

Finite fields (Chap. 11)

Some of our new rings $\mathbb{F}_p[x]/(f(x))$ actually turn out to be new (finite) fields \mathbb{F}_q , with $q = p^{\deg(f)}$.

EXAMPLES Let's write the multiplication tables for

$$R_1 = \mathbb{F}_2[x]/(x^2+x+1)$$

↑ irreducible
in $\mathbb{F}_2[x]$
(why?)

renaming $\alpha := \bar{x}$, so

$$R_1 = \text{span}_{\mathbb{F}_2} \{1, \alpha\}$$

$$= \{0, 1, \alpha, \alpha+1\}$$

$$\text{with } \alpha^2 + \alpha + 1 = 0 \\ \text{i.e. } \alpha^2 = \alpha + 1$$

x	0	1	α	$\alpha+1$
0	0	0	0	0
1	0	1	α	$\alpha+1$
α	0	α	$\alpha+1$	1
$\alpha+1$	0	$\alpha+1$	1	α

A field!

$$\text{versus } R_2 = \mathbb{F}_2[x]/(x^2+x)$$

↑ reducible
in $\mathbb{F}_2[x]$
(why?)

renaming $\beta := \bar{x}$, so

$$R_2 = \text{span}_{\mathbb{F}_2} \{1, \beta\}$$

$$\{0, 1, \beta, \beta+1\}$$

$$\text{with } \beta^2 + \beta = 0$$

$$\text{i.e. } \beta^2 = \beta$$

x	0	1	β	$\beta+1$
0	0	0	0	0
1	0	1	β	$\beta+1$
β	0	β	β	0
$\beta+1$	0	$\beta+1$	0	$\beta+1$

Not a field!

Irreducibility for $f(x)$ is the key:

PROPOSITION: If $f(x)$ is **irreducible** in $F[x]$ for F a field, then $F[x]/(f(x))$ is also a field.

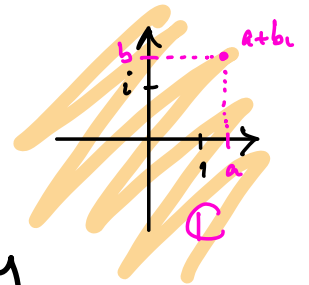
In particular, if $F = \mathbb{F}_p$ has p elements then $F[x]/(f(x))$ is a new field with p^d elements, where $d := \deg(f(x))$.

EXAMPLES

(1) x^2+1 in $\mathbb{R}[x]$ is irreducible,

so $\mathbb{R}[x]/(x^2+1)$ is a field, namely
 $= \text{span}_{\mathbb{R}}\{1, \bar{x}\}$ our disguised version

of the field $\mathbb{C} = \text{span}_{\mathbb{R}}\{1, i\}$ with $i^2+1=0$



(2) x^2+x+1 in $\mathbb{F}_2[x]$ is irreducible, of degree 2,

so $\mathbb{F}_4 := \mathbb{F}_2[x]/(x^2+x+1) = \{0, 1, \alpha, \alpha+1\}$
with $\alpha := \bar{x}$ satisfying $\alpha^2+\alpha+1=0$

is a field with $p^d = 2^2 = 4$ elements

WARNING! : $\mathbb{F}_4 \neq \mathbb{Z}/4$
 $= \{0, 1, \alpha, \alpha+1\}$ $= \{\bar{0}, \bar{1}, \bar{2}, \bar{3}\}$

(3) $x^4 + x + 1$ in $\mathbb{F}_2[x]$ is also irreducible
 (seen on HW) and has degree 4,

so $\mathbb{F}_{16} := \mathbb{F}_2[x]/(x^4 + x + 1)$ is a field with $2^4 = 16$ elements

$$= \text{span}_{\mathbb{F}_2} \{1, \gamma, \gamma^2, \gamma^3\} \quad \text{where } \gamma := \bar{x}$$

has $\gamma^4 + \gamma + 1 = 0$
 i.e. $\gamma^4 = \gamma + 1$

$$= \{0, 1, \gamma, \gamma + 1, \gamma^2, \gamma^2 + \gamma, \gamma^2 + \gamma + 1, \\ \gamma^3, \gamma^3 + 1, \gamma^3 + \gamma, \gamma^3 + \gamma + 1, \gamma^3 + \gamma^2, \gamma^3 + \gamma^2 + \gamma, \gamma^3 + \gamma^2 + \gamma + 1\}$$

proof of PROPOSITION:

To see $\mathbb{F}[x]/(f(x))$ is a field for $f(x)$ irreducible,
 consider any $\overline{g(x)} \neq \overline{0}$ in $\mathbb{F}[x]/(f(x))$, and find

$\overline{g(x)}^{-1}$ as follows. Represent $\overline{g(x)}$ by some
 polynomial $g(x)$ with $\deg(g) < d = \deg(f)$,

and then $\text{GCD}(g(x), f(x)) = 1$ since $g(x) \neq 0$
 and f is irreducible.

$$\text{Hence } 1 = a(x)f(x) + b(x)g(x) \text{ in } \mathbb{F}[x]$$

$$\text{and } \overline{1} = \overline{b(x)g(x)} \text{ in } \mathbb{F}[x]/(f(x)),$$

$$\text{that is } \overline{b(x)} = \overline{g(x)}^{-1}.$$

When $\mathbb{F} = \mathbb{F}_p$, then we know we have a bijection

$$(\mathbb{F}_p)^d \longrightarrow \mathbb{F}_p[x]/(f(x))$$

$$\begin{bmatrix} c_0 \\ c_1 \\ \vdots \\ c_{d-1} \end{bmatrix} \longmapsto c_0 + c_1 \bar{x} + c_2 \bar{x}^2 + \dots + c_{d-1} \bar{x}^{d-1}$$

$$\text{so } \# \mathbb{F}_p[x]/(f(x)) = \#(\mathbb{F}_p)^d = p^d \quad \square$$

EXAMPLE Inside $\mathbb{F}_{16} = \mathbb{F}_2[x]/(x^4+x+1)$

with $\gamma := \bar{x}$, who is $(\gamma^2)^{-1}$?

$\gamma^2 = \bar{x}^2$, so compute via (extended) Euclid

$$\text{GCD}(x^2, x^4+x+1) = \text{GCD}(x+1, x^2) = 1$$

$$\begin{array}{r} x^2 \\ x^2 \overline{) x^4 + x + 1} \\ \underline{x^4} \\ x + 1 \end{array}$$

$$x+1 = \overset{1}{x^4+x+1} - x^2 \cdot x^2$$

$$1 = x^2 - (x+1)(x+1)$$

$$1 = x^2 - (x+1)(x^4+x+1 - x^2 \cdot x^2)$$

$$1 = (1 - (x+1) \cdot x^2) x^2 - (x+1)(x^4+x+1)$$

$$= \underbrace{(x^3+x^2+1)}_{b(x)} \cdot \underbrace{x^2}_{a(x)} - (x+1)(x^4+x+1)$$

$$\begin{array}{r} x+1 \\ x+1 \overline{) x^2} \\ \underline{x^2+x} \\ x \\ \underline{x+1} \\ 1 \end{array}$$

$$\Rightarrow (\gamma^2)^{-1} = \gamma^3 + \gamma^2 + 1$$

$$\text{Check: } \gamma^2(\gamma^3 + \gamma^2 + 1) = \gamma^5 + \gamma^4 + \gamma^2$$

$$= \gamma \cdot (\gamma+1) + \gamma+1 + \gamma^2 = \gamma^2 + \gamma + \gamma + 1 + \gamma^2 = 1$$

REMARK Although not obvious, any two finite fields \mathbb{F}_q and \mathbb{F}_q' having the same size q will be **isomorphic**, meaning there is a **bijection**

$$\mathbb{F}_q \xrightarrow{f} \mathbb{F}_q'$$

$$\text{with } f(\alpha + \beta) = f(\alpha) + f(\beta)$$

$$f(\alpha\beta) = f(\alpha) \cdot f(\beta).$$

EXAMPLE The two finite fields of size $q = 2^3 = 8$

$$\mathbb{F}_8 = \mathbb{F}_2[x]/(x^3 + x + 1) \quad \Bigg| \quad \mathbb{F}_8' = \mathbb{F}_2[x]/(x^3 + x^2 + 1)$$

with $\alpha := \bar{x}$ with $\beta := \bar{x}$

have an isomorphism, e.g., sending $\alpha \mapsto \beta^3$.

Check β^3 is a root of $x^3 + x + 1$ in \mathbb{F}_8' :

$$\begin{aligned} (\beta^3)^3 + \beta^3 + 1 &= (x^2 + 1)^3 + (x^2 + 1) + 1 \\ &= x^6 + x^4 + \cancel{x^2} + 1 + \cancel{x^2} + 1 + 1 \\ &= (x^3)^2 + x \cdot x^3 + 1 \\ &= (x^2 + 1)^2 + x(x^2 + 1) + 1 \\ &= x^4 + \cancel{1} + x^3 + x + \cancel{1} \\ &= x^4 + x^3 + x = x(x^3 + x^2 + 1) = x \cdot 0 = 0 \end{aligned}$$

Primitive roots & primitive polynomials (Chap. 15)

Recall that we showed long ago that if a ring R had units $R^\times := \{u \in R : u \text{ has a mult. inverse in } R\}$ finite of size N , then every $u \in R^\times$ had $u^N = 1$.

COROLLARY

In a finite field \mathbb{F}_q with q elements, every $\alpha \neq 0$ has $\alpha^{q-1} = 1$

proof: \mathbb{F}_q is a finite ring and $\#\mathbb{F}_q^\times = \#(\mathbb{F}_q - \{0\}) = q-1$. \square

DEFIN: Call the smallest power $N=1,2,3,\dots$ for which $\alpha^N = 1$ the **order** of α in \mathbb{F}_q .

Call α a **primitive element** (root) in \mathbb{F}_q if it has the largest possible **order**, namely $q-1$.

REMARK: We'll need **primitive roots** in \mathbb{F}_q later to build **Reed-Solomon** codes with parameters $[\underbrace{q-1}_n, \underbrace{t}_k, \underbrace{q-t}_d]$ for $t \leq q-1$.

EXAMPLES

(1) $\mathbb{F}_7 = \mathbb{Z}/7\mathbb{Z}$ has power table

α \ power	1	2	3	4	5	6
1	1	1	1	1	1	1
2	2	4	1	2	4	1
3	3	2	6	4	5	1
4	4	2	1	4	2	1
5	5	4	6	2	3	1
6	6	1	6	1	6	1

order of α

1

3

6

← 3, 5 are primitive

3

6

2

(2) In $\mathbb{F}_4 = \mathbb{F}_2[x]/(x^2+x+1)$, $\alpha := \bar{x}$ is primitive

since $\alpha^2 = \alpha + 1 \neq 1$,

but $\alpha^3 = 1$, so α has order $3 = q-1$

Also $\alpha+1$ is primitive, since $(\alpha+1)^2 = \alpha \neq 1$.

(3) In $\mathbb{F}_{16} = \mathbb{F}_2[x]/(x^4+x+1)$,

$\gamma = \bar{x}$ is primitive, that is, of order $15 = q-1$

but $\gamma^3 = \bar{x}^3$ is not primitive,

since $(\gamma^3)^5 = \gamma^{15} = 1$

so γ^3 has order ≤ 5 , not $q-1 = 16-1 = 15$.

Q: Do there exist primitive roots in *every* finite field \mathbb{F}_q ? (Yes.)

Q: How to find them?

To answer these, start with some simple properties of *order*:

PROPOSITION:

(i) If $\alpha \in \mathbb{F}^\times$ has order d , then $\alpha^N = 1 \Leftrightarrow d \mid N$

(ii) Any power α^k of α has order dividing d (= order of α)

(iii) If $\alpha \in \mathbb{F}^\times$ has order $d = ef$, then α^f has order e .

(iv) If $\alpha_1, \alpha_2 \in \mathbb{F}^\times$ have orders d_1, d_2 with $\text{GCD}(d_1, d_2) = 1$ then $\alpha_1 \alpha_2$ has order $d_1 d_2$.

proof:

(i): Certainly if $d \mid N$, say $N = de$, then $\alpha^N = \alpha^{de} = (\alpha^d)^e = 1^e = 1$

On the other hand, if $d \nmid N$ then $N = de + r$ with $1 \leq r \leq d-1$ so $\alpha^N = \alpha^{de+r} = (\alpha^d)^e \cdot \alpha^r = 1^e \cdot \alpha^r = \alpha^r \neq 1$ since $1 \leq r \leq d-1$.

(ii): If $\alpha^d = 1$, then $(\alpha^k)^d = \alpha^{kd} = (\alpha^d)^k = 1^k = 1$.

(iii): One has $(\alpha^t)^e = \alpha^{et} = \alpha^d = 1$, and for $e' < e$ one has $(\alpha^t)^{e'} = \alpha^{e't} \neq 1$ since $e't < et = d$.

(iv): $(\alpha_1 \alpha_2)^N = 1 \iff \alpha_1^N \cdot \alpha_2^N = 1$
 $\iff \alpha_1^N = \alpha_2^{-N}$
 has order dividing d_1 has order dividing d_2
 hence it has order dividing $1 = \text{GCD}(d_1, d_2)$
 \Rightarrow it is 1.
 $\iff \alpha_1^N = 1 = \alpha_2^{-N}$
 $\iff N$ is a multiple of d_1 and of d_2
 $\iff d_1 d_2 \mid N \quad \square$

THEOREM Every finite field \mathbb{F}_q has a primitive root.

proof: Let $l := \text{LCM} \{ \text{orders of all } \alpha \in \mathbb{F}_q^\times \}$

(e.g. for $\mathbb{F}_7 = \{ \cancel{\alpha}, 1, 2, 3, 4, 5, 6 \}$
 orders 1 3 6 3 6 2

so $l = \text{LCM}(1, 3, 6, 3, 6, 2) = 6$)

We claim that $l = q-1 (= \# \mathbb{F}_q^x)$:

First note $l \mid q-1$ since every $\alpha \in \mathbb{F}_q^x$ has $\alpha^{q-1} = 1$ and so its order divides $q-1$, and thus so does their LCM.

Second note $l \geq q-1$ because every $\alpha \in \mathbb{F}_q^x$ has $\alpha^l = 1$ making it a root of $f(x) = x^l - 1$, which cannot have more than l distinct roots.

This proves the claim that $l = q-1$.

Now we show \exists some $\alpha \in \mathbb{F}_q^x$ of order $l (= q-1)$, which would then be a primitive element.

Factor $l = p_1^{e_1} p_2^{e_2} \dots p_r^{e_r}$ into prime powers $p_i^{e_i}$ (for distinct primes).

Since $l = \text{LCM} \{ \text{orders of } \alpha \in \mathbb{F}_q^x \}$, for each $i=1, 2, \dots, r$ there must be some $\alpha_i \in \mathbb{F}_q^x$ with order divisible by $p_i^{e_i}$.

Then some power $\alpha_i^{d_i}$ has order exactly $p_i^{e_i}$.

And then $\alpha := \alpha_1^{d_1} \alpha_2^{d_2} \dots \alpha_r^{d_r}$ will have order

$$\text{exactly } p_1^{e_1} p_2^{e_2} \dots p_r^{e_r} = l$$

using $\text{GCD}(p_i^{e_i}, p_j^{e_j}) = 1$ repeatedly. \square

So primitive roots **exist** in \mathbb{F}_q ,
but how to actually find one?

It's slightly tricky -

many elements of \mathbb{F}_q^* are primitive

(in fact, exactly $\phi(q-1)$ of them; see §15.8).

So a strategy is to look for them via random search, once we have a quick **test for primitivity**:

PROPOSITION: $\alpha \in \mathbb{F}_q^*$ is primitive
(§16.3)

$$\iff \alpha^{\frac{q-1}{p}} \neq 1 \quad \forall \text{ primes } p \mid q-1$$

(i.e. if $q-1 = p_1^{e_1} \dots p_r^{e_r}$ then check $\alpha^{\frac{q-1}{p_i}} \neq 1$ for $i=1,2,\dots,r$)

proof: $\alpha \in \mathbb{F}_q^*$ has $\alpha^{q-1} = 1$, so its order $d \mid q-1$,
and α is primitive $\iff d$ is not a proper divisor of $q-1$

$$\iff d \nmid \frac{q-1}{p} \quad \forall \text{ primes } p \mid q-1$$

$$\iff \alpha^{\frac{q-1}{p}} \neq 1 \quad \forall \text{ primes } p \mid q-1.$$



EXAMPLES

(1) To check which elements in \mathbb{F}_8^* are primitive, factor $q-1 = 8-1 = 7$ into primes (only one!)

and then $\alpha \in \mathbb{F}_8^*$ is primitive

$$\Leftrightarrow \alpha^{\frac{q-1}{p}} = \alpha^{\frac{8-1}{7}} = \alpha \neq 1$$

i.e. the other 6 elements in $\mathbb{F}_8^* - \{1\}$ are all primitive.

(2) In \mathbb{F}_{16}^* , factor $q-1 = 16-1 = 15 = 3 \cdot 5$

and then $\alpha \in \mathbb{F}_{16}^*$ is primitive

$$\Leftrightarrow 1 \neq \alpha^{\frac{16-1}{3}} = \alpha^5 \quad \text{and} \quad 1 \neq \alpha^{\frac{16-1}{5}} = \alpha^3$$

So when we built $\mathbb{F}_{16} = \mathbb{F}_2[x]/(x^4+x+1)$,

this is how we could check $\gamma := \bar{x}$ was primitive:

$$\gamma^3 \neq 1 \quad (\text{since } \mathbb{F}_{16} \text{ has } \mathbb{F}_2\text{-basis } \{1, \gamma, \gamma^2, \gamma^3\})$$

$$\gamma^5 = \gamma \cdot \gamma^4 = \gamma(\gamma+1) = \gamma^2 + \gamma \neq 1$$

REMARK: Once we know γ is primitive, the other primitive roots are easier to spot, because they're of the form γ^i for $i \in \{1, 2, \dots, q-1\}$ with $\text{GCD}(i, q-1) = 1$:

$$\mathbb{F}_{16}^\times = \{1, \gamma, \gamma^2, \gamma^3, \gamma^4, \gamma^5, \gamma^6, \gamma^7, \gamma^8, \gamma^9, \gamma^{10}, \gamma^{11}, \gamma^{12}, \gamma^{13}, \gamma^{14}\}$$

order: 1 15 15 5 15 3 5 15 15 5 3 15 5 15 15

primitive elements

REMARK: Primitive roots also help us find CRC generator polynomials $g(x)$ in $\mathbb{F}_p[x]$ that catch 2-digit errors that are far apart.

Recall $g(x)$ as a CRC catches such errors up to N digits apart where N is smallest such that $g(x) \mid x^N - 1$ in $\mathbb{F}_p[x]$.

PROPOSITION: Let $g(x) \in \mathbb{F}_p[x]$ be **irreducible**, so that $\mathbb{F}_q = \mathbb{F}_p[x]/(g(x))$ is a field of size $q = p^d$ where $d = \deg(g(x))$.

Then the smallest N for which $g(x) \mid x^N - 1$ is the **order of $\alpha := \bar{x}$** in this field \mathbb{F}_q .

In particular, N divides $q-1 = p^d - 1$, with equality $N = p^d - 1 \iff \alpha$ is a **primitive root**.

In this case, one calls $g(x)$ a **primitive (irreducible) polynomial** in $\mathbb{F}_p[x]$.

proof: $g(x) \mid x^N - 1$ in $\mathbb{F}_p[x]$

$$\iff \bar{x}^N - \bar{1} = \bar{0} \text{ in } \mathbb{F}_p[x]/(g(x)) (=:\mathbb{F}_q)$$

$$\iff \bar{1} = \bar{x}^N (= \alpha^N)$$

Hence the smallest such N is the order of α \square

UPSHOT: Primitive polynomials $g(x)$ in $\mathbb{F}_p[x]$
make very good choices for CRC's
catching 2-digit errors.

EXAMPLES

(1) In $\mathbb{F}_{16} = \mathbb{F}_2[x]/(\underbrace{x^4+x+1}_{g(x)})$, we checked

$\alpha = \bar{x}$ was a primitive root, so

$g(x) = x^4 + x + 1$ in $\mathbb{F}_2[x]$ is a primitive polynomial,

and used as a CRC will catch 2-bit errors
up to $N = 16 - 1 = 15$ bits apart.

(2) ^(§5.4) Garrett mentions $g(x) = x^{15} + x + 1 \in \mathbb{F}_2[x]$

as being a primitive polynomial,

so as a CRC it will catch 2-bit errors

up to $N = 2^{15} - 1 = 32767$ bits apart!