# Critical groups of McKay-Cartan matrices 

Christian Gaetz

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## Plan

- Motivation: chip firing with avalanche-finite matrices
- McKay-Cartan matrices
- Theorem 1: size and structure of critical groups
- Theorem 2: critical groups for the reflection representation of $\mathfrak{S}_{n}$.


## Chip firing

A matrix $C=\left(c_{i j}\right)$ in $\mathbb{Z}^{\ell \times \ell}$ with $c_{i j} \leq 0$ for all $i \neq j$ is called a Z-matrix.

Given a $Z$-matrix $C$, we call the elements $v=\left(v_{1}, \ldots, v_{\ell}\right)^{t} \in \mathbb{N}^{\ell}$ chip configurations, and we define a dynamical system on the set of such configurations as follows:

- A configuration $v$ is stable if $v_{i}<c_{i i}$ for $i=1, \ldots, \ell$.
- If $v$ is unstable, then choose some $i$ so that $v_{i} \geq c_{i i}$ and form a new configuration $v^{\prime}=\left(v_{1}^{\prime}, \ldots, v_{\ell}^{\prime}\right)^{t}$ where $v_{j}^{\prime}=v_{j}-c_{i j}$ for $j=1, \ldots, \ell$. The result $v^{\prime}$ is called the $C$-toppling of $v$ at position $i$.
A Z-matrix is called an avalanche-finite matrix if any chip configuration can be brought to a stable one by a sequence of these topplings.


## Example: chip firing on graphs

If $C$ is the (reduced) Laplacian matrix of a graph $\Gamma$, then toppling at position $i$ corresponds to sending chips along the edges incident to vertex $i$. One of the vertices (corresponding the the removed row and column in the Laplacian matrix) is a "black hole".


Reduced Laplacian is

$$
\left(\begin{array}{cccc}
2 & -1 & -1 & 0 \\
-1 & 2 & -1 & 0 \\
-1 & -1 & 3 & -1 \\
0 & 0 & -1 & 1
\end{array}\right)
$$

## Example: chip firing on graphs

Give each node some number of chips, this corresponds to picking the configuration $v$. In this case $v=(1,1,3,0)$.


Subtracting the 3rd row of the Laplacian:

$$
v=(1,1,3,0)-(-1,-1,3,-1)=(2,2,0,1)=v^{\prime}
$$

## Critical groups

If $C$ is an avalanche-finite matrix the critical group of $C$ is

$$
K(C):=\operatorname{coker}\left(\mathbb{Z}^{\ell} \xrightarrow{C} \mathbb{Z}^{\ell}\right)=\mathbb{Z}^{\ell} / \operatorname{im}(C)
$$

This gives a finite group, since avalanche-finite matrices are invertible.

- It turns out that critical configurations (those which are stable and recurrent) form a set of coset representatives in $K(C)$.
- Another special set of configurations, the superstable configurations also form a set of coset representatives.


## Smith normal form basics

Let $R$ be a ring and $A \in R^{n \times n}$ be a matrix. A matrix $S$ is called the Smith normal form of $A$ if:

- There exist invertible matrices $P, Q \in R^{n \times n}$ such that $S=P A Q$.
- $S$ is a diagonal matrix $S=\operatorname{diag}\left(s_{1}, \ldots, s_{n}\right)$ with $s_{i} \mid s_{i+1}$ for $i=1, \ldots, n-1$.


## Proposition

Let $A \in R^{n \times n}$ be a matrix and suppose $A$ has Smith normal form $S=\operatorname{diag}\left(s_{1}, \ldots, s_{n}\right)$. Then coker $\left(A: R^{n} \rightarrow R^{n}\right) \cong \bigoplus_{i=1}^{n} R /\left(s_{i}\right)$

## Proposition

Let $A \in R^{n \times n}$ be a matrix. If $R$ is a PID then $A$ has a Smith normal form.

## Notation

For the rest of the talk:

- $G$ is a finite group
- $1_{G}=\chi_{0}, \chi_{1}, \ldots, \chi_{\ell}$ are its irreducible complex characters
- $\gamma$ is a faithful (not-necessarily-irreducible) $n$-dimensional representation of $G$ with character $\chi_{\gamma}$


## McKay-Cartan matrices

Let $M$ be the $(\ell+1) \times(\ell+1)$ integer matrix with entries $m_{i j}$ defined by

$$
\chi_{\gamma} \cdot \chi_{i}=\sum_{j=0}^{\ell} m_{i j} \chi_{j}
$$

The extended McKay-Cartan matrix $\widetilde{C}$ is

$$
\widetilde{C}:=n l-M
$$

and the McKay-Cartan matrix $C$ is the $\ell \times \ell$ submatrix formed by removing the row and column corresponding to $\chi_{0}$ from $\widetilde{C}$. The critical group is $K(\gamma)=K(C)$.
Theorem (G. Benkart, C. Klivans, and V. Reiner)
The McKay-Cartan matrix associated to a faithful representation $\gamma$ is an avalanche-finite matrix.

## Example: McKay-Cartan matrix for $\mathfrak{S}_{4}$

Let $G=\mathfrak{S}_{4}$ and $\gamma$ be the reflection representation. This corresponds to the partition $(3,1)$.

|  | $e$ | $(12)$ | $(123)$ | $(1234)$ | $(12)(34)$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\chi_{0}$ | 1 | 1 | 1 | 1 | 1 |
| $\chi_{\gamma}=\chi_{1}$ | 3 | 1 | 0 | -1 | -1 |
| $\chi_{2}$ | 2 | 0 | -1 | 0 | 2 |
| $\chi_{3}$ | 3 | -1 | 0 | 1 | -1 |
| $\chi_{4}$ | 1 | -1 | 1 | -1 | 1 |

## Example: McKay-Cartan matrix for $\mathfrak{S}_{4}$

Let $G=\mathfrak{S}_{4}$ and $\gamma$ be the reflection representation.

$$
\begin{aligned}
M=\left(\begin{array}{lllll}
0 & 1 & 0 & 0 & 0 \\
1 & 1 & 1 & 1 & 0 \\
0 & 1 & 0 & 1 & 0 \\
0 & 1 & 1 & 1 & 1 \\
0 & 0 & 0 & 1 & 0
\end{array}\right) \quad \widetilde{C}=\left(\begin{array}{ccccc}
3 & -1 & 0 & 0 & 0 \\
-1 & 2 & -1 & -1 & 0 \\
0 & -1 & 3 & -1 & 0 \\
0 & -1 & -1 & 2 & -1 \\
0 & 0 & 0 & -1 & 3
\end{array}\right) \\
C=\left(\begin{array}{cccc}
2 & -1 & -1 & 0 \\
-1 & 3 & -1 & 0 \\
-1 & -1 & 2 & -1 \\
0 & 0 & -1 & 3
\end{array}\right)
\end{aligned}
$$

## Example: McKay-Cartan matrix for $\mathfrak{S}_{4}$

$$
C=\left(\begin{array}{cccc}
2 & -1 & -1 & 0 \\
-1 & 3 & -1 & 0 \\
-1 & -1 & 2 & -1 \\
0 & 0 & -1 & 3
\end{array}\right) \xrightarrow{S N F}\left(\begin{array}{llll}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 4
\end{array}\right)
$$

Thus $K(\gamma)=\operatorname{coker}(C) \cong \mathbb{Z} / 4 \mathbb{Z}$.

Theorem 1
Let $e=c_{0}, c_{1}, \ldots, c_{\ell}$ be a set of conjugacy class representatives for $G$, then:
i.

$$
\prod_{i=1}^{\ell}\left(n-\chi_{\gamma}\left(c_{i}\right)\right)=|K(\gamma)| \cdot|G|
$$

ii. If $\chi_{\gamma}$ is real-valued, and $\chi_{\gamma}(c)$ is an integer character value achieved by $m$ different conjugacy classes, then $K(\gamma)$ contains a subgroup isomorphic to $\left(\mathbb{Z} /\left(n-\chi_{\gamma}(c)\right) \mathbb{Z}\right)^{m-1}$.

## Example: checking Theorem 1

We will use the reflection representation of $\mathfrak{S}_{4}$ again. Recall that $K(\gamma) \cong \mathbb{Z} / 4 \mathbb{Z}$.

|  | $e$ | $(12)$ | $(123)$ | $(1234)$ | $(12)(34)$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\chi_{\gamma}=\chi_{1}$ | 3 | 1 | 0 | -1 | -1 |

i. In this case we have

$$
\begin{gathered}
\prod_{i=1}^{\ell}\left(n-\chi_{\gamma}\left(c_{i}\right)\right)=2 \cdot 3 \cdot 4 \cdot 4=96 \\
|K(\gamma)| \cdot|G|=4 \cdot 4!=96
\end{gathered}
$$

ii. $\chi_{\gamma}$ is real-valued and has the repeated character value -1 with multiplicity 2 . Thus $K(\gamma)$ should have a subgroup isomorphic to $(\mathbb{Z} / 4 \mathbb{Z})^{1}$.

## Critical groups for reflection representations of $\mathfrak{S}_{n}$.

In the previous example, Theorem 1 was enough to uniquely determine $K(\gamma)$. However, this does not happen in general. It turns out we can use the following proposition:

Proposition (A. Miller and V. Reiner)
Suppose an $(\ell+1) \times(\ell+1)$ integer matrix $A$ has a Smith normal form over $\mathbb{Z}[t]$ for $t I-A$, then this Smith form must be

$$
\left(\begin{array}{lll}
s_{\ell+1}(t) & & \\
& \ddots & \\
& & s_{1}(t)
\end{array}\right)
$$

where

$$
s_{i}(t)=\prod_{\substack{\lambda \\ \mu(\lambda) \geq i}}(t-\lambda)
$$

and where $\mu(\lambda)$ denote the dimension of the $\lambda$-eigenspace for $A$.

Why is this proposition useful?

- It turns out that $t /-\widetilde{C}$ has a Smith form over $\mathbb{Z}[t]$ when $\gamma$ is the reflection representation of $\mathfrak{S}_{n}$.
- Setting $t=0$ then gives us the critical group $K(\gamma)$.


## Two facts

1. For all $\lambda \vdash n$ :

$$
\chi_{(n-1,1)} \cdot \chi_{\lambda}=C(\lambda) \chi_{\lambda}+\sum \chi_{\mu}
$$

Where the sum is over those partitions $\mu$ which can be obtained from $\lambda$ by removing and then adding a box. And $C(\lambda)$ is one less than the number of corners of $\lambda$ (Ballantine and Orellana)
2. The map $U D-t l$ in Young's lattice has a Smith normal form over $\mathbb{Z}[t]$ (Cai and Stanley).

## Putting it together

Theorem 2
Let $\gamma$ be the reflection representation of $\mathfrak{S}_{n}$ and let $\widetilde{C}$ be the associated extended McKay-Cartan matrix. Let $p(k)$ denote the number of partitions of the integer $k$. Then

$$
K(\gamma) \cong \bigoplus_{i=2}^{p(n)-p(n-1)} \mathbb{Z} / q_{i} \mathbb{Z}
$$

where

$$
q_{i}=\prod_{\substack{1 \leq k \leq n \\ p(k)-p(k-1) \geq i}} k
$$

## Example: checking Theorem 2

| $k$ | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $p(k)$ | 1 | 2 | 3 | 5 | 7 | 11 |

For $n=4$, we have

$$
q_{2}=\left(\prod_{\substack{1 \leq k \leq 4 \\ p(k)-p(k-1) \geq 2}} k\right)=4
$$

Thus $K(\gamma) \cong \mathbb{Z} / 4 \mathbb{Z}$.

## Example: using Theorem 2

| $k$ | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $p(k)$ | 1 | 2 | 3 | 5 | 7 | 11 |

For a more interesting example, let's try the case $n=6$ :

$$
\begin{aligned}
& q_{2}=\left(\prod_{\substack{1 \leq k \leq 6 \\
p(k)-p(k-1) \geq 2}} k\right)=4 \cdot 5 \cdot 6=120 \\
& q_{3}=\left(\prod_{\substack{1 \leq k \leq 6 \\
p(k)-p(k-1) \geq 3}} k\right)=6 \\
& q_{4}=6
\end{aligned}
$$

Thus $K(\gamma) \cong \mathbb{Z} / 120 \mathbb{Z} \oplus \mathbb{Z} / 6 \mathbb{Z} \oplus \mathbb{Z} / 6 \mathbb{Z}$.

Thanks for coming

Are there any questions?

## References

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