

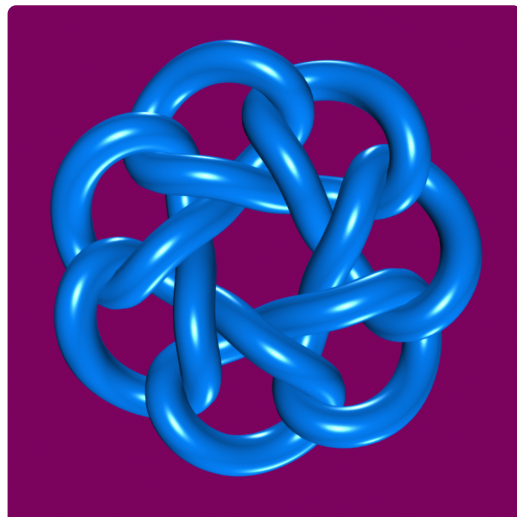
Cyclic Symmetry

Vic Reiner
(Univ. of Minnesota)

Smith College
Thursday Lunch Talk

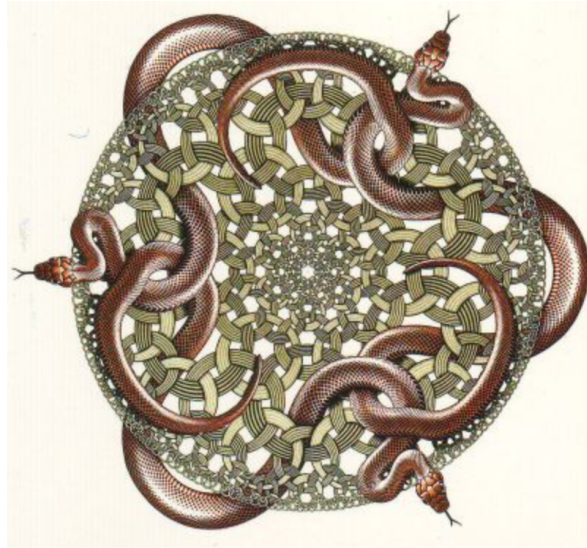
April 9, 2015

Everyone enjoys



Symmetry!

3-fold



5-fold



I like it cyclic.

Better yet, I like
counting formulas
for objects with
cyclic symmetry,
particularly when
they come from
formulas that were
already there...

Let's count
k-element subsets
of $\{1, 2, \dots, n\}$

EXAMPLE: $k=2$
 $n=4$

There are

$$\binom{n}{k} = \binom{4}{2} = 6$$

of them:

$\{1, 2\}, \{1, 3\}, \{1, 4\},$
 $\{2, 3\}, \{2, 4\}, \{3, 4\}$

$$\binom{n}{k} = \text{the binomial coefficient}$$
$$= \frac{n!}{k!(n-k)!}$$

where $n! = n(n-1) \cdots 3 \cdot 2 \cdot 1$

$$\text{e.g. } \binom{4}{2} = \frac{4!}{2!2!} = \frac{4 \cdot 3 \cdot 2 \cdot 1}{2 \cdot 1 \cdot 2 \cdot 1} = 6 \checkmark$$

Pascal:

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$$

Pascal's triangle

1

1 1

1 2 1

1 3 3 1

1 4 6 4 1

1 5 10 10 5 1

⋮

⋮

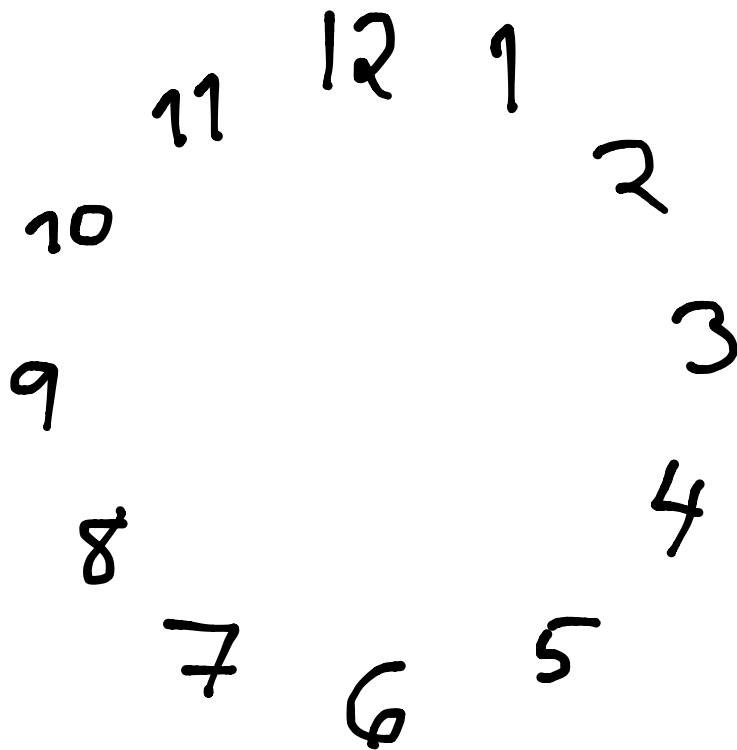
⋮

$$\binom{3}{1} + \binom{3}{2}$$

$$= \binom{4}{2}$$

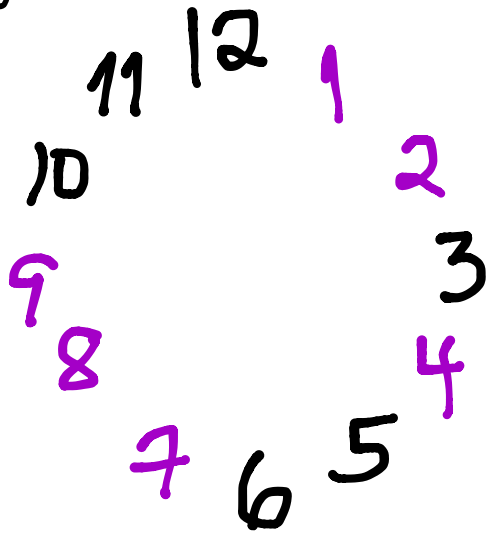
Fine, but can subsets
have cyclic symmetry?

Yes, if we place $\{1, 2, \dots, n\}$
on a circle

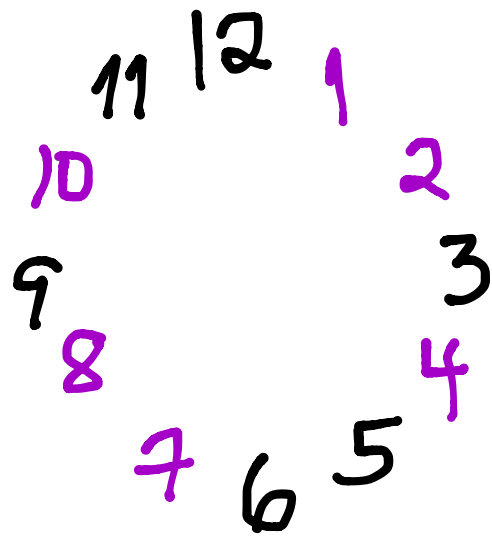


$$n=12$$

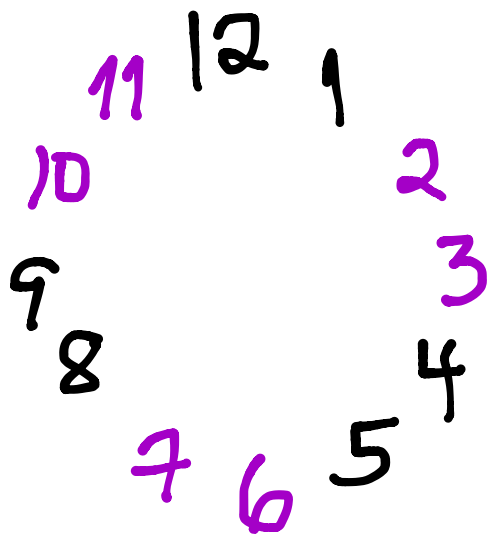
Every 6-element subset of $\{1, 2, \dots, 12\}$ has 1-fold symmetry.
e.g. $\{1, 2, 4, 7, 8, 9\}$



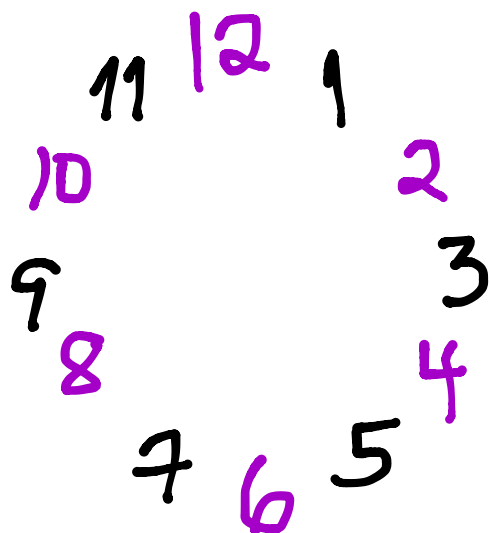
Some have 2-fold symmetry.
e.g. $\{1, 2, 4, 7, 8, 10\}$



Some have 3-fold symmetry:



Some even have 6-fold symmetry:



So how many k -element subsets
of $\{1, 2, \dots, n\}$ have
 d -fold symmetry?

THEOREM (Stanton-White-R. 2004)

It's what you get from the
 q -binomial coefficient

$$\begin{bmatrix} n \\ k \end{bmatrix}_q$$

when you plug in for q
a primitive complex
 d^{th} root of unity!

$$\begin{bmatrix} n \\ k \end{bmatrix}_q \stackrel{\text{DEF.}}{=} \frac{[n]!_q}{[k]!_q [n-k]!_q}$$

where $[n]!_q \stackrel{\text{DEF}}{=} [n]_q [n-1]_q \cdots [3]_q [2]_q [1]_q$

$$[n]_q \stackrel{\text{DEF}}{=} 1 + q + q^2 + \cdots + q^{n-1} = \frac{1 - q^n}{1 - q}$$

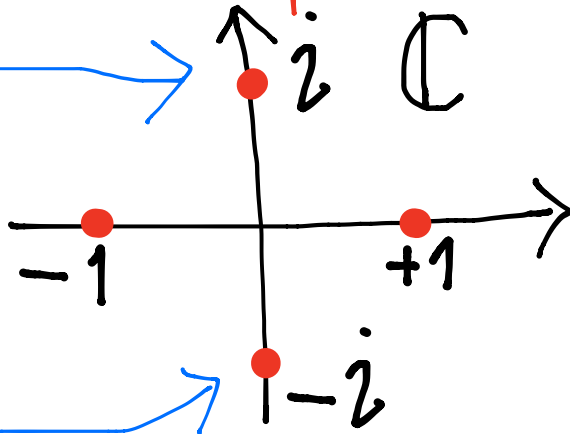
EXAMPLE: $k=2, n=4$

$$\begin{bmatrix} 4 \\ 2 \end{bmatrix}_q = \frac{[4]!_q}{[2]!_q [2]!_q} = \frac{[4]_q [3]_q [2]_q [1]_q}{[2]_q [1]_q [2]_q [1]_q}$$

$$= \frac{(1+q+q^2+q^3)(1+q+q^2)(\cancel{1+q})(\cancel{1})}{(1+q)(\cancel{1}) \cdot (\cancel{1+q})(\cancel{1})}$$

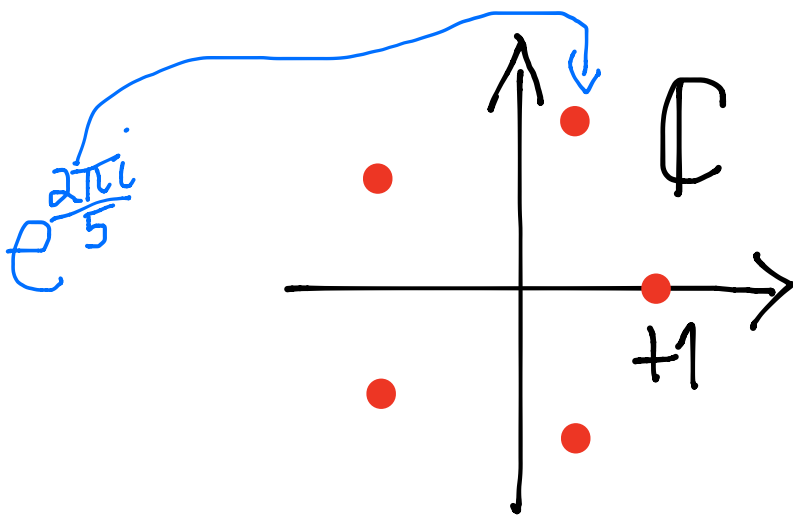
$$= (1+q^2)(1+q+q^2) = 1+q+2q^2+q^3+q^4$$

Remember **complex roots-of-unity**?



The **4th** roots-of-unity

$\pm i$ are the **primitive 4th** roots-of-unity
 -1 is a **primitive 2nd** root-of-unity



The **5th** roots-of-unity

$e^{\frac{2\pi i}{5}}$

So we plug in $q = +1, -1, \pm i$
1st root, 2nd root, 4th roots

in the q -binomial coefficient

$$\begin{bmatrix} 4 \\ 2 \end{bmatrix}_q = 1 + q + 2q^2 + q^3 + q^4$$

\swarrow $q=+1$ \swarrow $q=-1$ \swarrow $q=+i$

$1+1+2+1+1=6$	$1-1+2-1+1=2$	$1+i-2-i+1=0$										
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border-right: 1px solid black; padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> <td style="padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> </tr> <tr> <td style="border-right: 1px solid black; padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> <td style="padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> </tr> <tr> <td style="border-right: 1px solid black; padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> <td style="padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> </tr> </table>	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> </tr> <tr> <td style="padding: 5px; text-align: center;"> 2-fold symmetric </td> </tr> <tr> <td style="padding: 5px; text-align: center;"> $\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$ </td> </tr> </table>	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	2-fold symmetric	$\begin{matrix} 1 \\ 4 & 2 \\ 3 \end{matrix}$	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px; text-align: center;"> $4\text{-fold symmetric (none!)}$ </td> </tr> </table>	$4\text{-fold symmetric (none!)}$
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$4\text{-fold symmetric (none!)}$												
<p style="color: purple; font-weight: bold;">ALL!</p>												

The theory of q -analogues...

$$[n]_q = 1 + q + q^2 + \dots + q^{n-1} \quad \rightsquigarrow n$$

$q=1$

$$[n]!_q = [n]_q \cdots [2]_q [1]_q \quad \rightsquigarrow n!$$

$q=1$

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]!_q}{[k]!_q [n-k]!_q} \quad \rightsquigarrow \binom{n}{k}$$

$q=1$

is a very well-studied,
well-developed and
fascinating subject.

The q -binomials $\begin{bmatrix} n \\ k \end{bmatrix}_q$ have beautiful properties and many interpretations, e.g.,

- $\begin{bmatrix} n \\ k \end{bmatrix}_q$ is a **polynomial** in q , with **nonnegative** coefficients
e.g. $\begin{bmatrix} 4 \\ 2 \end{bmatrix}_q = 1 + q + 2q^2 + q^3 + q^4$
-

- $\begin{bmatrix} n \\ k \end{bmatrix}_q = \begin{bmatrix} n-1 \\ k \end{bmatrix}_q + q^{n-k} \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q$ (**q -Pascal!**)
e.g. $\begin{bmatrix} 4 \\ 2 \end{bmatrix}_q = \begin{bmatrix} 3 \\ 2 \end{bmatrix}_q + q^{4-2} \begin{bmatrix} 3 \\ 1 \end{bmatrix}_q$
 $= 1 + q + q^2 + q^2(1 + q + q^2)$
 $= 1 + q + 2q^2 + q^3 + q^4$

- $\begin{bmatrix} n \\ k \end{bmatrix}_q$ has interpretations in geometry, topology, representation theory
-

- When you plug in $q = p^m$ a prime power there is a finite field \mathbb{F}_q with q elements, and $\begin{bmatrix} n \\ k \end{bmatrix}_q$ counts (!) the set $\left\{ \begin{array}{l} k\text{-dimensional } \mathbb{F}_q\text{-linear subspaces} \\ \text{inside } \mathbb{F}_q^n \end{array} \right\}$

EXAMPLE: $q=3=p^1$

$$\mathbb{F}_q = \mathbb{F}_3 = \mathbb{Z}/3\mathbb{Z} \\ = \{\text{integers modulo } 3\}$$

e.g. $\bar{1} + \bar{1} + \bar{1} = \bar{0} = \bar{1} + \bar{2}$
 $\bar{2} \cdot \bar{2} = \bar{4} = \bar{1}$ in \mathbb{F}_3

So taking $k=1$ and $n=2$,

$$\begin{bmatrix} 2 \\ 1 \end{bmatrix}_q = \frac{\begin{bmatrix} 2 \end{bmatrix}_q \begin{bmatrix} 1 \end{bmatrix}_q}{\begin{bmatrix} 1 \end{bmatrix}_q \cdot \begin{bmatrix} 1 \end{bmatrix}_q} = \frac{(1+q)(1)}{(1) \cdot (1)} = 1+q$$

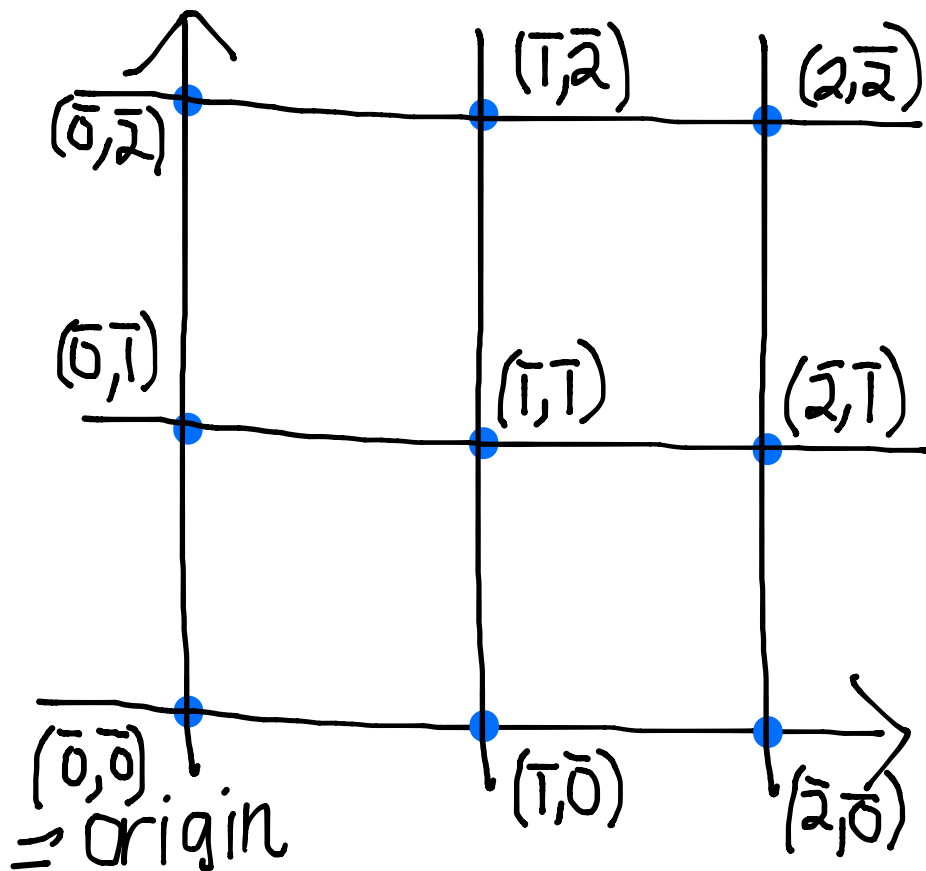
~~~~~  $\rightarrow 1+3=4$   
plug in  
 $q=3$

Since  $\begin{bmatrix} 2 \\ 1 \end{bmatrix}_9 \rightsquigarrow 4$ ,  
 $q=3$

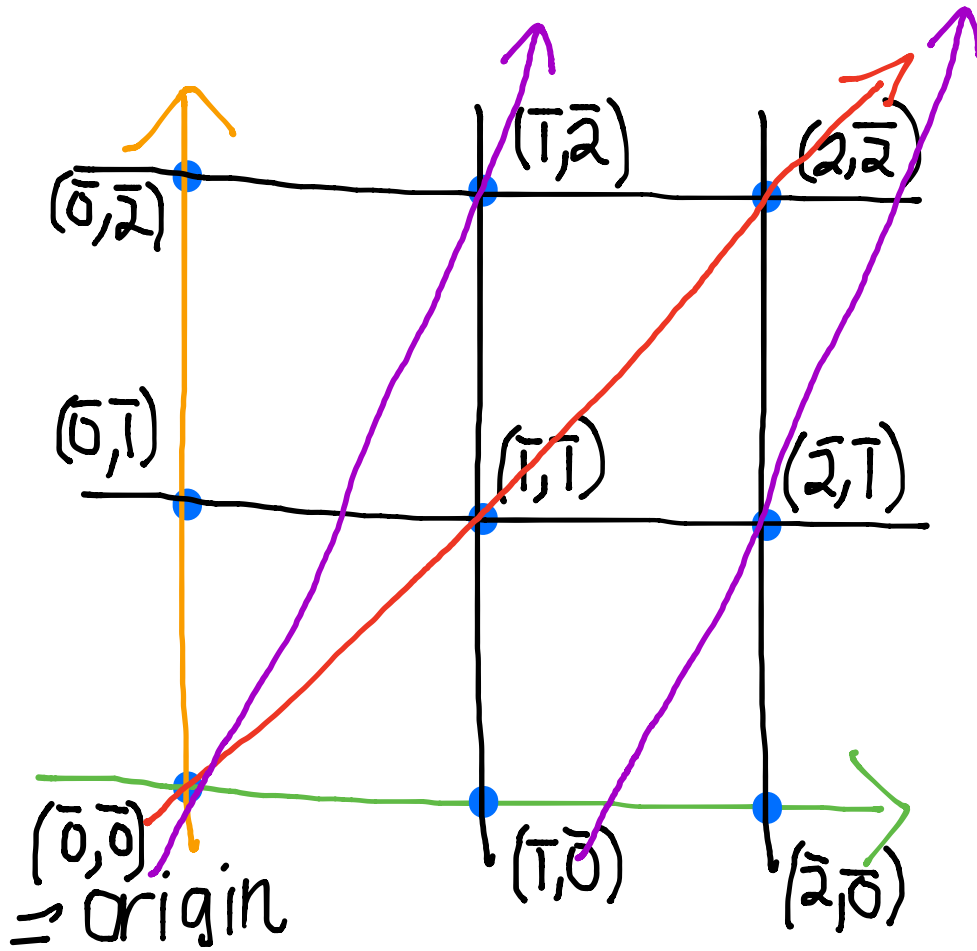
there should be exactly 4

1-dimensional  $\mathbb{F}_3$ -linear subspaces  
(= lines through the origin)

in the 2-dimensional space  $\mathbb{F}_3^2$ :



$\mathbb{F}_3^2$



slope  $\bar{0}$  =  $x$ -axis

slope  $\bar{1}$  = diagonal

slope  $\bar{2}$  =  $\{(0,0), (1,2), (2,1)\}$

slope  $\infty$  =  $y$ -axis

} 4 lines ✓

The fact that  $\left[ \begin{matrix} n \\ k \end{matrix} \right]_q$  can count cyclically symmetric  $k$ -element subsets of  $\{1, 2, \dots, n\}$  has many proofs.

- Some use the connections to representation theory.
- Others are more direct, but perhaps less illuminating.

We have many, many examples where a polynomial in  $q$  counts cyclically symmetric objects when we plug in a root-of-unity for  $q$ .  
(The "cyclic sieving phenomenon")

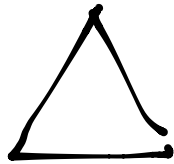
Here is one that I like very much, but feel we understand poorly...

An old counting problem:

How many ways to  
**triangulate** (cut into triangles)  
a convex  $n$ -sided polygon?

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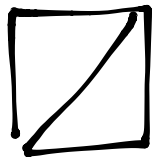
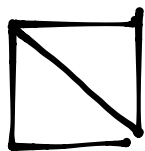
$n=3$



**1** way.

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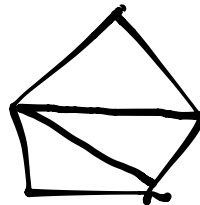
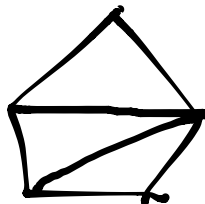
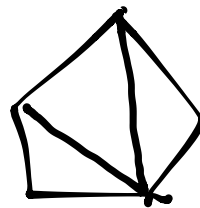
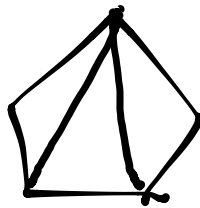
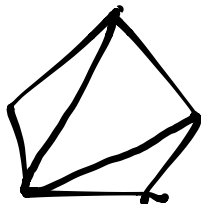
$n=4$



**2** ways.

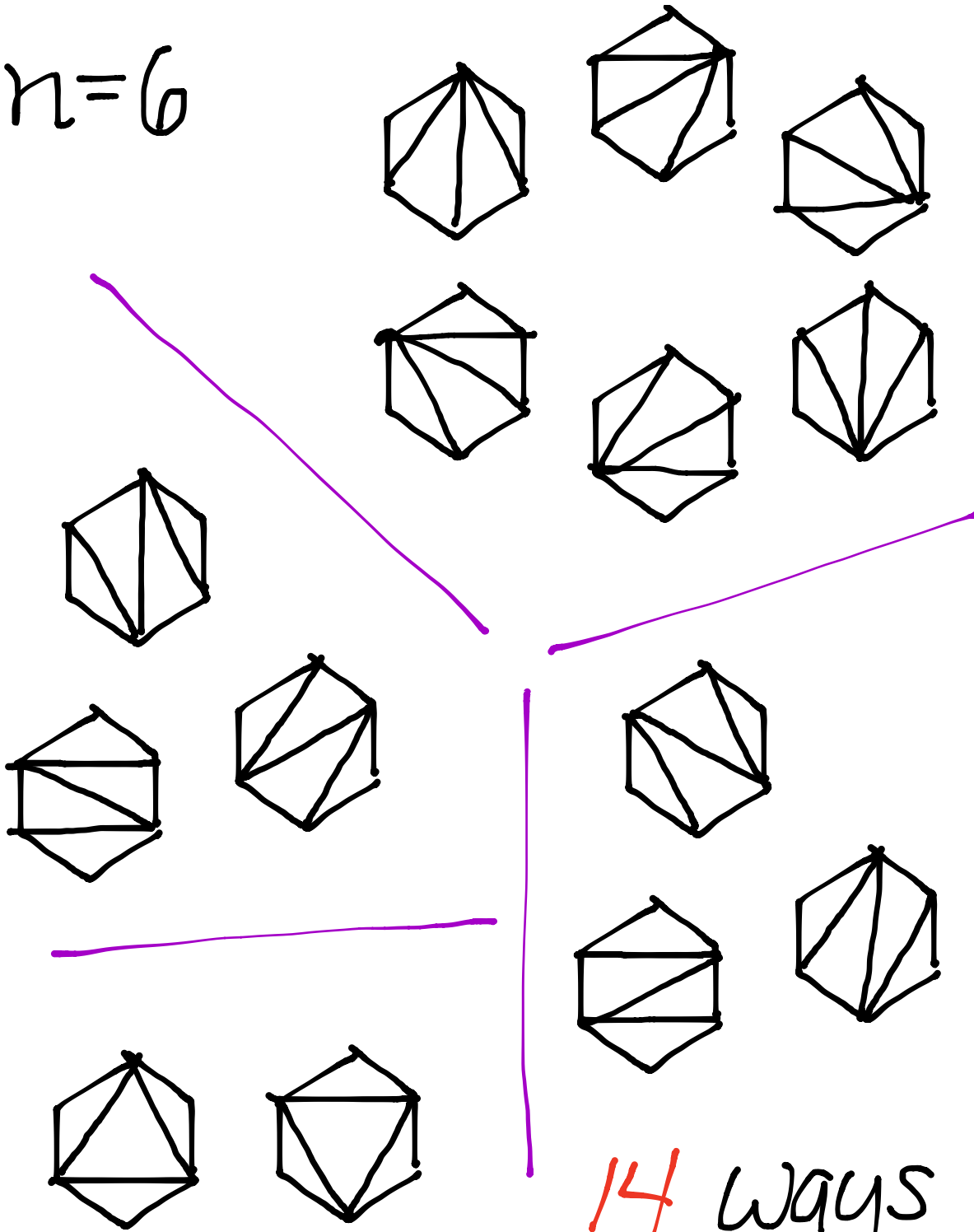
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$n=5$



**5** ways.

$n=6$



THEOREM (Euler, Segner, Goldbach 1750's):

There are

$$\frac{1}{n-1} \binom{2(n-2)}{n-2} = \frac{n(n+1)\cdots(2n-4)}{2 \cdot 3 \cdots n-2}$$

Catalan  
number

ways to triangulate  
the  $n$ -sided polygon

| $n$ | $\frac{1}{n} \binom{2(n-2)}{n-2}$                  |
|-----|----------------------------------------------------|
| 3   | (empty product) = 1                                |
| 4   | $\frac{4}{2} = 2$                                  |
| 5   | $\frac{5 \cdot 6}{2 \cdot 3} = 5$                  |
| 6   | $\frac{6 \cdot 7 \cdot 8}{2 \cdot 3 \cdot 4} = 14$ |

## THEOREM (RSW 2004):

The  $d$ -fold cyclically symmetric triangulations of a (regular)  $n$ -sided convex polygon are counted by plugging in a primitive complex  $d^{\text{th}}$  root of unity for  $q$  in this:

$$\frac{1}{[n-1]_q} \begin{bmatrix} 2(n-2) \\ n-2 \end{bmatrix}_q = \frac{[n]_q [n+1]_q \cdots [2n-4]_q}{[2]_q [3]_q \cdots [n-2]_q}$$

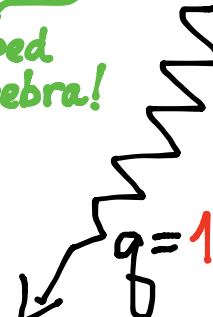
$q$ -Catalan

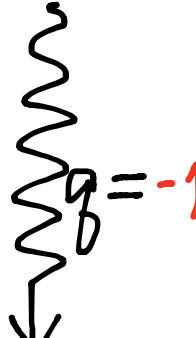



EXAMPLE:  $n=6$

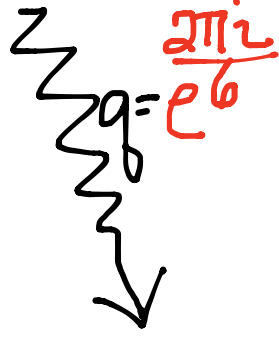
$$\frac{1}{[n-1]_q [n-2]_q} = \frac{[6]_q [7]_q [8]_q}{[2]_q [3]_q [4]_q}$$

$$= 1 + q^2 + q^3 + 2q^4 + q^5 + 2q^6 + q^7 + 2q^8 + q^9 + q^{10} + q^{12}$$

Skipped algebra!  

 $q=1$


 $q=-1$


 $q=e^{\frac{2\pi i}{3}}$


 $q=e^{\frac{\pi i}{6}}$

$$\begin{matrix} 1+1+1+2+1+2 \\ +1+2+1+1+1 \end{matrix}$$

$= 14$

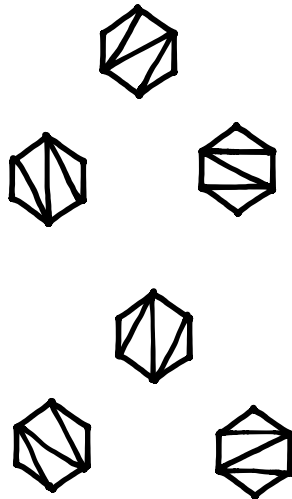
All 14 of them, e.g.



1-fold

$$\begin{matrix} 1+1-1+2-1+2 \\ -1+2-1+1+1 \end{matrix}$$

$= 6$



2-fold

(Skipped summing roots of-unity)

$= 2$



3-fold

(Skipped summing roots of-unity)

$= 0$

None of them

6-fold

The  $q$ -Catalan  $\frac{1}{[n-1]_q} \begin{bmatrix} 2(n-2) \\ n-2 \end{bmatrix}_q$  has many properties and interpretations:

- It is again a **polynomial** in  $q$ , with **nonnegative** coefficients.
- It again has meaning in **geometry** and in **representation theory**.
- It again **counts** something if we plug in  $q = p^m$  a **prime** power:

{ **orbits** of  $\mathbb{F}_q^\times$  acting on  
( $n-1$ )-dimensional  $\mathbb{F}_q$ -linear  
**subspaces** inside  $\mathbb{F}_q^{2n-3}$  }

Thanks  
for  
coming!