periodic, and  $F_k \equiv 0 \mod n$  for some  $k \ge 1$ .

**Proof.** Finite number  $(n^2)$  of possibilities for a window of length two, and an infinite number of k. Thus there will be two identical windows.

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Using linear recurrence in both directions, we obtain periodicity.

Letting  $F_1 = F_2 = 1$  and running recurrence backwards,  $F_0 = 0$ . Thus  $F_{k_0} \equiv 0 \mod n$  for some  $k_0 \ge 1$  too.

### Theorem (M- 2009)

For  $k \ge 3$ , the Smith normal form of  $(L_k)_0$  is equivalent to a direct sum of the identity matrix and

$$egin{bmatrix} q\hat{F}_{2k-4}+1 & q\hat{F}_{2k-2} \ \hat{F}_{2k-2} & \hat{F}_{2k}-1 \end{bmatrix} \equiv egin{bmatrix} d_1 & 0 \ 0 & d_2 \end{bmatrix}, \ \ d_1|d_2$$

where  $\hat{F}_k$  denotes a bivariate analogue of the Fibonacci numbers:

We let S range over all subsets  $\{1,2,\ldots,2k\}$  with no two consecutive elements, and define

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The  $\hat{F}_k$ 's satisfy the recurrence  $\hat{F}_{2k+2} = (1+q+t)\hat{F}_{2k} - q\hat{F}_{2k-2}$ .

Factorizations of  $N_k$  and Elliptic Cyclotomic Polynomials

$$\mathcal{W}_k(q,t) = -N_k \big|_{N_1=-t} = \sum_{i=1}^k P_{k,i}(q) t^i \text{ for all } k \geq 1.$$

 $\overline{M_k}$  be the k-by-k "three-line" circulant matrix

$$\begin{bmatrix} 1+q+t & -q & 0 & \dots & 0 & -1 \\ -1 & 1+q+t & -q & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & -1 & 1+q+t & -q & 0 \\ 0 & \dots & 0 & -1 & 1+q+t & -q \\ -q & 0 & \dots & 0 & -1 & 1+q+t \end{bmatrix}$$

Let  $M_k = \overline{M_k}|_{t=-N_1}$ .

### Corollary (M- 2007)

The sequence of integers  $N_k = \#E(\mathbb{F}_{a^k})$  satisfies the relation

 $N_k = -\det M_k$  for all  $k \ge 1$ .

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Elliptic Curves and Chip-Firing

December 3, 2009 36 / 46

We have a determinantal formula for  $N_k$ , and

Combinatorial interpretations for the summands when we write  $N_k$  as an alternating sum in powers of  $N_1$ 

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We now look at factorizations of  $N_k$  into  $\mathbb{Z}[q, N_1]$  polynomials.

e.g. 
$$N_2 = N_1 \left( 2 + 2q - N_1 \right)$$

Motivates a combinatorial interpretation of  $E(\mathbb{F}_{q^k})$  as Cartesian Product of smaller subsets.

$$\begin{split} N_2 &= N_1 \Big( 2 + 2q - N_1 \Big) \\ N_3 &= N_1 \Big( (3 + 3q + 3q^2) - (3 + 3q)N_1 + N_1^2 \Big) \\ N_4 &= N_1 \Big( 2 + 2q - N_1 \Big) \Big( (2q^2 + 2) - (2q + 2)N_1 + N_1^2 \Big) \\ N_5 &= N_1 \Big( (5 + 5q + 5q^2 + 5q^3 + 5q^4) - (10 + 15q + 15q^2 + 10q^3)N_1 \\ &+ (10 + 15q + 10q^2)N_1^2 - (5 + 5q)N_1^3 + N_1^4 \Big) \\ N_6 &= N_1 \Big( 2 + 2q - N_1 \Big) \Big( (3 + 3q + 3q^2) - (3 + 3q)N_1 + N_1^2 \Big) \\ &\times \Big( (q^2 - q + 1) - (q + 1)N_1 + N_1^2 \Big) \end{split}$$

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Factoring  $N_k$  in general:

### Theorem (M- 2007)

There exists integral polynomials, which we will denote as  $ECyc_d$ , in  $N_1$  and q, only depending on d such that

$$N_k(N_1,q) = \prod_{d|k} ECyc_d.$$

Compare with  $1 - x^k = \prod_{d|k} Cyc_d(x)$ .

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Compare with  $1 - x^k = \prod_{d|k} Cyc_d(x)$ .

We call these Elliptic Cyclotomic Polynomials.

#### Definition

 $ECyc_d(q, N_1) = Cyc_d(\alpha_1)Cyc_d(\alpha_2)$  where  $\alpha_1$  and  $\alpha_2$  are the two complex roots of quadratic  $T^2 - (1 + q - N_1)T + q$ , and

$$Cyc_d(x) = \prod_{e|d} (1-x^e)^{\mu(d/e)}.$$

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$$\begin{split} ECyc_1 &= N_1 \\ ECyc_2 &= 2+2q-N_1 \\ ECyc_3 &= (3+3q+3q^2)-(3+3q)N_1+N_1^2 \\ ECyc_4 &= (2q^2+2)-(2q+2)N_1+N_1^2 \\ ECyc_5 &= (5+5q+5q^2+5q^3+5q^4)-(10+15q+15q^2+10q^3)N_1 \\ &+ (10+15q+10q^2)N_1^2-(5+5q)N_1^3+N_1^4 \\ ECyc_6 &= (q^2-q+1)-(q+1)N_1+N_1^2 \end{split}$$

#### Proposition (M- 2007)

$$ECyc_d\Big|_{N_1=0} = Cyc_d(1) \cdot Cyc_d(q)$$

where  $Cyc_1(1) = 0$ ,  $Cyc_d(1) = p$  if  $d = p^k$  and  $Cyc_d(1)$  equals 1 otherwise.

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December 3, 2009 40 / 46

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### Conjecture

For 
$$d \ge 2$$
,  $ECyc_d(q, N_1) = Cyc_d(1) \cdot Cyc_d(q) + \sum_{i=1}^{\phi(d)} (-1)^i Q_{i,d}(q) N_1^i$ 

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However, Conjecture fails for d = 105.

Nonetheless, we can give a geometric interpretation of the values  $ECyc_d(q, N_1)$  for a given q and  $N_1 = |E(\mathbb{F}_q)|$ .

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#### Theorem (M- 2007)

$$ECyc_d(q, N_1) =$$
 Ker  $Cyc_d(\pi) : E(\overline{\mathbb{F}_q}) \bigcirc$ 

where  $Cyc_d(\pi)$  denotes the isogeny obtained from the dth Cyclotomic polynomial of the Frobenius map.

Ker 
$$M = \{P \in E(\overline{\mathbb{F}_q}) : M(P) = P_\infty\}$$

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A chip configuration C is an assignment of integers to each vertex.

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The degree of a divisor 
$$D = \sum_{i=1}^{n} C_i v_i$$
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Equivalently,  $D_1 - D_2$  is a  $\mathbb{Z}$ -sum of columns of the Laplacian matrix  $\pounds(G)$  Gregg Musiker (MIT/MSRI) Elliptic Curves and Chip-Firing December 3, 2009 43 / 46

### Definition

The Linear System of D, denoted as |D|, is the set  $\{D': D' \sim D \text{ and } D' \text{ is effective.}\}.$ 

The following definitions are from Baker-Norine.

• Let  $K(G) = [(deg \ v_1) - 2, (deg \ v_2) - 2, \dots, (deg \ v_n) - 2]$ , the canonical divisor of G.

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### Theorem (Baker-Norine 2006 - Riemann-Roch Theorem for Graphs)

$$r(D)-r(K-D)=\deg D-g+1.$$

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This has motivated search for further analogies between algebraic curve theory and graph theory.
## From Chip-Firing to Tropical Geometry

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### Corollary

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With Christian Haase and Josephine Yu:

- We explicitly describe cell structures of |D| as a polyhedral cell complex
- **2** Show how to embed |D| into tropical projective space.
- Also get generalization of chip-firing to metric graphs, called weighted chip-firing games.
- http://arxiv.org/pdf/0909.3685.pdf

Gregg Musiker (MIT/MSRI)

#### THANKS FOR COMING

http://math.mit.edu/ $\sim$  musiker/CGs.pdf

G. Musiker, *Combinatorial aspects of elliptic curves*, Seminaire Lotharingien de Combinatoire 56 (2007), Article B56f, 1-31

G. Musiker, *The critical groups of a family of graphs and elliptic curves over finite fields*, Journal of Algebraic Combinatorics: Vol. 30, Issue 2 (2009), 255–276

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46 / 46