Critical groups of McKay-Cartan matrices

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Acknowledgements

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- Motivation: chip firing with avalanche-finite matrices
- McKay-Cartan matrices
- Theorem 1: size and structure of critical groups
- ► Theorem 2: critical groups for the reflection representation of 𝔅_n.

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Chip firing

A matrix $C = (c_{ij})$ in $\mathbb{Z}^{\ell \times \ell}$ with $c_{ij} \leq 0$ for all $i \neq j$ is called a *Z*-matrix.

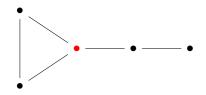
Given a Z-matrix C, we call the elements $v = (v_1, ..., v_\ell)^t \in \mathbb{N}^\ell$ chip configurations, and we define a dynamical system on the set of such configurations as follows:

- A configuration v is stable if $v_i < c_{ii}$ for $i = 1, ..., \ell$.
- ▶ If v is unstable, then choose some i so that $v_i \ge c_{ii}$ and form a new configuration $v' = (v'_1, ..., v'_\ell)^t$ where $v'_j = v_j - c_{ij}$ for $j = 1, ..., \ell$. The result v' is called the *C*-toppling of v at position i.

A Z-matrix is called an *avalanche-finite matrix* if any chip configuration can be brought to a stable one by a sequence of these topplings.

Example: chip firing on graphs

If C is the (reduced) Laplacian matrix of a graph Γ , then toppling at position *i* corresponds to sending chips along the edges incident to vertex *i*. One of the vertices (corresponding the the removed row and column in the Laplacian matrix) is a "black hole".

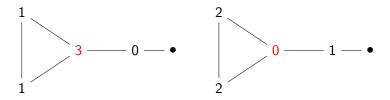


Reduced Laplacian is

$$\begin{pmatrix} 2 & -1 & -1 & 0 \\ -1 & 2 & -1 & 0 \\ -1 & -1 & 3 & -1 \\ 0 & 0 & -1 & 1 \end{pmatrix}$$

Example: chip firing on graphs

Give each node some number of chips, this corresponds to picking the configuration v. In this case v = (1, 1, 3, 0).



Subtracting the 3rd row of the Laplacian:

$$v = (1, 1, 3, 0) - (-1, -1, 3, -1) = (2, 2, 0, 1) = v'$$

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Critical groups

If C is an avalanche-finite matrix the *critical group* of C is

$$K(C) := \operatorname{coker} \left(\mathbb{Z}^{\ell} \xrightarrow{C} \mathbb{Z}^{\ell} \right) = \mathbb{Z}^{\ell} / \operatorname{im} (C)$$

This gives a finite group, since avalanche-finite matrices are invertible.

It turns out that critical configurations (those which are stable and recurrent) form a set of coset representatives in K(C).

Another special set of configurations, the *superstable* configurations also form a set of coset representatives.

Smith normal form basics

Let R be a ring and $A \in \mathbb{R}^{n \times n}$ be a matrix. A matrix S is called the *Smith normal form* of A if:

- There exist invertible matrices $P, Q \in \mathbb{R}^{n \times n}$ such that S = PAQ.
- ► S is a diagonal matrix $S = \text{diag}(s_1, ..., s_n)$ with $s_i | s_{i+1}$ for i = 1, ..., n 1.

Proposition

Let $A \in \mathbb{R}^{n \times n}$ be a matrix and suppose A has Smith normal form $S = diag(s_1, ..., s_n)$. Then coker $(A : \mathbb{R}^n \to \mathbb{R}^n) \cong \bigoplus_{i=1}^n \mathbb{R}/(s_i)$

Proposition

Let $A \in \mathbb{R}^{n \times n}$ be a matrix. If R is a PID then A has a Smith normal form.

Notation

For the rest of the talk:

- ► G is a finite group
- ▶ $1_G = \chi_0, \chi_1, ..., \chi_\ell$ are its irreducible complex characters
- ► γ is a faithful (not-necessarily-irreducible) *n*-dimensional representation of *G* with character χ_{γ}

McKay-Cartan matrices

Let M be the $(\ell + 1) \times (\ell + 1)$ integer matrix with entries m_{ij} defined by

$$\chi_{\gamma} \cdot \chi_i = \sum_{j=0}^{\ell} m_{ij} \chi_j$$

The extended McKay-Cartan matrix \tilde{C} is

 $\widetilde{C} := nI - M$

and the *McKay-Cartan matrix* C is the $\ell \times \ell$ submatrix formed by removing the row and column corresponding to χ_0 from \tilde{C} . The *critical group* is $K(\gamma) = K(C)$.

Theorem (G. Benkart, C. Klivans, and V. Reiner)

The McKay-Cartan matrix associated to a faithful representation γ is an avalanche-finite matrix.

Example: McKay-Cartan matrix for \mathfrak{S}_4

Let $G = \mathfrak{S}_4$ and γ be the reflection representation. This corresponds to the partition (3, 1).

	е	(12)	(123)	(1234)	(12)(34)
χ0	1	1	1	1	1
$\chi_{\gamma} = \chi_1$	3	1	0	-1	-1
χ2	2	0	-1	0	2
χ3	3	-1	0	1	-1
χ4	1	-1	1	-1	1

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Example: McKay-Cartan matrix for \mathfrak{S}_4

Let $G = \mathfrak{S}_4$ and γ be the reflection representation.

$$M = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix} \qquad \widetilde{C} = \begin{pmatrix} 3 & -1 & 0 & 0 & 0 \\ -1 & 2 & -1 & -1 & 0 \\ 0 & -1 & 3 & -1 & 0 \\ 0 & -1 & -1 & 2 & -1 \\ 0 & 0 & 0 & -1 & 3 \end{pmatrix}$$

$$C = \begin{pmatrix} 2 & -1 & -1 & 0 \\ -1 & 3 & -1 & 0 \\ -1 & -1 & 2 & -1 \\ 0 & 0 & -1 & 3 \end{pmatrix}$$

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Example: McKay-Cartan matrix for \mathfrak{S}_4

$$C = \begin{pmatrix} 2 & -1 & -1 & 0 \\ -1 & 3 & -1 & 0 \\ -1 & -1 & 2 & -1 \\ 0 & 0 & -1 & 3 \end{pmatrix} \xrightarrow{SNF} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 4 \end{pmatrix}$$

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Thus $K(\gamma) = \operatorname{coker} (C) \cong \mathbb{Z}/4\mathbb{Z}$.

Theorem 1 Let $e = c_0, c_1, ..., c_\ell$ be a set of conjugacy class representatives for *G*, then:

$$\prod_{i=1}^{\ell} (n - \chi_{\gamma}(c_i)) = |\mathcal{K}(\gamma)| \cdot |\mathcal{G}|$$

i.

ii. If χ_{γ} is real-valued, and $\chi_{\gamma}(c)$ is an integer character value achieved by m different conjugacy classes, then $K(\gamma)$ contains a subgroup isomorphic to $(\mathbb{Z}/(n-\chi_{\gamma}(c))\mathbb{Z})^{m-1}$.

Example: checking Theorem 1

We will use the reflection representation of \mathfrak{S}_4 again. Recall that $K(\gamma) \cong \mathbb{Z}/4\mathbb{Z}$.

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$\chi_{\gamma} = \chi_1$	3	1	0	-1	-1

i. In this case we have

$$\prod_{i=1}^{\ell} (n - \chi_{\gamma}(c_i)) = 2 \cdot 3 \cdot 4 \cdot 4 = 96$$
$$|\mathcal{K}(\gamma)| \cdot |\mathcal{G}| = 4 \cdot 4! = 96$$

ii. χ_{γ} is real-valued and has the repeated character value -1 with multiplicity 2. Thus $K(\gamma)$ should have a subgroup isomorphic to $(\mathbb{Z}/4\mathbb{Z})^1$.

Critical groups for reflection representations of \mathfrak{S}_n .

In the previous example, Theorem 1 was enough to uniquely determine $K(\gamma)$. However, this does not happen in general. It turns out we can use the following proposition:

Proposition (A. Miller and V. Reiner)

Suppose an $(\ell + 1) \times (\ell + 1)$ integer matrix A has a Smith normal form over $\mathbb{Z}[t]$ for tI - A, then this Smith form must be

$$egin{pmatrix} s_{\ell+1}(t) & & \ & \ddots & & \ & & \ddots & & \ & & & s_1(t) \end{pmatrix}$$

where

$$s_i(t) = \prod_{\substack{\lambda \ \mu(\lambda) \geq i}} (t-\lambda)$$

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and where $\mu(\lambda)$ denote the dimension of the λ -eigenspace for A.

Why is this proposition useful?

▶ It turns out that $tI - \tilde{C}$ has a Smith form over $\mathbb{Z}[t]$ when γ is the reflection representation of \mathfrak{S}_n .

• Setting t = 0 then gives us the critical group $K(\gamma)$.

Two facts

1. For all $\lambda \vdash n$:

$$\chi_{(n-1,1)} \cdot \chi_{\lambda} = C(\lambda)\chi_{\lambda} + \sum \chi_{\mu}$$

Where the sum is over those partitions μ which can be obtained from λ by removing and then adding a box. And $C(\lambda)$ is one less than the number of corners of λ (Ballantine and Orellana)

 The map UD − tI in Young's lattice has a Smith normal form over Z[t] (Cai and Stanley).

Putting it together

Theorem 2

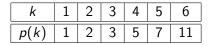
Let γ be the reflection representation of \mathfrak{S}_n and let \widetilde{C} be the associated extended McKay-Cartan matrix. Let p(k) denote the number of partitions of the integer k. Then

$$\mathcal{K}(\gamma) \cong \bigoplus_{i=2}^{p(n)-p(n-1)} \mathbb{Z}/q_i\mathbb{Z}$$

where

$$q_i = \prod_{\substack{1 \le k \le n \\ p(k) - p(k-1) \ge i}} k$$

Example: checking Theorem 2



For n = 4, we have

$$q_2 = \left(\prod_{\substack{1 \le k \le 4\\p(k) - p(k-1) \ge 2}} k\right) = 4$$

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Thus $K(\gamma) \cong \mathbb{Z}/4\mathbb{Z}$.

Example: using Theorem 2

k	1	2	3	4	5	6
p(k)	1	2	3	5	7	11

For a more interesting example, let's try the case n = 6:

$$q_{2} = \left(\prod_{\substack{1 \le k \le 6\\p(k) - p(k-1) \ge 2}} k\right) = 4 \cdot 5 \cdot 6 = 120$$
$$q_{3} = \left(\prod_{\substack{1 \le k \le 6\\p(k) - p(k-1) \ge 3}} k\right) = 6$$
$$q_{4} = 6$$

Thus $K(\gamma) \cong \mathbb{Z}/120\mathbb{Z} \oplus \mathbb{Z}/6\mathbb{Z} \oplus \mathbb{Z}/6\mathbb{Z}$.

Thanks for coming

Are there any questions?

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