

# Hilbert functions for Chow rings of uniform matroids and their q-analogues

Did the work!

{	T. Hameister	}	Madison
{	S. Rao	}	Cornell
{	C. Simpson	}	

V. Reiner (2017 U. Minnesota REU Mentor)

JMM San Diego Jan 12, 2018

Special Session on  
Combinatorics & Geometry

# OUTLINE

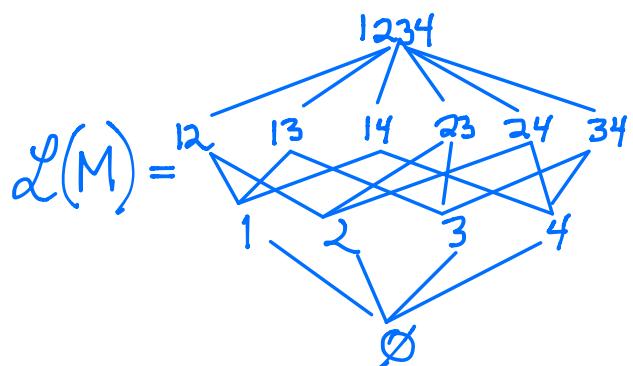
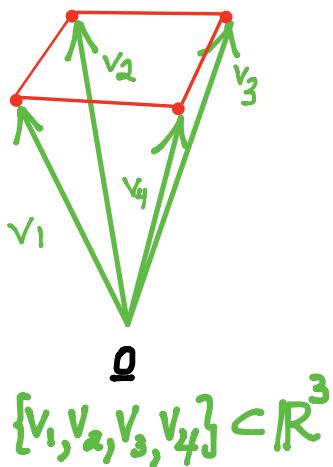
1. Uniform matroids  
& their  $q$ -analogues
2. Chow rings of (simple) matroids
3. Hilbert series results by
  - Feichtner-Yuzvinsky (2004)
  - Adiprasito-Huh-Katz (2015)
4. MAIN RESULT
5. Proof ideas

Recall a (simple) matroid  $M$   
can be specified by its lattice of flats  $\mathcal{L}(M)$ ,  
a geometric lattice.  
( $=$ atomic  
+ upper semimodular)

---

Motivating special case:

$$\mathcal{L}(M) = \left\{ \begin{array}{l} \text{linearly closed subsets} \\ \text{of a collection of} \\ \text{vectors } \{v_1, v_2, \dots, v_n\} \subset \mathbb{F}^r \end{array} \right\}$$

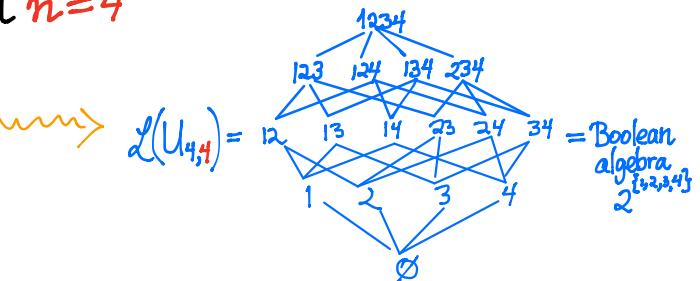


The uniform matroid  $U_{n,r}$   
of rank  $r$  on  $n$  elements corresponds to  
 $n$  generic vectors  $\{v_1, v_2, \dots, v_n\} \subset \mathbb{F}^r$

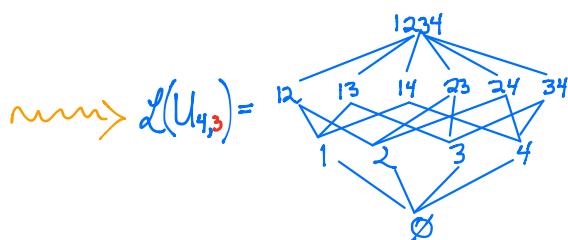
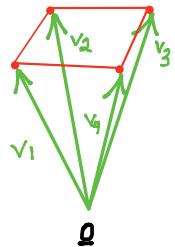
$$\Rightarrow \mathcal{L}(U_{n,r}) = \left\{ \begin{array}{l} \text{subsets of } \{1, 2, \dots, n\} \\ \text{of cardinality } 0, 1, 2, \dots, r-1 \text{ and } n \end{array} \right\}$$

## EXAMPLES with $n=4$

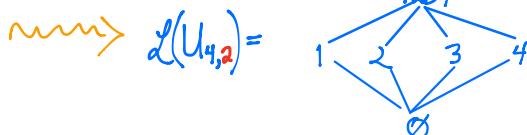
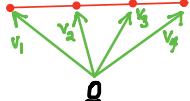
$$r=4: \quad \{v_1, v_2, v_3, v_4\} \quad \text{any basis for } \mathbb{F}^4$$



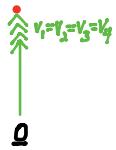
$$r=3:$$



$$r=2:$$



$$r=1:$$



$$\Rightarrow \mathcal{L}(U_{4,1}) =$$



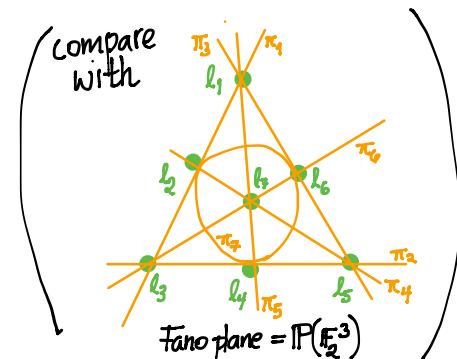
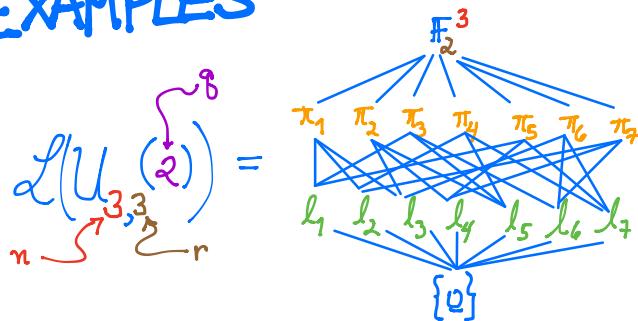
## $q$ -analogues

$$\mathcal{L}(U_{n,r}^{(q)}) = \left\{ \begin{array}{l} \text{$\mathbb{F}_q$-linear subspaces of $\mathbb{F}_q^n$} \\ \text{of dimension} \\ 0, 1, 2, \dots, r-1 \text{ and } n \end{array} \right\}$$

"lim"  
 $\xrightarrow{q \rightarrow 1}$

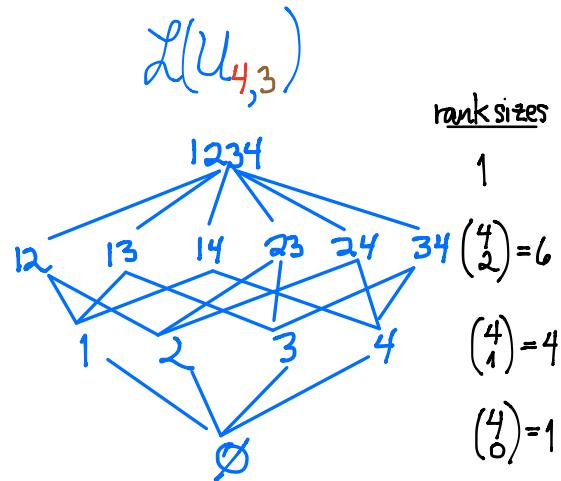
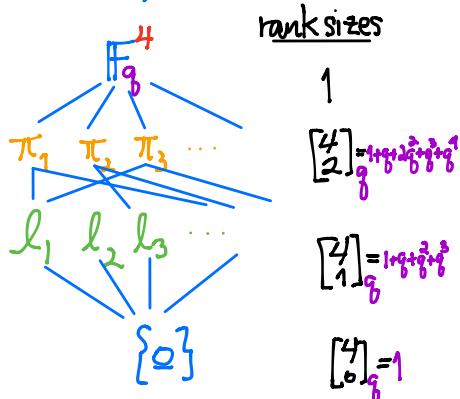
$$\mathcal{L}(U_{n,r}) = \left\{ \begin{array}{l} \text{subsets of $\{1, 2, \dots, n\}$} \\ \text{of cardinality} \\ 0, 1, 2, \dots, r-1 \text{ and } n \end{array} \right\}$$

## EXAMPLES



$$\mathcal{L}(U_{4,3}^{(q)})$$

"lim"  
 $\xrightarrow{q \rightarrow 1}$



where  $\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]!}{[k]_q [n-k]_q}$

$[n]_q! = [n]_q [n-1]_q \cdots [2]_q [1]_q$

$[n]_q = 1 + q + q^2 + \cdots + q^{n-1}$

"lim"  
 $\xrightarrow{q \rightarrow 1}$

$\begin{pmatrix} n \\ k \end{pmatrix}$

$n!$

$n$

Feichtner & Yuzvinsky's 2004

## Chow ring of an atomic lattice $\mathcal{L}$

$$A(\mathcal{L}) = \mathbb{Z}[\chi_F : F \in \mathcal{L} - \{\hat{0}\}] / \mathcal{I}_{\mathcal{L}} + \mathcal{J}_{\mathcal{L}}$$

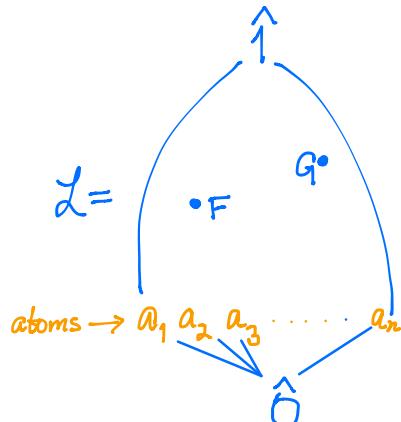
where

$$\mathcal{I}_{\mathcal{L}} = (\chi_F \chi_G : F, G \text{ incomparable})$$

= usual Stanley-Reisner ideal for  $\mathcal{L} - \{\hat{0}\}$

$$\mathcal{J}_{\mathcal{L}} = \left( \sum_{\substack{F \in \mathcal{L} - \{\hat{0}\} \\ a \in F}} \chi_F : \text{atoms } a \text{ of } \mathcal{L} \right)$$

= extra linear relations, containing (more than)  
a linear system of parameters



## EXAMPLE

$$\mathcal{L} = \mathcal{L}(U_{4,3}) =$$

$$A(\mathcal{L}) = \mathbb{Z}[x_1, x_2, x_3, x_4, x_{12}, x_{13}, x_{14}, x_{23}, x_{24}, x_{34}, x_{1234}] \quad / \quad I_{\mathcal{L}} + J_{\mathcal{L}}$$

$$I_{\mathcal{L}} = (x_i x_j \mid_{i \neq j}, x_{ij} x_{kl} \mid_{\{i,j\} \neq \{k,l\}}, x_i x_{jk} \mid_{i \notin \{j,k\}})$$

$$J_{\mathcal{L}} = \left( \begin{array}{c} x_1 + x_{12} + x_{13} + x_{14} \\ + x_{1234} \end{array} \right) \quad \left( \begin{array}{c} x_2 + x_{12} + x_{23} + x_{24} \\ + x_{1234} \end{array} \right) \quad \left( \begin{array}{c} x_3 + x_{13} + x_{23} + x_{34} \\ + x_{1234} \end{array} \right) \quad \left( \begin{array}{c} x_4 + x_{14} + x_{24} + x_{34} \\ + x_{1234} \end{array} \right)$$

$$A(\mathcal{L}) = A_0 \oplus A_1 \oplus A_2 \quad \text{a graded } \mathbb{Z}\text{-algebra}$$

$$= \mathbb{Z}^1 \oplus \mathbb{Z}^7 \oplus \mathbb{Z}^1$$

with Hilbert series

$$H(A(\mathcal{L}), t) = \sum_{d=0}^{\infty} \text{rank}_{\mathbb{Z}} A_d \cdot t^d = 1 + 7t^1 + 1t^2$$

# Hilbert series results

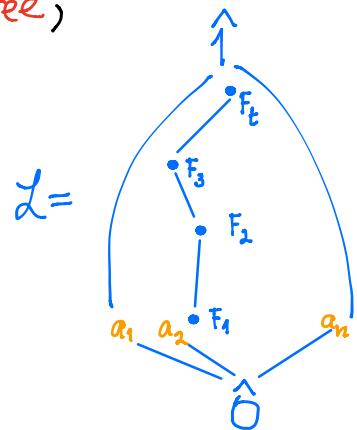
**THEOREM** (Feichtner & Yuzvinsky 2004)

The ideal  $I_d + J_d \subset \mathbb{Z}[x_F]_{F \in \mathcal{L} - \{\hat{0}\}}$

has a **Gröbner basis** that shows  $A(\mathcal{L})$  is  $\mathbb{Z}$ -free,  
with a  $\mathbb{Z}$ -basis of standard monomials

$$\left\{ x_{F_1}^{m(F_1)} \cdots x_{F_t}^{m(F_t)} : F_1 < F_2 < \dots < F_t \text{ in } \mathcal{L} - \{\hat{0}\}, \right. \\ \left. 1 \leq m(F_i) < d(F_{i-1}, F_i) \right\}$$

$$\min \{d : F_i = \bigvee_{j=1}^k a_j \vee F_{i-1}, \text{ atoms } a_j \in \mathcal{L}\}$$



**EXAMPLE**  $A(\mathcal{L}(U_{4,3}))$  has  $\mathbb{Z}$ -basis

$$\{1, x_{12}, x_{13}, x_{14}, x_{23}, x_{24}, x_{34}, x_{1234}, x_{1234}^2\}$$

$A_0$ $\parallel$ $\mathbb{Z}^1$	$A_1$ $\parallel$ $\mathbb{Z}^7$	$A_2$ $\parallel$ $\mathbb{Z}^1$
--	--	--

## THEOREM (Adiprasito, Huh & Katz 2015)

For a matroid  $M$  of rank  $r$ , the Chow ring  $A = A(\mathcal{L}(M))$  has

- $A = A_0 \oplus A_1 \oplus \dots \oplus A_{r-1}$ , with  $A_{r-1} \cong \mathbb{Z}$

- (Poincaré duality) One has a nondegenerate pairing

$$A_i \times A_{r-1-i} \longrightarrow A_{r-1} = \mathbb{Z}$$

$$(x, y) \longmapsto xy$$

- (Hard Lefschetz) Extending scalars to  $\mathbb{R}$ ,  $\exists \Theta$  in  $A_1$  such that this map is an  $\mathbb{R}$ -linear isomorphism:

$$\begin{aligned} A_i &\longrightarrow A_{r-1-i} \\ x &\longmapsto \Theta^{r-1-2i} x \end{aligned}$$

- (Hodge-Riemann relations) The quadratic form  $Q_i(x) = x \cdot \Theta^{r-1-2i} x$  on  $A_i$  when restricted to the primitive part  $\ker(\Theta^{r-2i})$ , has  $(-1)^i Q_i(x)$  positive definite.

## COROLLARY (to Poincaré duality, Hard Lefschetz)

$H(A(\mathcal{L}(M)), t)$  has symmetric, unimodal coefficient sequence.

(Hodge-Riemann was crucial for their proof of the Rota-Heron-Welsh and Mason Conjectures from the 1970's)

# The main result...

**THEOREM** (Hamelier-Rao-Simpson 2017)

$$H\left(A(\mathcal{L}(U_{n,r})), t\right) = \sum_{\sigma \in \mathfrak{S}_n} t^{\text{exc}(\sigma)} - \sum_{m=1}^{n-r} \sum_{\substack{\sigma \in \mathfrak{S}_n : \\ \text{Fix}(\sigma) \geq m}} t^{n-m-\text{exc}(\sigma)}$$

and

$$\lim_{q \rightarrow 1}$$

$$H\left(A(\mathcal{L}(U_{n,r}, q)), t\right) = \sum_{\sigma \in \mathfrak{S}_n} \left( t \frac{-1}{q} \right)^{\text{exc}(\sigma)} q^{\text{maj}(\sigma)}$$

$$- \sum_{m=1}^{n-r} q^{m-n} \sum_{\substack{\sigma \in \mathfrak{S}_n : \\ \text{Fix}(\sigma) \geq m}} \left( t \frac{1}{q} \right)^{n-m-\text{exc}(\sigma)} q^{\text{maj}(\sigma)}$$

where

$$\text{exc}(\sigma) = \#\{i : \sigma(i) > i\} = \#\text{of excedances in } \sigma$$

$$\text{maj}(\sigma) = \sum_{i : \sigma(i) > \sigma(i+1)} i = \text{major index of } \sigma$$

$$\text{Fix}(\sigma) = \{i : \sigma(i) = i\} = \text{fixed points of } \sigma$$

## SPECIAL CASES

- $H(A(\ell(U_{n,n})), t) = \sum_{\sigma \in G_n} t^{\text{exc}(\sigma)} = n^{\text{th}} \text{ Eulerian polynomial } A_n(t)$

with exponential generating function

$$\sum_{n=0}^{\infty} A_n(t) \frac{x^n}{n!} = \frac{(t-1)e^t}{te^t - e^{tx}}$$

- $H(A(\ell(U_{n,q}))), t) = \sum_{\sigma \in G_n} t^{\text{exc}(\sigma)} q^{\text{maj}(\sigma)}$   
 $= n^{\text{th}} \text{ exc-maj } q\text{-Eulerian polynomial } A_n(t, q)$   
 of Shareshian-Wachs 2007

with  $q$ -exponential generating function

$$\sum_{n=0}^{\infty} A_n(t, q) \frac{x^n}{[n]_q!} = \frac{(t-1) \exp_q(t)}{t \exp_q(t) - \exp_q(tx)}$$

where  $\exp_q(x) = \sum_{n=0}^{\infty} \frac{x^n}{[n]_q!}$

$$\begin{aligned}
 \bullet H(A(L(U_{n,n-1})), t) &= \sum'_{\sigma \in \tilde{\mathcal{G}}_n} t^{\text{exc}(\sigma)} - \sum_{\substack{\sigma \in \tilde{\mathcal{G}}_n : \\ \text{Fix}(\sigma) \geq 1}} t^{n-1 - \text{exc}(\sigma)} \\
 &\stackrel{\text{Poincaré duality for } U_{n,n}}{=} \sum'_{\sigma \in \tilde{\mathcal{G}}_n} t^{n-1 - \text{exc}(\sigma)} - \sum_{\substack{\sigma \in \tilde{\mathcal{G}}_n : \\ \text{Fix}(\sigma) \geq 1}} t^{n-1 - \text{exc}(\sigma)} \\
 &= \sum'_{\substack{\sigma \in \tilde{\mathcal{G}}_n : \\ \text{derangements}}} t^{n-1 - \text{exc}(\sigma)} = \sum'_{\substack{\sigma \in \tilde{\mathcal{G}}_n : \\ \text{derangements}}} t^{\text{exc}(\sigma)-1} \stackrel{\text{Poincaré duality for } U_{n,n-1}}{=}
 \end{aligned}$$

EXAMPLE  $n=4$

$$H(A(L(U_{4,3})), t) = 1 + 7t + t^2 = \sum_{\substack{\sigma \in \tilde{\mathcal{G}}_4 : \\ \text{derangements}}} t^{\text{exc}(\sigma)-1}$$

<u>derangement</u> $\sigma \in \tilde{\mathcal{G}}_4$	<u><math>\text{exc}(\sigma)-1</math></u>
$(12)(34) = 2143$	1
$(13)(24) = 3412$	1
$(14)(23) = 4321$	1
$(1234) = 2341$	2
$(1243) = 2413$	1
$(1324) = 3421$	1
$(1342) = 3142$	1
$(1423) = 4312$	1
$(1432) = 4123$	0

## PROOF IDEAS

$U_{n,r}(q)$  case  $\xrightarrow{\lim_{q \rightarrow 1}}$   $U_{n,r}$  case

$$\text{Prove } H(A(\ell(U_{n,r}(q))), t) = \sum'_{\sigma \in \mathcal{G}_n} (t \frac{-1}{q})^{\text{exc}(\sigma)} q^{\text{maj}(\sigma)}$$

$$- \sum_{m=1}^{n-r} q^{m-n} \sum'_{\substack{\sigma \in \mathcal{G}_n : \\ \text{Fix}(\sigma) \geq m}} (t \frac{-1}{q})^{n-m-\text{exc}(\sigma)} q^{\text{maj}(\sigma)}$$

by descending induction on  $r$ .

In base case  $r=n$ , show that both

- $n^{\text{th}}$  exc-maj  $q$ -Eulerian polynomial  $A_n(t, q)$   
and of Shareshian-Wachs 2007
- $H(A(\ell(U_{n,n}(q))), t)$

Satisfy this recurrence:

$$A_n(t, q) = 1 + t \sum_{k=1}^n [k-1]_t [n]_q A_{n-k}(t, q)$$

The **inductive step** is equivalent to

$$H(A(\ell(u_{n,r+1}(q))), t) - H(A(\ell(u_n(q)), t)$$

$$= \bar{q}^r \sum_{\substack{\sigma \in \tilde{\mathcal{G}}_n : \\ \text{Fix}(\sigma) \geq n-r}} (tq)^{r - \text{exc}(\sigma)} q^{\text{maj}(\sigma)}$$

which one proves using Feichtner-Yuzvinsky's standard monomials to rewrite left side, and then at a crucial step ...

---

**LEMMA** (Wachs 1989)

For any  $\tau \in \tilde{\mathcal{G}}_k$  with  $k \leq n$ ,

$$\sum_{\substack{\sigma \in \tilde{\mathcal{G}}_n : \\ \text{nonfixed points of } \sigma}} q^{\text{maj}(\sigma)} = q^{\text{maj}(\tau)} \begin{bmatrix} n \\ k \end{bmatrix}_q$$

nonfixed points of  $\sigma$   
"look like"  $\tau$

The REU report has **more** results.

---

THANKS  
FOR YOUR  
ATTENTION

... and to the NSF  
for RTG grant  
support!