

§ The Completeness Axiom

Or: How does \mathbb{R} differ from \mathbb{Q} ?

Major Concepts

1. Upper, lower bounds
2. sup, inf:
 - defs
 - finding $\sup S, \inf S$
 - proving your answers are correct.
3. Completeness
4. Density of \mathbb{Q}
5. Archimedean Property.

Begin with bounds: Some subsets of \mathbb{R} have minimum and/or maximum elements

- $m \in S$ is min S if $\forall s \in S, m \leq s$
- $M \in S$ is max S if $\forall s \in S, s \leq M$

Ex $S = \{0, 2, 4\}$



$$\min S = 0$$
$$\max S = 4$$

$S = [0, \infty)$



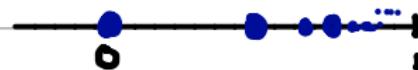
$$\min S = 0$$
$$\text{no max}$$

$S = (0, 1)$



$$\text{no min, max}$$

$S = \left\{ 1 - \frac{1}{n} \mid n \in \mathbb{N} \right\}$



$$\min S = 0$$
$$\text{no max}$$

More generally: m is an upper bound for $S \subseteq \mathbb{R}$ if $\forall s \in S, s \leq m$
 m is a lower bound for $S \subseteq \mathbb{R}$ if $\forall s \in S, m \leq s$
 (need not be in S)

Ex $S = \{0, 2, 4\}$



upper bd and

max

$S = (0, 1)$



0 is lower bd.

So is $-1, -2, -3, \dots$

$-\sqrt{2}, -1.7$

1 is upper

bound. So

is S . or $\pi \dots$

$S = \left\{ 1 - \frac{1}{n} \mid n \in \mathbb{N} \right\}$



Observations ① if m is upper bound for S , so is any # larger than m .

② m is lower bound \Rightarrow so is any smaller #.

③ If an upper bd of S , then it's max S .

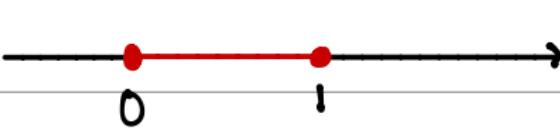
Def Let $\emptyset \neq S \subseteq \mathbb{R}$ be bounded above. (i.e. it has an upper bd)
The least upper bound is called supremum of S ,

$$\sup S = \text{lub } S$$

Similarly, greatest lower bound of a set which is bdd below
is infimum of S ,

$$\inf S = \text{glb } S.$$

Ex $S = [0, 1]$



$$\sup S = 1$$
$$\inf S = 0$$

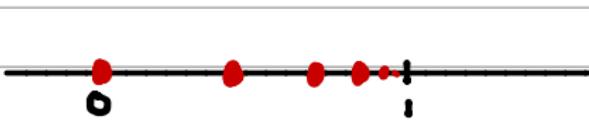
$S = (0, \infty)$



$$\inf S = 0$$

no sup

$S = \left\{ 1 - \frac{1}{n} \mid n \in \mathbb{N} \right\}$



$$\inf S = 0$$
$$\sup = 1$$

So if $m = \sup S$,

(a) $m \geq s \forall s \in S$.

(b) $m' < m$ can't be an upper bound: $\exists s' \in S \ni s' > m'$

Similarly, if $m = \inf S$

(a) $m \leq s \forall s \in S$

(b) $m' > m$ can't be lower bound: $\exists s' < m'$

Ex (Old Exam Problem) $A = \left\{ \frac{n-1}{n+1} : n \in \mathbb{N} \right\}$

(a) List the elts of A.

$$A = \left\{ \frac{0}{2}, \frac{1}{3}, \frac{2}{4}, \frac{3}{5}, \frac{4}{6}, \frac{5}{7}, \frac{6}{8}, \dots \right\} = \left\{ 0, \frac{1}{3}, \frac{1}{2}, \frac{3}{8}, \frac{2}{3}, \frac{5}{7}, \frac{3}{4}, \dots \right\}$$

(b) Show A is bounded above and below

$n \in \mathbb{N} \Rightarrow n-1, n+1$ both $\geq 0 \Rightarrow \frac{n-1}{n+1} \geq 0$, so A is bounded below by 0.

$$\text{Also } n-1 < n+1 \Rightarrow \frac{n-1}{n+1} < 1 \quad (\text{bc } n+1 > 0)$$

(c) Find $\ell = \inf A$, $m = \sup A$. Prove your answers are right.

$$\ell = \inf A = 0, \quad m = \sup A = 1$$

$$A = \left\{ \frac{n-1}{n+1} : n \in \mathbb{N} \right\} = \left\{ 0, \frac{1}{3}, \frac{1}{2}, \frac{3}{5}, \frac{2}{3}, \frac{5}{7}, \dots \right\}$$

Proof that $\inf A = 0$

First, 0 is a lower bound of A by part (b).

Now suppose $\exists l > 0$ which is a lower bound for A.
This is a contradiction; b/c $0 \in A$, so l cannot a lower bound of A.

Key: shorter b/c $0 \in A$, so $0 = \min A$

$$A = \left\{ \frac{n-1}{n+1} : n \in \mathbb{N} \right\} = \left\{ 0, \frac{1}{3}, \frac{1}{2}, \frac{3}{5}, \frac{2}{3}, \frac{5}{7}, \dots \right\}$$

Proof that $\sup A = 1$

By part (b), 1 is upper bound: $a \leq 1 \forall a \in A$.

Now suppose $\exists m < 1$ which is also an upper bd. We'll show this is impossible, so 1 is in fact the least upper bd.

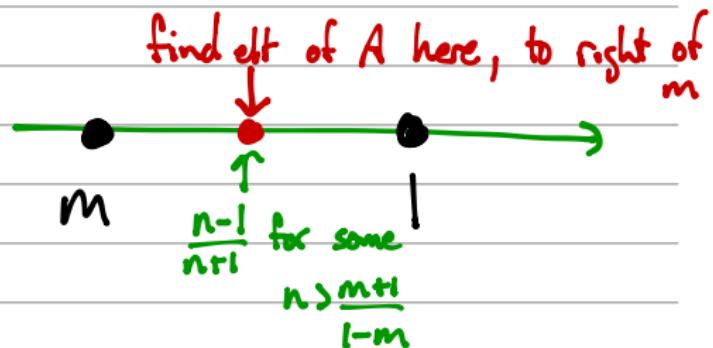
$$\frac{n-1}{n+1} > m$$

$$n-1 > nm + m$$

$$n - nm > m + 1$$

$$n(1-m) > m + 1$$

$$n > \frac{m+1}{1-m}$$



⚠ Sometimes $m = l - \varepsilon$
for some small
 $\varepsilon > 0$



So solve $\frac{n-1}{n+1} > m = l - \varepsilon$

$$n-1 > (n+1)(l-\varepsilon)$$

⋮

$$n > \frac{\varepsilon + 2}{\varepsilon}$$

.... end result: $m = l - \varepsilon$ is not an upper bd. Hence l is least upper bound.

Completeness Axiom Every $\emptyset \neq S \subseteq \mathbb{R}$ which is bdd above has a least upper bound.

Notes ① \mathbb{Q} not complete. Ex $S = \{x \in \mathbb{Q} : x^2 < 2\}$

$0 \in S, 1 \in S \Rightarrow S \neq \emptyset$. bdd above by 2 (1.5, 10, etc.)
But no least upper bd of S in \mathbb{Q} : $\sqrt{2} \notin \mathbb{Q}$

② Why not "Every $\emptyset \neq S \subseteq \mathbb{R}$ which is bdd below has inf?"

True - can prove using completeness Axiom

Thm 3.3.9 Archimedean Property of \mathbb{R}

\mathbb{N} has no upper bound in \mathbb{R} .



Pf By contradiction. Suppose \mathbb{N} is bdd above, $\mathbb{N} \neq \emptyset \Rightarrow \exists$ least upper bd, $m = \sup \mathbb{N}$. Then $m-1$ not upper bd $\Rightarrow \exists n_0 \in \mathbb{N}$, $n_0 > m-1$. Then $n_0 + 1 > m$, and $n_0 + 1 \in \mathbb{N}$, m not upper bd \Downarrow .

Thm 3.3.10 TFAE

(*) Archimedean Property

- * (a) $\forall z \in \mathbb{R}, \exists n \in \mathbb{N} \ni n > z$.
- (b) $\forall x > 0 \forall y \in \mathbb{R} \exists n \in \mathbb{N} \ni nx > y$
- * (c) $\forall x > 0 \exists n \in \mathbb{N} \ni 0 < \frac{1}{n} < x$.